

Chan Kin
Form 3
November 24, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Chan Kin

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

11/17/2017

3. Issuer Name **and** Ticker or Trading Symbol
ONE Group Hospitality, Inc. [STKS]

4. Relationship of Reporting
Person(s) to Issuer

5. If Amendment, Date Original
Filed(Month/Day/Year)

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer ☐ Other
(give title below) (specify below)

6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

UNIT 601-2, 6TH FLOOR,Â ST.
GEORGE'S BUILDING, 2 ICE
HOUSE ST.

(Street)

CENTRAL,Â K3Â 00000

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities
Beneficially Owned
(Instr. 4)

3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)

4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

120,000

I

By ASM Co-Investment Term
Trust ⁽¹⁾

Common Stock

520,000

I

By ASM Connaught House Fund
LP ⁽¹⁾

Common Stock

360,000

I

By ASM Connaught House
(Master) Fund II LP ⁽¹⁾

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

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information contained in this form are not
required to respond unless the form displays a
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Warrants	05/15/2018	05/15/2023	Common Stock	60,000	\$ 1.63	I	By ASM Co-Investment Term Trust ⁽¹⁾
Warrants	05/15/2018	05/15/2023	Common Stock	260,000	\$ 1.63	I	By ASM Connaught House Fund LP ⁽¹⁾
Warrants	05/15/2018	05/15/2023	Common Stock	180,000	\$ 1.63	I	By ASM Connaught House (Master) Fund II LP ⁽¹⁾

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Chan Kin UNIT 601-2, 6TH FLOOR ST. GEORGE'S BUILDING, 2 ICE HOUSE ST. CENTRAL, K3 00000	Â X	Â	Â	Â

Signatures

Kin Chan 11/24/2017
 **Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Argyle Street Management Limited is the investment manager of each of ASM Co-Investment Term Trust, ASM Connaught House Fund LP and ASM Connaught House (Master) Fund II LP. Kin Chan is the Chief Investment Officer of Argyle Street Management Limited.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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