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CALIX, INC Form 8-K April 12, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 6, 2018

CALIX, INC.

(Exact name of Registrant as specified in its charter)

Delaware 001-34674 68-0438710 (State or other jurisdiction (Commission (I.R.S. Employer of incorporation) File No.) Identification No.)

1035 N. McDowell Boulevard, Petaluma, 94954

California

(Address of principal executive offices) (Zip Code)

(707) 766-3000

(Registrant's telephone number, including area code)

Not Applicable

(Former name or former address if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- oWritten communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- oPre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- oPre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§ 230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§ 240.12b-2 of this chapter).

Emerging Growth Company o

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act). o

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Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

(b), (c) On April 6, 2018, Ms. Sheila Cheung resigned as Vice President, Finance and Accounting and Principal Accounting Officer of Calix, Inc. (the "Company"). Ms. Cheung's last date of employment with the Company will be May 31, 2018.

Mr. Cory Sindelar, the Company's Chief Financial Officer and Principal Financial Officer, will serve as Principal Accounting Officer following Ms. Cheung's departure.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: April 12, 2018 CALIX, INC.

By: /s/ Suzanne Tom Suzanne Tom VP, General Counsel