Simplicity Bancorp, Inc. Form 4

July 31, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

response...

5. Relationship of Reporting Person(s) to

Issuer

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average burden hours per

0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Simplicity Bancorp, Inc. [SMPL]

Symbol

1(b).

Luton Dustin

(Print or Type Responses)

1. Name and Address of Reporting Person *

| | | | Simplicity Bancorp, Inc. [SMPL] | | | | | L] | (Check all applicable) | | | |
|--------------------------------------|------------------------------------------------------------------------------------------|--------------------|-----------------------------------|---------------------------------------|--|---------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------|--|
| (Last) 1359 N. GRA | 3. Date of Earliest Transaction (Month/Day/Year) 07/30/2014 | | | | | | Director 10% Owner Selow) Officer (give title Other (specify below) Chief Executive Officer | | | | | |
| COVINA, C | (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) COVINA, CA 91724 | | | | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (City) | (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction D (Month/Day/Yea | ar) Executi any | emed on Date, if /Day/Year) | Transaction Code I (Instr. 8) (| | 4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 07/30/2014 | | | A | | 6,902 (1) | A | \$0 | 40,116 (2) | D | | |
| Common Stock | | | | | | | | | 6,411 | I | By 401(k) | |
| Common Stock | | | | | | | | | 11,791 | I | By ESOP | |
| Common Stock | | | | | | | | | 1,438 | I | By IRA | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 8. Pri Deriv Secur (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8 I S (|
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|---------------------------------------|-------------------------------------------------------------------------------------------|----------------------------------------------------------|--------------------|---------------------------------------------------------------|-------------------------------------|------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Stock Options | \$ 12.3 | | | | | 06/28/2012 | 06/28/2021 | Common Stock | 8,000 (3) | |
| Stock Options | \$ 10.8424 | | | | | 01/30/2010 | 01/30/2019 | Common Stock | 14,388 (4) | |
| Stock Options | \$ 24.1868 | | | | | 11/15/2007 | 11/15/2016 | Common Stock | 28,776 (4) | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Luton Dustin

1359 N. GRAND AVE. Chief Executive Officer

COVINA, CA 91724

Signatures

/s/ Dustin Luton 07/30/2014

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of restricted stock vest at a rate of 20% per year over a period ending on July 30, 2019.
- (2) Includes shares of restricted stock that vest at a rate of 20% per year over periods ending on June 28, 2016, July 25, 2017 and August 28, 2018.

Reporting Owners 2

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- (3) Stock options vest at a rate of 20% per year over a period ending on June 28, 2016.
- (4) Stock options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.