

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

FIRST TRUST MLP & ENERGY INCOME FUND

Form NSAR-B

December 29, 2016

PAGE 1
000 B000000 10/31/2016
000 C000000 0001556336
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FIRST TRUST MLP AND ENERGY INCOME FUND
001 B000000 811-22738
001 C000000 2037620004
002 A000000 10 Westport Road, Suite C101a
002 B000000 Wilton
002 C000000 CT
002 D010000 06897
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 FIRST TRUST ADVISORS L.P.
008 B000001 A
008 C000001 801-39950
008 D010001 Wheaton
008 D020001 IL
008 D030001 60187
008 A000002 Energy Income Partners, LLC
008 B000002 S
008 C000002 801-66907
008 D010002 Westport
008 D020002 CT
008 D030002 06880
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
010 B000001 84-1761
010 C010001 WILMINGTON
010 C020001 DE
010 C030001 19809
011 A000001 MORGAN STANLEY & CO., LLC
011 B000001 8-15869
011 C010001 NEW YORK
011 C020001 NY
011 C030001 10036
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
012 B000001 84-1761
012 C010001 WILMINGTON
012 C020001 DE
012 C030001 19809
013 A000001 DELOITTE & TOUCHE LLP
013 B010001 CHICAGO
PAGE 2
013 B020001 IL
013 B030001 60606
013 B040001 4301
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C
015 C010001 NEW YORK

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

015 C020001 NY
015 C030001 10286
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 137
019 C000000 FIRSTDEFIN
020 A000001 CREDIT SUISSE FIRST BOSTON CORP.
020 B000001 13-5659485
020 C000001 472
020 A000002 UBS SECURITIES
020 B000002 13-3873456
020 C000002 128
020 A000003 MERRILL LYNCH
020 B000003 13-2740599
020 C000003 113
020 A000004 CONVERGEX EXECUTION SOLUTIONS, LLC
020 B000004 13-3989198
020 C000004 101
020 A000005 WACHOVIA SECURITIES LLC
020 B000005 34-1542819
020 C000005 36
020 A000006 JEFFERIES & CO., INC.
020 B000006 95-2622900
020 C000006 34
020 A000007 MORGAN STANLEY & CO., INC.
020 B000007 36-3145972
020 C000007 33
020 A000008 SCOTIA CAPITAL, INC.
020 B000008 13-5239583
020 C000008 25
020 A000009 BARCLAYS CAPITAL, INC.
020 B000009 06-1031656
020 C000009 18
020 A000010 USCA SECURITIES LLC
020 B000010 22-3696585
020 C000010 16
021 000000 1004
022 A000001 MERRILL LYNCH
022 B000001 13-2740599
022 C000001 8581
022 D000001 0
022 A000002 GOLDMAN SACHS & CO.
022 B000002 13-5108880
022 C000002 4240
PAGE 3
022 D000002 0
022 A000003 BANK OF AMERICA
022 B000003 13-4078651
022 C000003 4090
022 D000003 0
022 A000004 MORGAN STANLEY & CO., INC.
022 B000004 36-3145972
022 C000004 1975
022 D000004 0
023 C000000 18886
023 D000000 0
024 000000 N
026 A000000 N
026 B000000 N
026 C000000 N
026 D000000 Y

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

026	E000000	N	
026	F000000	N	
026	G010000	N	
026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
	PAGE	4	
030	A000000		0
030	B000000		0.00
030	C000000		0.00
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	Y	
048	000000		1.000
048	B010000		0
048	B020000		0.000

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

049 000000 N
050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 Y
054 C000000 N
054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 Y
054 O000000 Y
055 A000000 N
055 B000000 Y
056 000000 Y
057 000000 N
058 A000000 N

PAGE 5

059 000000 Y
060 A000000 N
060 B000000 N
061 000000
062 A000000 N
066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 Y
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 Y
070 C010000 Y
070 C020000 N
070 D010000 Y
070 D020000 N
070 E010000 Y
070 E020000 N
070 F010000 Y
070 F020000 N
070 G010000 Y
070 G020000 N
070 H010000 Y
070 H020000 N
070 I010000 Y
070 I020000 N
070 J010000 Y
070 J020000 N

0

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

070	K010000	Y	
070	K020000	N	
070	L010000	Y	
070	L020000	Y	
070	M010000	Y	
070	M020000	Y	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	Y	
070	P010000	N	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	Y	
	PAGE	6	
070	R020000	N	
071	A000000		608711
071	B000000		666943
071	C000000		889196
071	D000000		68
072	A000000	12	
072	B000000		4
072	C000000		11491
072	D000000		0
072	E000000		0
072	F000000		8952
072	G000000		407
072	H000000		0
072	I000000		26
072	J000000		83
072	K000000		0
072	L000000		159
072	M000000		20
072	N000000		0
072	O000000		1277
072	P000000		2767
072	Q000000		0
072	R000000		103
072	S000000		21
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		114
072	X000000		13929
072	Y000000		0
072	Z000000		-2434
072AA	000000		0
072BB	000000		65405
072CC	010000		44021
072CC	020000		0
072DD	010000		0
072DD	020000		0
072EE	000000		0
073	A010000		0.0000
073	A020000		0.0000
073	B000000		0.0000
073	C000000		1.4200
074	A000000		9755
074	B000000		0
074	C000000		0
074	D000000		0

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

074	E000000	0
074	F000000	265861
074	G000000	0
074	H000000	0
074	I000000	671167
	PAGE	7
074	J000000	0
074	K000000	0
074	L000000	7388
074	M000000	22535
074	N000000	976706
074	O000000	4901
074	P000000	793
074	Q000000	222500
074	R010000	0
074	R020000	0
074	R030000	2768
074	R040000	44287
074	S000000	0
074	T000000	701457
074	U010000	45485
074	U020000	0
074	V010000	15.42
074	V020000	0.00
074	W000000	0.0000
074	X000000	5
074	Y000000	477365
075	B000000	671249
076	000000	15.66
077	A000000	Y
077	B000000	Y
077	C000000	N
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	N
077	Q020000	N
077	Q030000	N
078	000000	N
080	A000000	ICI MUTUAL INSURANCE COMPANY
080	C000000	30000
081	A000000	Y
081	B000000	140
082	A000000	Y
082	B000000	50
083	A000000	N
084	A000000	N
	PAGE	8
085	A000000	Y
085	B000000	N
086	A010000	0
086	A020000	0

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	33739B104
087	A030000	FEI
088	A030000	N
088	B030000	N
088	C030000	N
088	D030000	N
SIGNATURE	DONAL P. SWADE	
TITLE	TREASURER	