Stock Yards Bancorp, Inc. Form 4

November 15, 2016

Common

Stock

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB** 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Stinnett Thomas C Issuer Symbol Stock Yards Bancorp, Inc. [SYBT] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X_ Officer (give title Other (specify 3814 ROCK BAY DRIVE 11/14/2016 below) below) **Executive Vice President** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting LOUISVILLE, KY 40245 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3, 4 and 5) (Instr. 3) Code Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 11/14/2016 M 3,300 A $21,742 \frac{(1)}{2}$ D Stock 15.58 Common 11/14/2016 S 2,002 D \$40 D 19,740 Stock Common 399 Ι By Spouse Stock

KSOP -

Thomas

Stinnett

fbo

10,510

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. Number ansaction Derivative Securities astr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Stock Appreciation Right	\$ 15.58	11/14/2016		M		3,300	02/19/2009	02/19/2018	Common Stock	3,30
Stock Appreciation Right	\$ 25.76						03/15/2017	03/15/2026	Common Stock	6,87
Stock Appreciation Right	\$ 14.76						02/17/2010	02/17/2019	Common Stock	3,00
Stock Appreciation Right	\$ 14.02						02/16/2011	02/16/2020	Common Stock	3,00
Stock Appreciation Right	\$ 15.84						03/15/2012	03/15/2021	Common Stock	4,23
Stock Appreciation Right	\$ 15.24						02/20/2013	02/20/2022	Common Stock	7,75
Stock Appreciation Right	\$ 15.26						02/19/2014	02/19/2023	Common Stock	11,50
Stock Appreciation Right	\$ 19.37						02/18/2015	02/18/2024	Common Stock	6,86
Stock Appreciation	\$ 22.96						03/17/2016	03/17/2025	Common Stock	5,48

Right

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Stinnett Thomas C

3814 ROCK BAY DRIVE Executive Vice President

LOUISVILLE, KY 40245

Signatures

/s/ Thomas C. Stinnett 11/15/2016

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired through automatic dividend reinvestment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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