

CROCE MICHAEL  
Form 4  
April 17, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CROCE MICHAEL

2. Issuer Name and Ticker or Trading Symbol  
Stock Yards Bancorp, Inc. [SYBT]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

PO BOX 32890

04/16/2018

Executive Vice President

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

LOUISVILLE, KY 40232

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock                    |                                      |  |                                |   | 6,806   | I  | by 401k/ESOP-fbo Michael Croce                        |
| Common Stock                    | 04/16/2018                           |  | A                              | 3,240 A <u>(2)</u>  | 11,096.8039 <u>(1)</u>  | D  |   |
| Common Stock                    | 04/16/2018                           |  | F                              | 1,607 D <u>(2)</u>  | 9,489.8039  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form**

SEC 1474 (9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount or Number of Shares |
| Stock Appreciation Right                   | \$ 35.9  |                                      |  |                                |   | 02/20/2019   | 02/20/2028  | Common Stock | 3,162                      |
| Stock Appreciation Right                   | \$ 14.76   |                                      |  |                                |   | 02/17/2010   | 02/17/2019  | Common Stock | 2,250                      |
| Stock Appreciation Right                   | \$ 14.02   |                                      |  |                                |   | 02/16/2011   | 02/16/2020  | Common Stock | 2,250                      |
| Stock Appreciation Right                   | \$ 15.84   |                                      |  |                                |   | 03/15/2012   | 03/15/2021  | Common Stock | 1,500                      |
| Stock Appreciation Right                   | \$ 15.24   |                                      |  |                                |   | 02/20/2013   | 02/20/2022  | Common Stock | 1,500                      |
| Stock Appreciation Right                   | \$ 19.44   |                                      |  |                                |   | 06/24/2015   | 06/24/2024  | Common Stock | 3,240                      |
| Stock Appreciation Right                   | \$ 22.96   |                                      |  |                                |   | 03/17/2016   | 03/17/2025  | Common Stock | 4,236                      |
| Stock Appreciation Right                   | \$ 25.76   |                                      |  |                                |   | 03/15/2017   | 03/15/2026  | Common Stock | 5,979                      |
| Stock Appreciation Right                   | \$ 40  |                                      |  |                                |   | 03/21/2018   | 03/21/2027  | Common Stock | 3,222                      |

## Reporting Owners

| Reporting Owner Name / Address                        | Relationships |           |                          |       |
|---|---------------|-----------|--------------------------|-------|
|   | Director      | 10% Owner | Officer                  | Other |
| CROCE MICHAEL<br>PO BOX 32890<br>LOUISVILLE, KY 40232 |               |           | Executive Vice President |       |

## Signatures

/s/ Michael  
Croce 04/17/2018

    Signature of                      Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired through automatic dividend reinvestment.
- (2) Restricted Stock Unit grant certification.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.