

Edgar Filing: SOURCE CAPITAL INC /DE/ - Form NSAR-A

SOURCE CAPITAL INC /DE/

Form NSAR-A

August 29, 2017

PAGE 1  
000 A000000 06/30/2017  
000 C000000 0000091847  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 SOURCE CAPITAL, INC.  
001 B000000 811-1731  
001 C000000 3104730225  
002 A000000 11601 Wilshire Blvd., Suite 1200  
002 B000000 Los Angeles  
002 C000000 CA  
002 D010000 90025  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 FIRST PACIFIC ADVISORS, LLC  
008 B000001 A  
008 C000001 801-67160  
008 D010001 LOS ANGELES  
008 D020001 CA  
008 D030001 90025  
010 A000001 STATE STREET BANK AND TRUST COMPANY  
010 B000001 85-05003  
010 C010001 BOSTON  
010 C020001 MA  
010 C030001 02111  
012 A000001 AMERICAN STOCK TRANSFER & TRUST CO LLC  
012 B000001 84-00416  
012 C010001 BROOKLYN  
012 C020001 NY  
012 C030001 11219  
013 A000001 DELOITTE & TOUCHE LLP  
013 B010001 LOS ANGELES  
013 B020001 CA  
013 B030001 90071  
013 B040001 3462  
015 A000001 STATE STREET BANK AND TRUST COMPANY  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02105  
015 E010001 X  
018 000000 Y  
PAGE 2  
019 A000000 N  
019 B000000 0  
020 A000001 CONVERGEXEXECUTION SOLUTIONS, LLC  
020 B000001 13-3989198  
020 C000001 14  
020 A000002 CREDIT SUISSE SECURITIES (USA) LLC

Edgar Filing: SOURCE CAPITAL INC /DE/ - Form NSAR-A

020 B000002 13-5659485  
020 C000002 6  
020 A000003 MORGAN STANLEY & CO. INC  
020 B000003 13-2655998  
020 C000003 2  
020 A000004 MERRILL LYNCH & CO, INC  
020 B000004 13-5674085  
020 C000004 2  
020 A000005 BMO Capital Markets Corp  
020 B000005 13-3459853  
020 C000005 1  
020 A000006 BARCLAYS CAPITAL, INC.  
020 B000006 05-0346412  
020 C000006 1  
020 A000007 STIFEL FINANCIAL CORP  
020 B000007 43-1273600  
020 C000007 1  
020 A000008 SANFORD C. BERNSTEIN & CO., LLC  
020 B000008 13-4132953  
020 C000008 1  
020 A000009 UBS AG  
020 B000009 13-3203169  
020 C000009 1  
020 A000010 DEUTSCHE BANK SECURITIES INC.  
020 B000010 13-2730828  
020 C000010 1  
021 000000 88  
022 A000001 STATE STREET BANK & TRUST COMPANY  
022 B000001 04-1867445  
022 C000001 1770987  
022 D000001 0  
022 A000002 GENERAL ELECTRIC CAPITAL CORP  
022 B000002 13-1300700  
022 C000002 279972  
022 D000002 0  
022 A000003 CITIGROUP  
022 B000003 13-3214963  
022 C000003 54296  
022 D000003 1893  
022 A000004 WELLS FARGO INVESTMENTS  
022 B000004 91-1374280  
022 C000004 7644  
022 D000004 2555  
022 A000005 JP MORGAN CHASE & CO.  
022 B000005 74-3023395  
PAGE 3  
022 C000005 1365  
022 D000005 6044  
022 A000006 MERRILL LYNCH & CO, INC  
022 B000006 13-5674085  
022 C000006 3594  
022 D000006 773  
022 A000007 ROYAL BANK OF CANADA  
022 B000007 56-1688522  
022 C000007 1834  
022 D000007 2080  
022 A000008 BARCLAYS CAPITAL, INC.  
022 B000008 05-0346412  
022 C000008 2949  
022 D000008 668  
022 A000009 NOMURA SECURITIES  
022 B000009 13-2642206

Edgar Filing: SOURCE CAPITAL INC /DE/ - Form NSAR-A

022	C000009	2838
022	D000009	697
022	A000010	PERSHING LLC
022	B000010	13-2741729
022	C000010	3401
022	D000010	0
023	C000000	2148027
023	D000000	20828
024	000000	Y
025	A000001	CITIGROUP
025	B000001	13-3214963
025	C000001	E
025	D000001	16073
025	A000002	CITIGROUP
025	B000002	13-3214963
025	C000002	D
025	D000002	76
025	A000003	CREDIT SUISSE SECURITIES (USA) INC.
025	B000003	05-0546650
025	C000003	D
025	D000003	819
025	A000004	GENERAL ELECTRIC CAPITAL CORP
025	B000004	13-1300700
025	C000004	E
025	D000004	9172
025	A000005	MORGAN STANLEY & CO. INC
025	B000005	13-2655998
025	C000005	D
025	D000005	260
026	A000000	N
026	B000000	Y
026	C000000	Y
026	D000000	Y
026	E000000	N
026	F000000	N
	PAGE	4
026	G010000	N
026	G020000	N
026	H000000	N
027	000000	N
028	A010000	0
028	A020000	0
028	A030000	0
028	A040000	0
028	B010000	0
028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0

Edgar Filing: SOURCE CAPITAL INC /DE/ - Form NSAR-A

028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
	PAGE 5	
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.000
048	A010000	100000
048	A020000	0.725
048	B010000	100000
048	B020000	0.700
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	200000
048	K020000	0.675
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	Y
053	B000000	Y
053	C000000	N
054	A000000	Y

Edgar Filing: SOURCE CAPITAL INC /DE/ - Form NSAR-A

054 B000000 N  
054 C000000 N  
054 D000000 N  
054 E000000 N  
054 F000000 N  
054 G000000 Y  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 N  
054 L000000 N  
054 M000000 Y  
054 N000000 N  
054 O000000 Y  
055 A000000 N  
PAGE 6  
055 B000000 N  
056 000000 Y  
057 000000 N  
058 A000000 N  
059 000000 Y  
060 A000000 Y  
060 B000000 Y  
061 000000 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
063 A000000 0  
063 B000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
066 E000000 N  
066 F000000 N  
066 G000000 Y  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 Y  
070 B010000 Y  
070 B020000 N  
070 C010000 N  
070 C020000 N  
070 D010000 N

Edgar Filing: SOURCE CAPITAL INC /DE/ - Form NSAR-A

070	D020000	N	
070	E010000	N	
070	E020000	N	
070	F010000	N	
070	F020000	N	
	PAGE	7	
070	G010000	N	
070	G020000	N	
070	H010000	N	
070	H020000	N	
070	I010000	N	
070	I020000	N	
070	J010000	Y	
070	J020000	Y	
070	K010000	Y	
070	K020000	N	
070	L010000	Y	
070	L020000	Y	
070	M010000	Y	
070	M020000	Y	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	N	
070	P010000	Y	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		65277
071	B000000		43964
071	C000000		342073
071	D000000	13	
072	A000000	6	
072	B000000		2046
072	C000000		1946
072	D000000		0
072	E000000		0
072	F000000		1271
072	G000000		9
072	H000000		0
072	I000000		69
072	J000000		27
072	K000000		0
072	L000000		86
072	M000000		93
072	N000000		12
072	O000000		56
072	P000000		0
072	Q000000		0
072	R000000		24
072	S000000		80
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		19
	PAGE	8	
072	X000000		1746
072	Y000000		0
072	Z000000		2246
072	AA000000		2244

Edgar Filing: SOURCE CAPITAL INC /DE/ - Form NSAR-A

072BB000000	0
072CC010000	18777
072CC020000	0
072DD010000	6037
072DD020000	0
072EE000000	0
073 A010000	0.7000
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	1
074 B000000	10081
074 C000000	9993
074 D000000	128531
074 E000000	0
074 F000000	227129
074 G000000	0
074 H000000	0
074 I000000	0
074 J000000	5
074 K000000	0
074 L000000	928
074 M000000	2
074 N000000	376670
074 O000000	4338
074 P000000	213
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	178
074 S000000	0
074 T000000	371941
074 U010000	8623480
074 U020000	0
074 V010000	43.13
074 V020000	0.00
074 W000000	0.0000
074 X000000	4300
074 Y000000	6886
075 A000000	0
075 B000000	367010
076 000000	38.65
077 A000000	Y
077 B000000	N
077 C000000	Y
077 D000000	N
PAGE 9	
077 E000000	N
077 F000000	N
077 G000000	N
077 H000000	N
077 I000000	N
077 J000000	N
077 K000000	N
077 L000000	N
077 M000000	N
077 N000000	N
077 O000000	N
077 P000000	N
077 Q010000	N
077 Q020000	N

Edgar Filing: SOURCE CAPITAL INC /DE/ - Form NSAR-A

077 Q030000 N  
078 000000 N  
080 C000000 0  
081 B000000 0  
082 B000000 0  
083 B000000 0  
084 B000000 0  
086 A010000 0  
086 A020000 0  
086 B010000 16911  
086 B020000 619865  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 836144-10  
087 A030000 SOR  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE J. RICHARD ATWOOD  
TITLE PRESIDENT