

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form N-PX

FIRST TRUST MLP & ENERGY INCOME FUND

Form N-PX

July 31, 2013

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act File No. 811-22738

First Trust MLP and Energy Income Fund

Exact Name of Registrant as Specified in Declaration of Trust

120 East Liberty Drive, Suite 400, Wheaton, Illinois 60187

Address of Principal Executive Offices (Number, Street, City, State, Zip Code)

W. Scott Jardine
First Trust Portfolios L.P.
120 East Liberty Drive, Suite 400
Wheaton, Illinois 60187

Name and Address (Number, Street, City, State, Zip Code) of Agent for Service

(630) 765-8000

Registrant's Telephone Number, including Area Code

Date of fiscal year end: October 31

Date of reporting period: November 27, 2012 - June 30, 2013

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 100 F Street, N.E., Washington

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form N-PX

D.C. 20549. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. Section 3507.

Item 1. Proxy Voting Record

COMPANY NAME	TICKER	SECURITY ID ON BALLOT	MEETING DATE	PROPOSER	PROPOSAL
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director Anthony K. Anderson
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director Ann C. Berzin
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director John A. Canning, Jr.
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director Christopher M. Crane
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director Yves C. de Balmann
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director Nicholas DeBenedictis
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director Nelson A. Diaz
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director Sue L. Gin
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director Paul L. Joskow
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director Robert J. Lawless
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director Richard W. Mies
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director William C. Richardson
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director Thomas J. Ridge
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director John W. Rogers, Jr.
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director Mayo A. Shattuck, III
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Elect Director Stephen D. Steinour
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Ratify Auditors
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Exelon Corporation	EXC	30161N101	23-Apr-13	Management	Amend Qualified Employee Stock Purchase Plan
NuStar GP Holdings, LLC	NSH	67059L102	24-Apr-13	Management	Elect Director William E. Greehey
NuStar GP Holdings, LLC	NSH	67059L102	24-Apr-13	Management	Elect Director Jelynn LeBlanc-Burley
NuStar GP Holdings, LLC	NSH	67059L102	24-Apr-13	Management	Ratify Auditors
CenterPoint	CNP	15189T107	25-Apr-13	Management	Elect Director Milton

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form N-PX

TransCanada Corporation	TRP	89353D107	26-Apr-13	Management	Advisory Vote on Executive Compensation Approach
Spectra Energy Corp	SE	847560109	30-Apr-13	Management	Elect Director William T. Esrey
Spectra Energy Corp	SE	847560109	30-Apr-13	Management	Elect Director Gregory L. Ebel
Spectra Energy Corp	SE	847560109	30-Apr-13	Management	Elect Director Austin A. Adams
Spectra Energy Corp	SE	847560109	30-Apr-13	Management	Elect Director Joseph Alvarado
Spectra Energy Corp	SE	847560109	30-Apr-13	Management	Elect Director Pamela L. Carter
Spectra Energy Corp	SE	847560109	30-Apr-13	Management	Elect Director F. Anthony Comper
Spectra Energy Corp	SE	847560109	30-Apr-13	Management	Elect Director Peter B. Hamilton
Spectra Energy Corp	SE	847560109	30-Apr-13	Management	Elect Director Dennis R. Hendrix
Spectra Energy Corp	SE	847560109	30-Apr-13	Management	Elect Director Michael McShane
Spectra Energy Corp	SE	847560109	30-Apr-13	Management	Elect Director Michael G. Morris
Spectra Energy Corp	SE	847560109	30-Apr-13	Management	Elect Director Michael E.J. Phelps
Spectra Energy Corp	SE	847560109	30-Apr-13	Management	Ratify Auditors
Spectra Energy Corp	SE	847560109	30-Apr-13	Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Spectra Energy Corp	SE	847560109	30-Apr-13	Share Holder	Report on Lobbying Payments and Policy
Spectra Energy Corp	SE	847560109	30-Apr-13	Share Holder	Report on Methane Emissions

Page 1

Northeast Utilities	NU	664397106	01-May-13	Management	Elect Director Richard H. Booth
Northeast Utilities	NU	664397106	01-May-13	Management	Elect Director John S. Clarkeson
Northeast Utilities	NU	664397106	01-May-13	Management	Elect Director Cotton M. Cleveland
Northeast Utilities	NU	664397106	01-May-13	Management	Elect Director Sanford Cloud, Jr.
Northeast Utilities	NU	664397106	01-May-13	Management	Elect Director James S. DiStasio
Northeast Utilities	NU	664397106	01-May-13	Management	Elect Director Francis A. Doyle
Northeast Utilities	NU	664397106	01-May-13	Management	Elect Director Charles K. Gifford
Northeast Utilities	NU	664397106	01-May-13	Management	Elect Director Paul A. La Camera
Northeast Utilities	NU	664397106	01-May-13	Management	Elect Director Kenneth R. Leibler
Northeast Utilities	NU	664397106	01-May-13	Management	Elect Director Thomas J. May
Northeast Utilities	NU	664397106	01-May-13	Management	Elect Director Charles W. Shivery

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form N-PX

Northeast Utilities	NU	664397106	01-May-13	Management	Elect Director William C. Van Faasen
Northeast Utilities	NU	664397106	01-May-13	Management	Elect Director Frederica M. Williams
Northeast Utilities	NU	664397106	01-May-13	Management	Elect Director Dennis R. Wraase
Northeast Utilities	NU	664397106	01-May-13	Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Northeast Utilities	NU	664397106	01-May-13	Management	Ratify Auditors
Wisconsin Energy Corporation	WEC	976657106	02-May-13	Management	Elect Director John F. Bergstrom
Wisconsin Energy Corporation	WEC	976657106	02-May-13	Management	Elect Director Barbara L. Bowles
Wisconsin Energy Corporation	WEC	976657106	02-May-13	Management	Elect Director Patricia W. Chadwick
Wisconsin Energy Corporation	WEC	976657106	02-May-13	Management	Elect Director Curt S. Culver
Wisconsin Energy Corporation	WEC	976657106	02-May-13	Management	Elect Director Thomas J. Fischer
Wisconsin Energy Corporation	WEC	976657106	02-May-13	Management	Elect Director Gale E. Klappa
Wisconsin Energy Corporation	WEC	976657106	02-May-13	Management	Elect Director Henry W. Kneuppel
Wisconsin Energy Corporation	WEC	976657106	02-May-13	Management	Elect Director Ulice Payne, Jr.
Wisconsin Energy Corporation	WEC	976657106	02-May-13	Management	Elect Director Mary Ellen Stanek
Wisconsin Energy Corporation	WEC	976657106	02-May-13	Management	Ratify Auditors
Wisconsin Energy Corporation	WEC	976657106	02-May-13	Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Dominion Resources, Inc.	D	25746U109	03-May-13	Management	Elect Director William P. Barr
Dominion Resources, Inc.	D	25746U109	03-May-13	Management	Elect Director Peter W. Brown
Dominion Resources, Inc.	D	25746U109	03-May-13	Management	Elect Director Helen E. Dragas
Dominion Resources, Inc.	D	25746U109	03-May-13	Management	Elect Director James O. Ellis, Jr.
Dominion Resources, Inc.	D	25746U109	03-May-13	Management	Elect Director Thomas F. Farrell, II
Dominion	D	25746U109	03-May-13	Management	Elect Director John W.

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form N-PX

Resources, Inc.					Harris
Dominion Resources, Inc.	D	25746U109	03-May-13	Management	Elect Director Robert S. Jepson, Jr.
Dominion Resources, Inc.	D	25746U109	03-May-13	Management	Elect Director Mark J. Kington
Dominion Resources, Inc.	D	25746U109	03-May-13	Management	Elect Director Pamela J. Royal
Dominion Resources, Inc.	D	25746U109	03-May-13	Management	Elect Director Robert H. Spilman, Jr.
Dominion Resources, Inc.	D	25746U109	03-May-13	Management	Elect Director Michael E. Szymanczyk
Dominion Resources, Inc.	D	25746U109	03-May-13	Management	Elect Director David A. Wollard
Dominion Resources, Inc.	D	25746U109	03-May-13	Management	Ratify Auditors
Dominion Resources, Inc.	D	25746U109	03-May-13	Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Dominion Resources, Inc.	D	25746U109	03-May-13	Management	Provide Right to Call Special Meeting
Dominion Resources, Inc.	D	25746U109	03-May-13	Share Holder	Report on Coal Use from Mountaintop Removal Mining
Dominion Resources, Inc.	D	25746U109	03-May-13	Share Holder	Include Sustainability as a Performance Measure for Senior Executive Compensation
Dominion Resources, Inc.	D	25746U109	03-May-13	Share Holder	Minimize Pool Storage of Spent Nuclear Fuel
Dominion Resources, Inc.	D	25746U109	03-May-13	Share Holder	Report on Financial Risks of Climate Change
Enbridge Income Fund Holdings Inc.	ENF	29251R105	06-May-13	Management	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration

Page 2

Enbridge Income Fund Holdings Inc.	ENF	29251R105	06-May-13	Management	Elect Director Richard H. Auchinleck
Enbridge Income Fund Holdings Inc.	ENF	29251R105	06-May-13	Management	Elect Director J. Richard Bird
Enbridge Income Fund Holdings Inc.	ENF	29251R105	06-May-13	Management	Elect Director M. Elizabeth Cannon

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form N-PX

Enbridge Income Fund Holdings Inc.	ENF	29251R105	06-May-13	Management	Elect Director Charles W. Fischer
Enbridge Income Fund Holdings Inc.	ENF	29251R105	06-May-13	Management	Elect Director E.F.H. (Harry) Roberts
Enbridge Income Fund Holdings Inc.	ENF	29251R105	06-May-13	Management	Elect Director Gordon G. Tallman
Enbridge Income Fund Holdings Inc.	ENF	29251R105	06-May-13	Management	Amend Trust Indenture
Kinder Morgan, Inc.	KMI	49456B101	07-May-13	Management	Elect Director Richard D. Kinder
Kinder Morgan, Inc.	KMI	49456B101	07-May-13	Management	Elect Director C. Park Shaper
Kinder Morgan, Inc.	KMI	49456B101	07-May-13	Management	Elect Director Steven J. Kean
Kinder Morgan, Inc.	KMI	49456B101	07-May-13	Management	Elect Director Anthony W. Hall, Jr.
Kinder Morgan, Inc.	KMI	49456B101	07-May-13	Management	Elect Director Deborah A. Macdonald
Kinder Morgan, Inc.	KMI	49456B101	07-May-13	Management	Elect Director Michael Miller
Kinder Morgan, Inc.	KMI	49456B101	07-May-13	Management	Elect Director Michael C. Morgan
Kinder Morgan, Inc.	KMI	49456B101	07-May-13	Management	Elect Director Fayez Sarofim
Kinder Morgan, Inc.	KMI	49456B101	07-May-13	Management	Elect Director Joel V. Staff
Kinder Morgan, Inc.	KMI	49456B101	07-May-13	Management	Elect Director John Stokes
Kinder Morgan, Inc.	KMI	49456B101	07-May-13	Management	Elect Director Robert F. Vagt
Kinder Morgan, Inc.	KMI	49456B101	07-May-13	Management	Ratify Auditors
Emera Incorporated	EMA	290876101	08-May-13	Management	Elect Director Robert S. Briggs
Emera Incorporated	EMA	290876101	08-May-13	Management	Elect Director Sylvia D. Chrominska
Emera Incorporated	EMA	290876101	08-May-13	Management	Elect Director Allan L. Edgeworth
Emera Incorporated	EMA	290876101	08-May-13	Management	Elect Director James D. Eisenhauer
Emera Incorporated	EMA	290876101	08-May-13	Management	Elect Director Christopher G. Huskilton
Emera Incorporated	EMA	290876101	08-May-13	Management	Elect Director B. Lynn Loewen
Emera Incorporated	EMA	290876101	08-May-13	Management	Elect Director John T. McLennan
Emera Incorporated	EMA	290876101	08-May-13	Management	Elect Director Donald A. Pether
Emera Incorporated	EMA	290876101	08-May-13	Management	Elect Director Andrea S. Rosen
Emera Incorporated	EMA	290876101	08-May-13	Management	Elect Director Richard P. Sergel
Emera Incorporated	EMA	290876101	08-May-13	Management	Elect Director M. Jacqueline Sheppard
Emera Incorporated	EMA	290876101	08-May-13	Management	Ratify Ernst & Young LLP as Auditors

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form N-PX

Emera Incorporated	EMA	290876101	08-May-13	Management	Authorize Board to Fix Remuneration of Auditors
Emera Incorporated	EMA	290876101	08-May-13	Management	Amend Employee Common Share Purchase Plan
Enbridge Inc.	ENB	29250N105	08-May-13	Management	Elect Director David A. Arledge
Enbridge Inc.	ENB	29250N105	08-May-13	Management	Elect Director James J. Blanchard
Enbridge Inc.	ENB	29250N105	08-May-13	Management	Elect Director J. Lorne Braithwaite
Enbridge Inc.	ENB	29250N105	08-May-13	Management	Elect Director J. Herb England
Enbridge Inc.	ENB	29250N105	08-May-13	Management	Elect Director Charles W. Fischer
Enbridge Inc.	ENB	29250N105	08-May-13	Management	Elect Director V. Maureen Kempston Darkes
Enbridge Inc.	ENB	29250N105	08-May-13	Management	Elect Director David A. Leslie
Enbridge Inc.	ENB	29250N105	08-May-13	Management	Elect Director Al Monaco
Enbridge Inc.	ENB	29250N105	08-May-13	Management	Elect Director George K. Petty
Enbridge Inc.	ENB	29250N105	08-May-13	Management	Elect Director Charles E. Shultz
Enbridge Inc.	ENB	29250N105	08-May-13	Management	Elect Director Dan C. Tatcher
Enbridge Inc.	ENB	29250N105	08-May-13	Management	Elect Director Catherine L. Williams
Enbridge Inc.	ENB	29250N105	08-May-13	Management	Ratify PricewaterhouseCoopers LLP as Auditors
Enbridge Inc.	ENB	29250N105	08-May-13	Management	Advisory Vote on Executive Compensation Approach
Questar Corporation	STR	748356102	10-May-13	Management	Elect Director Teresa Beck
Questar Corporation	STR	748356102	10-May-13	Management	Elect Director R. D. Cash
Questar Corporation	STR	748356102	10-May-13	Management	Elect Director Laurence M. Downes
Questar Corporation	STR	748356102	10-May-13	Management	Elect Director Christopher A. Helms
Questar Corporation	STR	748356102	10-May-13	Management	Elect Director Ronald W. Jibson
Questar Corporation	STR	748356102	10-May-13	Management	Elect Director Keith O. Rattie
Questar Corporation	STR	748356102	10-May-13	Management	Elect Director Harris H. Simmons
Questar Corporation	STR	748356102	10-May-13	Management	Elect Director Bruce A. Williamson
Questar Corporation	STR	748356102	10-May-13	Management	Ratify Auditors
Questar Corporation	STR	748356102	10-May-13	Management	Advisory Vote to Ratify Named Executive Officers' Compensation
Page 3					
NiSource Inc.	NI	65473P105	14-May-13	Management	Elect Director Richard A.

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form N-PX

NiSource Inc.	NI	65473P105	14-May-13	Management	Abdoo Elect Director Aristides S. Candris
NiSource Inc.	NI	65473P105	14-May-13	Management	Elect Director Sigmund L. Cornelius
NiSource Inc.	NI	65473P105	14-May-13	Management	Elect Director Michael E. Jesanis
NiSource Inc.	NI	65473P105	14-May-13	Management	Elect Director Marty R. Kittrell
NiSource Inc.	NI	65473P105	14-May-13	Management	Elect Director W. Lee Nutter
NiSource Inc.	NI	65473P105	14-May-13	Management	Elect Director Deborah S. Parker
NiSource Inc.	NI	65473P105	14-May-13	Management	Elect Director Robert C. Skaggs, Jr.
NiSource Inc.	NI	65473P105	14-May-13	Management	Elect Director Teresa A. Taylor
NiSource Inc.	NI	65473P105	14-May-13	Management	Elect Director Richard L. Thompson
NiSource Inc.	NI	65473P105	14-May-13	Management	Elect Director Carolyn Y. Woo
NiSource Inc.	NI	65473P105	14-May-13	Management	Ratify Auditors
NiSource Inc.	NI	65473P105	14-May-13	Management	Advisory Vote to Ratify Named Executive Officers' Compensation
NiSource Inc.	NI	65473P105	14-May-13	Share Holder	Provide Right to Act by Written Consent
NiSource Inc.	NI	65473P105	14-May-13	Share Holder	Adopt Compensation Benchmarking Policy
The Williams Companies, Inc.	WMB	969457100	16-May-13	Management	Elect Director Alan S. Armstrong
The Williams Companies, Inc.	WMB	969457100	16-May-13	Management	Elect Director Joseph R. Cleveland
The Williams Companies, Inc.	WMB	969457100	16-May-13	Management	Elect Director Kathleen B. Cooper
The Williams Companies, Inc.	WMB	969457100	16-May-13	Management	Elect Director John A. Hagg
The Williams Companies, Inc.	WMB	969457100	16-May-13	Management	Elect Director Juanita H. Hinshaw
The Williams Companies, Inc.	WMB	969457100	16-May-13	Management	Elect Director Ralph Izzo
The Williams Companies, Inc.	WMB	969457100	16-May-13	Management	Elect Director Frank T. MacInnis
The Williams Companies, Inc.	WMB	969457100	16-May-13	Management	Elect Director Steven W. Nance
The Williams Companies, Inc.	WMB	969457100	16-May-13	Management	Elect Director Murray D. Smith
The Williams Companies, Inc.	WMB	969457100	16-May-13	Management	Elect Director Janice D. Stoney
The Williams Companies, Inc.	WMB	969457100	16-May-13	Management	Elect Director Laura A. Sugg

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form N-PX

Inc.						
The Williams Companies, Inc.	WMB	969457100	16-May-13	Management		Ratify Auditors
The Williams Companies, Inc.	WMB	969457100	16-May-13	Management		Advisory Vote to Ratify Named Executive Officers' Compensation
The Southern Company	SO	842587107	22-May-13	Management		Elect Director Juanita Powell Baranco
The Southern Company	SO	842587107	22-May-13	Management		Elect Director Jon A. Boscia
The Southern Company	SO	842587107	22-May-13	Management		Elect Director Henry A. 'Hal' Clark, III
The Southern Company	SO	842587107	22-May-13	Management		Elect Director Thomas A. Fanning
The Southern Company	SO	842587107	22-May-13	Management		Elect Director David J. Grain
The Southern Company	SO	842587107	22-May-13	Management		Elect Director H. William Habermeyer, Jr.
The Southern Company	SO	842587107	22-May-13	Management		Elect Director Veronica M. Hagen
The Southern Company	SO	842587107	22-May-13	Management		Elect Director Warren A. Hood, Jr.
The Southern Company	SO	842587107	22-May-13	Management		Elect Director Donald M. James
The Southern Company	SO	842587107	22-May-13	Management		Elect Director Dale E. Klein
The Southern Company	SO	842587107	22-May-13	Management		Elect Director William G. Smith, Jr.
The Southern Company	SO	842587107	22-May-13	Management		Elect Director Steven R. Specker
The Southern Company	SO	842587107	22-May-13	Management		Elect Director E. Jenner Wood, III
The Southern Company	SO	842587107	22-May-13	Management		Ratify Auditors
The Southern Company	SO	842587107	22-May-13	Management		Advisory Vote to Ratify Named Executive Officers' Compensation
The Southern Company	SO	842587107	22-May-13	Management		Alter Mandatory Retirement Policy for Directors
The Southern Company	SO	842587107	22-May-13	Management		Reduce Supermajority Vote Requirement
The Southern Company	SO	842587107	22-May-13	Management		Reduce Supermajority Vote Requirement
CorEnergy Infrastructure Trust, Inc.	CORR	21870U205	29-May-13	Management		Elect Director Richard C. Green
CorEnergy Infrastructure Trust, Inc.	CORR	21870U205	29-May-13	Management		Elect Director John R. Graham
CorEnergy Infrastructure Trust, Inc.	CORR	21870U205	29-May-13	Management		Ratify Auditors

Edgar Filing: FIRST TRUST MLP & ENERGY INCOME FUND - Form N-PX

SIGNATURES

[See General Instruction F]

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) FIRST TRUST MLP AND ENERGY INCOME FUND

By (Signature and Title)* /s/ Mark R. Bradley

Mark R. Bradley, President

Date July 31, 2013

* Print the name and title of each signing officer under his or her signature.