

CANCER GENETICS, INC
Form SC 13G/A
November 14, 2016

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

SCHEDULE 13G

Under the Securities Exchange Act of 1934

(Amendment No. 1)

Cancer Genetics, Inc.

(Name of Issuer)

Common Stock

(Title of Class of Securities)

13739U104

(CUSIP Number)

November 14, 2016

(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

Rule 13d-1(b)

Rule 13d-1(c)

Rule 13d-1(d)

* The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 (the "Act") or otherwise subject to the liabilities of that section of the Act, but shall be subject to all other provisions of the Act (however, see the Notes.)

CUSIP No. 13739U104

NAMES OF REPORTING PERSONS

1.

SWK Holdings Corporation
CHECK THE APPROPRIATE BOX IF A
MEMBER OF A GROUP

2.

(a)

(b)

3.

SEC USE ONLY
CITIZENSHIP OR PLACE OF
ORGANIZATION

4.

Delaware

NUMBER OF	5. SOLE VOTING POWER	736,076
SHARES	6. SHARED VOTING POWER	0
BENEFICIALLY	7. SOLE DISPOSITIVE POWER	736,076
OWNED BY		
EACH	8. SHARED DISPOSITIVE POWER	0
REPORTING		
PERSON WITH		

9. AGGREGATE AMOUNT BENEFICIALLY
OWNED BY EACH REPORTING PERSON

736,076

10. CHECK IF THE AGGREGATE AMOUNT IN
ROW (9) EXCLUDES CERTAIN SHARES

11. PERCENT OF CLASS REPRESENTED BY
AMOUNT IN ROW (9)

3.9%

12. TYPE OF REPORTING PERSON

CO

Item 1.

Name of Issuer

(a)

Cancer Genetics, Inc.

Address of Issuer's Principal Executive Offices

(b)

201 Route 17 North, 2nd Floor, Rutherford, NJ 07070

Item 2.

Name of Person Filing

(a)

This Schedule 13G is filed on behalf of the SWK Holdings Corporation ("SWK").

Address of Principal Business Office or, if None, Residence

(b) The principal business address of SWK is:

14755 Preston Road, Suite 105, Dallas, Texas 75254

Citizenship

(c)

SWK is a Delaware corporation.

Title of Class of Securities

(d)

Common Stock

CUSIP Number

(e)

13739U104

Item 3. If This Statement is Filed Pursuant to Rule 13d-1(b), or 13d-2(b) or (c), Check Whether the Person Filing is a:

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- (a) Broker or dealer registered under Section 15 of the Act (15 U.S.C. 78o).
- (b) Bank as defined in Section 3(a)(6) of the Act (15 U.S.C. 78c).
- (c) Insurance company as defined in Section 3(a)(19) of the Act (15 U.S.C. 78c).
- (d) Investment company registered under Section 8 of the Investment Company Act of 1940 (15 U.S.C. 80a-8).
- (e) An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);
- (f) An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
- (g) A parent holding company or control person in accordance with §240.13d-1(b)(1)(ii)(G);
- (h) A savings association as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- (i) A church plan that is excluded from the definition of an investment company under Section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- (j) A non- U.S. institution in accordance with §240.13d-1(b)(1)(ii)(J);
- (k) Group, in accordance with §240.13d-1(b)(1)(ii)(K).

Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) Amount Beneficially Owned:

See responses to Item 9 on the cover page.

(b) Percent of Class:

3.9%

(c) Number of shares as to which such person has:

(i) sole power to vote or to direct the vote

See response to Item 5 on cover page.

(ii) shared power to vote or to direct the vote

See response to Item 6 on cover page.

(iii) sole power to dispose or to direct the disposition of

See response to Item 7 on cover page.

(iv) shared power to dispose or to direct the disposition of

See response to Item 8 on cover page.

Item 5. Ownership of Five Percent or Less of Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following:

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not Applicable

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person.

See Item 2(a).

Identification and Classification of Members of the Group.

Item 8.

Not Applicable.

Notice of Dissolution of Group.

Item 9.

Not Applicable.

Certification.

Item 10. By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect, other than activities solely in connection with a nomination under §240.14a-11.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

SWK Holdings Corporation

By: /s/ Winston Black
Chief Executive Officer