

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

FIRST TRUST MLP & ENERGY INCOME FUND

Form NSAR-B

December 29, 2014

PAGE 1  
000 B000000 10/31/2014  
000 C000000 0001556336  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FIRST TRUST MLP AND ENERGY INCOME FUND  
001 B000000 811-22738  
001 C000000 2037620004  
002 A000000 187 Danbury Road  
002 B000000 Wilton  
002 C000000 CT  
002 D010000 06897  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 FIRST TRUST ADVISORS L.P.  
008 B000001 A  
008 C000001 801-39950  
008 D010001 Wheaton  
008 D020001 IL  
008 D030001 60187  
008 A000002 Energy Income Partners, LLC  
008 B000002 S  
008 C000002 801-66907  
008 D010002 Westport  
008 D020002 CT  
008 D030002 06880  
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
010 B000001 84-1761  
010 C010001 WILMINGTON  
010 C020001 DE  
010 C030001 19809  
011 A000001 MORGAN STANLEY & CO., LLC  
011 B000001 8-15869  
011 C010001 NEW YORK  
011 C020001 NY  
011 C030001 10036  
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.  
012 B000001 84-1761  
012 C010001 WILMINGTON  
012 C020001 DE  
012 C030001 19809  
013 A000001 DELOITTE & TOUCHE LLP  
PAGE 2  
013 B010001 CHICAGO  
013 B020001 IL  
013 B030001 60606  
013 B040001 4301  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10286  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 111  
019 C000000 FIRSTDEFIN  
020 A000001 CREDIT SUISSE FIRST BOSTON CORP.  
020 B000001 13-5659485  
020 C000001 171  
020 A000002 BANK OF AMERICA CORP  
020 B000002 56-2058405  
020 C000002 87  
020 A000003 WACHOVIA SECURITIES LLC  
020 B000003 34-1542819  
020 C000003 66  
020 A000004 UBS SECURITIES  
020 B000004 13-3873456  
020 C000004 61  
020 A000005 BANK OF NEW YORK  
020 B000005 13-4941102  
020 C000005 56  
020 A000006 CITIGROUP, INC.  
020 B000006 52-1568099  
020 C000006 50  
020 A000007 GOLDMAN SACHS & CO.  
020 B000007 13-5108880  
020 C000007 47  
020 A000008 MORGAN STANLEY & CO., INC.  
020 B000008 13-2655998  
020 C000008 41  
020 A000009 US CAPITAL SECURITIES, INC.  
020 B000009 59-2015850  
020 C000009 17  
020 A000010 NORTH POINT ADVISORS, LLC  
020 B000010 65-1224802  
020 C000010 10  
021 000000 620  
022 A000001 GOLDMAN SACHS & CO.  
022 B000001 13-5108880  
022 C000001 3018  
022 D000001 0  
022 A000002 BANK OF AMERICA CORP  
022 B000002 56-2058405  
PAGE 3  
022 C000002 3001  
022 D000002 0  
023 C000000 0  
023 D000000 0  
024 000000 N  
026 A000000 N  
026 B000000 N  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 N  
026 G010000 N  
026 G020000 N  
026 H000000 N  
027 000000 N  
028 A010000 0

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
	PAGE 4	
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	1.000
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

048	E020000	0.000	
048	F010000		0
048	F020000	0.000	
048	G010000		0
048	G020000	0.000	
048	H010000		0
048	H020000	0.000	
048	I010000		0
048	I020000	0.000	
048	J010000		0
048	J020000	0.000	
048	K010000		0
048	K020000	0.000	
049	000000	N	
050	000000	N	
051	000000	N	
052	000000	N	
053	A000000	N	
054	A000000	Y	
054	B000000	Y	
054	C000000	N	
054	D000000	N	
054	E000000	N	
054	F000000	N	
054	G000000	Y	
	PAGE	5	
054	H000000	Y	
054	I000000	N	
054	J000000	Y	
054	K000000	N	
054	L000000	N	
054	M000000	Y	
054	N000000	Y	
054	O000000	Y	
055	A000000	N	
055	B000000	Y	
056	000000	Y	
057	000000	N	
058	A000000	N	
059	000000	Y	
060	A000000	N	
060	B000000	N	
061	000000		0
062	A000000	N	
062	B000000	0.0	
062	C000000	0.0	
062	D000000	0.0	
062	E000000	0.0	
062	F000000	0.0	
062	G000000	0.0	
062	H000000	0.0	
062	I000000	0.0	
062	J000000	0.0	
062	K000000	0.0	
062	L000000	0.0	
062	M000000	0.0	
062	N000000	0.0	
062	O000000	0.0	
062	P000000	0.0	
062	Q000000	0.0	
062	R000000	0.0	
063	A000000		0

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 Y  
066 F000000 N  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
PAGE 6  
070 B020000 Y  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 N  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 N  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 Y  
070 I020000 N  
070 J010000 Y  
070 J020000 N  
070 K010000 Y  
070 K020000 N  
070 L010000 Y  
070 L020000 Y  
070 M010000 Y  
070 M020000 Y  
070 N010000 Y  
070 N020000 N  
070 O010000 Y  
070 O020000 Y  
070 P010000 N  
070 P020000 N  
070 Q010000 N  
070 Q020000 N  
070 R010000 Y  
070 R020000 N  
071 A000000 483079  
071 B000000 498853  
071 C000000 1421193  
071 D000000 34  
072 A000000 12  
072 B000000 3  
072 C000000 15427  
072 D000000 0  
072 E000000 0  
072 F000000 13333  
072 G000000 1041  
072 H000000 0  
072 I000000 28

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

072 J000000 161  
 072 K000000 0  
 072 L000000 160  
 072 M000000 33  
 072 N000000 0

PAGE 7

072 O000000 -942  
 072 P000000 2775  
 072 Q000000 0  
 072 R000000 101  
 072 S000000 84  
 072 T000000 0  
 072 U000000 0  
 072 V000000 0  
 072 W000000 121  
 072 X000000 16895  
 072 Y000000 0  
 072 Z000000 -1465  
 072AA000000 29883  
 072BB000000 0  
 072CC010000 144348  
 072CC020000 0  
 072DD010000 0  
 072DD020000 0  
 072EE000000 0  
 073 A010000 0.0000  
 073 A020000 0.0000  
 073 B000000 0.0000  
 073 C000000 1.3300  
 074 A000000 52558  
 074 B000000 0  
 074 C000000 0  
 074 D000000 0  
 074 E000000 0  
 074 F000000 605019  
 074 G000000 0  
 074 H000000 0  
 074 I000000 898414  
 074 J000000 21801  
 074 K000000 0  
 074 L000000 8442  
 074 M000000 28  
 074 N000000 1586262  
 074 O000000 8629  
 074 P000000 1176  
 074 Q000000 350000  
 074 R010000 0  
 074 R020000 0  
 074 R030000 8386  
 074 R040000 160754  
 074 S000000 0  
 074 T000000 1057317  
 074 U010000 45439  
 074 U020000 0  
 074 V010000 23.27  
 074 V020000 0.00  
 074 W000000 0.0000

PAGE 8

074 X000000 3  
 074 Y000000 771878  
 075 A000000 0  
 075 B000000 996571

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form NSAR-B

076 000000 21.61  
077 A000000 Y  
077 B000000 Y  
077 C000000 N  
077 D000000 N  
077 E000000 N  
077 F000000 N  
077 G000000 N  
077 H000000 N  
077 I000000 N  
077 J000000 N  
077 K000000 N  
077 L000000 N  
077 M000000 N  
077 N000000 N  
077 O000000 N  
077 P000000 N  
077 Q010000 N  
077 Q020000 N  
077 Q030000 N  
078 000000 N  
080 A000000 ICI MUTUAL INSURANCE COMPANY  
080 C000000 27000  
081 A000000 Y  
081 B000000 114  
082 A000000 Y  
082 B000000 50  
083 A000000 N  
083 B000000 0  
084 A000000 N  
084 B000000 0  
085 A000000 Y  
085 B000000 N  
086 A010000 0  
086 A020000 0  
086 B010000 0  
086 B020000 0  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 33739B104  
PAGE 9  
087 A030000 FEI  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE JAMES M. DYKAS  
TITLE TREASURER