**ALTERA CORP** Form 4 May 16, 2014

## FORM 4

## **OMB APPROVAL**

Expires:

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number: January 31,

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

2005 Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* SCHUELKE KATHERINE

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

ALTERA CORP [ALTR]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director

10% Owner

101 INNOVATION DRIVE

(Street)

(Month/Day/Year)

X\_ Officer (give title below)

Other (specify

05/14/2014

Sr VP, GC & Secretary

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

SAN JOSE, CA 95134

(City)	(State)	Zip) Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)		d of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	05/14/2014		M	3,202	A	\$ 0 (1)	42,704	D	
Common Stock	05/14/2014		M	3,312	A	\$ 0 (1)	46,016	D	
Common Stock	05/14/2014		F	1,185 (2)	D	\$ 32.43 (2)	44,831	D	
Common Stock	05/14/2014		F	1,245 (2)	D	\$ 32.43 (2)	43,586 (3)	D	

### Edgar Filing: ALTERA CORP - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transactiono Code S (Instr. 8) A (L)	6. Number of Derivative Securities Acquired A) or Disposed of D) Instr. 3, 4, and 5)	6. Date Exercisal Expiration Date (Month/Day/Year		7. Title and Underlying 3 (Instr. 3 and	Securities
				Code V (	A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit (RSU)	(1)	05/14/2014		M	3,202	05/14/2014(4)	<u>(4)</u>	Common Stock	3,202
Restricted Stock Unit (RSU)	<u>(1)</u>	05/14/2014		M	3,312	05/14/2014(5)	<u>(5)</u>	Common Stock	3,312

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
Tre por ting o where I taking / II a are ess	

Director 10% Owner Officer Other

SCHUELKE KATHERINE 101 INNOVATION DRIVE SAN JOSE, CA 95134

Sr VP, GC & Secretary

## **Signatures**

Katherine E.
Schuelke

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit ("RSU") represents a contingent right to receive at settlement one share of Altera common stock at no cost.

**(2)** 

Reporting Owners 2

#### Edgar Filing: ALTERA CORP - Form 4

Shares of common stock were withheld at vesting to cover required tax withholding. The fair market value of Altera common stock used for purposes of calculating the number of shares to be withheld was the closing price of Altera common stock as reported on the NASDAQ Stock Market on such vesting date.

- (3) Includes shares of common stock acquired under the Altera Corporation 1987 Employee Stock Purchase Plan on April 30, 2014.
- (4) On May 7, 2012, the Reporting Person received a grant of RSUs that vest over a four-year period. One-fourth of the RSUs vested on May 14, 2014 and the shares were delivered to the Reporting Person on such date.
- On May 6, 2013, the Reporting Person received a grant of RSUs that vest over a four-year period. One-fourth of the RSUs vested on May 14, 2014 and the shares were delivered to the Reporting Person on such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.