Poindexter Philip Form 4 March 27, 2018

## FORM 4

Form 5

obligations

may continue.

See Instruction

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Section 16. Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Poindexter Philip			2. Issuer Name <b>and</b> Ticker or Trading  Symbol	5. Relationship of Reporting Person(s) to Issuer		
			Stock Yards Bancorp, Inc. [SYBT]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction			
			(Month/Day/Year)	Director 10% Owner		
320 MOCKIN	NGBIRD F	HILL ROAD	03/23/2018	X_ Officer (give title Other (specify below) below)  Executive Vice President		
	(Ct		4 IC4			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person		

#### LOUISVILLE, KY 40207

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	ve Sec	urities	Acquired, Dispo	osed of, or Be	eneficially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)  (A) or		) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/23/2018		Code V A	Amount 4,703	(D)	Price \$ 34	27,717	D	
Common Stock	03/23/2018		F	2,202	D	\$ 34	25,515	D	
Common Stock							12,094	I	401k/ESOP-FBO Philip Poindexter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**OMB APPROVAL** 

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January 31,

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Person

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### Edgar Filing: Poindexter Philip - Form 4

#### number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 35.9					02/20/2019	02/20/2028	Common Stock	4,872
Stock Appreciation Right	\$ 15.24					02/20/2013	02/20/2022	Common Stock	10,698
Stock Appreciation Right	\$ 15.26					02/19/2014	02/19/2023	Common Stock	7,575
Stock Appreciation Right	\$ 19.37					02/18/2015	02/18/2024	Common Stock	8,872
Stock Appreciation Right	\$ 22.96					03/17/2016	03/17/2025	Common Stock	8,098
Stock Appreciation Right	\$ 25.76					03/15/2017	03/15/2026	Common Stock	9,469
Stock Appreciation Right	\$ 40					03/21/2018	03/21/2017	Common Stock	4,968
Stock Appreciation Right	\$ 15.84					03/15/2012	03/15/2021	Common Stock	6,145

# **Reporting Owners**

Reporting Owner Name / Address

Reporting Owners 2

Relationships

### Edgar Filing: Poindexter Philip - Form 4

Director 10% Owner Officer Other

Poindexter Philip 320 MOCKINGBIRD HILL ROAD LOUISVILLE, KY 40207

**Executive Vice President** 

## **Signatures**

/s/ Philip

Poindexter 03/27/2018

\*\*Signature of Reporting Person

# **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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