

Firsthand Technology Value Fund, Inc.  
 Form 4  
 November 14, 2014

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**Bulldog Investors General Partnership**

2. Issuer Name and Ticker or Trading Symbol  
**Firsthand Technology Value Fund, Inc. [SVVC]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
 11/07/2014

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
 \_\_\_ Officer (give title below) \_\_\_ Other (specify below)

**PARK 80 WEST - PLAZA  
 TWO, 250 PEHLE AVE., SUITE  
 708**

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_\_\_ Form filed by One Reporting Person  
 \_\_\_X\_\_\_ Form filed by More than One Reporting Person

**SADDLE BROOK, NJ 07663**

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	11/07/2014		S		1,200	D	\$ 22.7083
Common Stock	11/11/2014		S		109	D	\$ 22.6504
Common Stock	11/12/2014		S		309	D	\$ 22.66
Common Stock	11/12/2014		S		255	D	\$ 22.7064
	11/11/2014		S		7,412	D	
							14,802
							14,547
							1,030,431

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Common Stock					\$			
					22.6504			
Common Stock	11/12/2014		S	19,561	D	\$ 22.66	1,010,870	D <u>(2)</u> <u>(3)</u>
Common Stock	11/12/2014		S	16,101	D	\$ 22.7064	994,769	D <u>(2)</u> <u>(3)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 5)
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## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Bulldog Investors General Partnership PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
Opportunity Income Plus LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		
OPPORTUNITY PARTNERS LP PARK 80 WEST - PLAZA TWO 250 PEHLE AVE., SUITE 708 SADDLE BROOK, NJ 07663		X		

Calapasas West Partners LP  
 PARK 80 WEST - PLAZA TWO  
 250 PEHLE AVE., SUITE 708  
 SADDLE BROOK, NJ 07663 X

Full Value Special Situations Fund LP  
 PARK 80 WEST - PLAZA TWO  
 250 PEHLE AVE., SUITE 708  
 SADDLE BROOK, NJ 07663 X

Full Value Offshore Fund, Ltd.  
 PARK 80 WEST - PLAZA TWO  
 250 PEHLE AVE., SUITE 708  
 SADDLE BROOK, NJ 07663 X

FULL VALUE PARTNERS LP  
 PARK 80 WEST - PLAZA TWO  
 250 PEHLE AVE., SUITE 708  
 SADDLE BROOK, NJ 07663 X

MCM Opportunity Partners LP  
 PARK 80 WEST - PLAZA TWO  
 250 PEHLE AVE., SUITE 708  
 SADDLE BROOK, NJ 07663 X

STEADY GAIN PARTNERS LP  
 PARK 80 WEST - PLAZA TWO  
 250 PEHLE AVE., SUITE 708  
 SADDLE BROOK, NJ 07663 X

MERCURY PARTNERS L P  
 PARK 80 WEST - PLAZA TWO  
 250 PEHLE AVE., SUITE 708  
 SADDLE BROOK, NJ 07663 X

## Signatures

/s/ Phillip Goldstein - Manager of the Managing General Partner - Bulldog Investors General Partnership 11/14/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Opportunity Income Plus, LP 11/14/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Opportunity Partners, LP 11/14/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Calapasas West Partners, LP 11/14/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Full Value Special Situations Fund, LP 11/14/2014

\_\_Signature of Reporting Person Date

/s/ Phillip Goldstein - Manager of the General Partner - Full Value Offshore Fund, Ltd. 11/14/2014

\_\_Signature of Reporting Person Date

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/s/ Phillip Goldstein - Manager of the General Partner - Full Value Partners, LP	11/14/2014
__Signature of Reporting Person	Date
/s/ Phillip Goldstein - Manager of the General Partner - MCM Opportunity Partners, LP	11/14/2014
__Signature of Reporting Person	Date
/s/ Barry Swidler - Member of the General Partner - Steady Gain Partners, LP	11/14/2014
__Signature of Reporting Person	Date
/s/ Glenn Goodstein - Member of the General Partner - Mercury Partners, LP	11/14/2014
__Signature of Reporting Person	Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares are held by Opportunity Income Plus, LP.
- (2) Shares are held by Bulldog Investors General Partnership.

- (3) Certain of such shares are also held indirectly by Opportunity Partners, LP, Calapasas West Partners, LP, Full Value Special Situations Fund, LP, Full Value Offshore Fund, Ltd., Full Value Partners, LP, MCM Opportunity Partners, LP, Steady Gain Partners, LP, and Mercury Partners, LP (the "Funds"). Each Fund disclaims beneficial ownership of such shares, except to the extent of its pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.