

FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND

Form NSAR-A

July 29, 2013

PAGE 1
000 A000000 05/31/2013
000 C000000 1392994
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FT SPECIALTY FINANCE & FINANCIAL OPP FUND
001 B000000 811-22039
001 C000000 6307658000
002 A000000 120 E. Liberty Drive, Suite 400
002 B000000 Wheaton
002 C000000 IL
002 D010000 60187
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 FIRST TRUST ADVISORS L.P.
008 B000001 A
008 C000001 801-39950
008 D010001 Wheaton
008 D020001 IL
008 D030001 60187
008 A000002 CONFLUENCE INVESTMENT MANAGEMENT LLC
008 B000002 S
008 C000002 801-68723
008 D010002 Saint Louis
008 D020002 MO
008 D030002 63119
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
010 B000001 84-1761
010 C010001 WILMINGTON
010 C020001 DE
010 C030001 19809
011 A000001 A.G. EDWARDS & SONS INC.
011 B000001 8-013580
011 C010001 ST. LOUIS
011 C020001 MO
011 C030001 63103
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
012 B000001 84-1761
012 C010001 WILMINGTON
012 C020001 DE
012 C030001 19809
013 A000001 DELOITTE & TOUCHE LLP
PAGE 2
013 B010001 CHICAGO
013 B020001 IL
013 B030001 60606
013 B040001 4301
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form NSAR-A

015 C010001 NEW YORK
 015 C020001 NY
 015 C030001 10286
 018 000000 Y
 019 A000000 Y
 019 B000000 102
 019 C000000 FIRSTDEFIN
 020 A000001 STIFEL, NICOLAUS & CO. INC.
 020 B000001 43-0538770
 020 C000001 14
 020 A000002 WELLS FARGO
 020 B000002 41-0449260
 020 C000002 6
 020 A000003 JPMORGAN CHASE & CO
 020 B000003 13-3224016
 020 C000003 5
 020 A000004 RBC CAPITAL MARKETS CORP.
 020 B000004 13-5033225
 020 C000004 3
 021 000000 28
 022 A000001 Dain Bosworth, Inc.
 022 C000001 1051
 022 D000001 0
 022 A000002 CITI GROUP
 022 B000002 13-5266470
 022 C000002 1007
 022 D000002 0
 022 A000003 WELLS FARGO
 022 B000003 41-0449260
 022 C000003 974
 022 D000003 0
 022 A000004 STIFEL, NICOLAUS & CO. INC.
 022 B000004 43-0538770
 022 C000004 680
 022 D000004 0
 022 A000005 RAYMOND JAMES & ASSOCIATES INC.
 022 B000005 59-1237041
 022 C000005 610
 022 D000005 0
 022 A000006 Merriman Curham
 022 B000006 11-2936371
 022 C000006 170
 022 D000006 0
 022 A000007 BB&T ASSET MANAGEMENT, INC.
 022 B000007 56-1074313
 PAGE 3
 022 C000007 61
 022 D000007 0
 023 C000000 4580
 023 D000000 0
 024 000000 N
 026 A000000 N
 026 B000000 N
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 028 A010000 0

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form NSAR-A

028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
	PAGE 4	
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	1.000
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form NSAR-A

048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 0
 048 K020000 0.000
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 PAGE 5
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 Y
 054 O000000 Y
 055 A000000 N
 055 B000000 Y
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 N
 060 B000000 N
 061 000000 2000
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form NSAR-A

063	B000000	0.0
066	A000000	Y
066	B000000	N
066	C000000	N
066	D000000	N
066	E000000	N
066	F000000	Y
066	G000000	N
067	000000	N
068	A000000	N
068	B000000	N
069	000000	N
070	A010000	Y
070	A020000	N
070	B010000	Y
	PAGE	6
070	B020000	N
070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	Y
070	E020000	N
070	F010000	Y
070	F020000	N
070	G010000	Y
070	G020000	N
070	H010000	Y
070	H020000	N
070	I010000	Y
070	I020000	N
070	J010000	Y
070	J020000	Y
070	K010000	Y
070	K020000	N
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	Y
070	N010000	Y
070	N020000	N
070	O010000	Y
070	O020000	Y
070	P010000	N
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	Y
070	R020000	N
071	A000000	8649
071	B000000	6810
071	C000000	138897
071	D000000	5
072	A000000	6
072	B000000	1
072	C000000	6483
072	D000000	0
072	E000000	0
072	F000000	711
072	G000000	64
072	H000000	0
072	I000000	16

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form NSAR-A

072 J000000 8
 072 K000000 0
 072 L000000 32
 072 M000000 10
 072 N000000 0

PAGE 7

072 O000000 0
 072 P000000 135
 072 Q000000 0
 072 R000000 23
 072 S000000 8
 072 T000000 0
 072 U000000 0
 072 V000000 0
 072 W000000 16
 072 X000000 1023
 072 Y000000 0
 072 Z000000 5461
 072AA000000 0
 072BB000000 906
 072CC010000 0
 072CC020000 0
 072DD010000 4713
 072DD020000 0
 072EE000000 0
 073 A010000 0.3300
 073 A020000 0.0000
 073 B000000 0.0000
 073 C000000 0.0000
 074 A000000 274
 074 B000000 0
 074 C000000 0
 074 D000000 0
 074 E000000 0
 074 F000000 140699
 074 G000000 0
 074 H000000 0
 074 I000000 0
 074 J000000 106
 074 K000000 0
 074 L000000 254
 074 M000000 18
 074 N000000 141351
 074 O000000 185
 074 P000000 124
 074 Q000000 23000
 074 R010000 0
 074 R020000 0
 074 R030000 0
 074 R040000 81
 074 S000000 0
 074 T000000 117961
 074 U010000 14283
 074 U020000 0
 074 V010000 8.26
 074 V020000 0.00
 074 W000000 0.0000

PAGE 8

074 X000000 8
 074 Y000000 0
 075 A000000 0
 075 B000000 119574

Edgar Filing: FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND - Form NSAR-A

076	000000	8.38	
077	A000000	Y	
077	B000000	N	
077	C000000	Y	
077	D000000	N	
077	E000000	N	
077	F000000	N	
077	G000000	N	
077	H000000	N	
077	I000000	N	
077	J000000	N	
077	K000000	N	
077	L000000	N	
077	M000000	N	
077	N000000	N	
077	O000000	N	
077	P000000	N	
077	Q010000	N	
077	Q020000	N	
077	Q030000	N	
078	000000	N	
080	C000000		0
081	B000000	0	
082	B000000		0
083	B000000		0
084	B000000		0
086	A010000		0
086	A020000		0
086	B010000		0
086	B020000		0
086	C010000		0
086	C020000		0
086	D010000		0
086	D020000		0
086	E010000		0
086	E020000		0
086	F010000		0
086	F020000		0
087	A010000	COMMON STOCK	
087	A020000	33733G109	
087	A030000	FGB	
088	A000000	N	
088	B000000	N	
088	C000000	N	
088	D000000	N	
SIGNATURE	JAMES M. DYKAS		
TITLE	TREASURER		