Amtrust Financial Services, Inc.

Form 4

March 07, 2017

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

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Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * LONGO CHRISTOPHER			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			Amtrust Financial Services, Inc. [AFSI]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	Director 10% OwnerX_ Officer (give title Other (specify			
C/O AMTRUST FINANCIAL			03/05/2017	below) below) EVP, COO & CIO			
SERVICES, INC., 59 MAIDEN				Ev1, 800 & 810			
LANE, 43R	D FLOOR						
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line)			
NEW YORK, NY 10038				_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)					

(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Own								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	. Transaction Date 2A. Deemed Month/Day/Year) Execution Date, if any (Month/Day/Year)		4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	03/05/2017		M	8,708	A (1)	\$0	637,824	D	
Common Stock	03/05/2017		F	3,154 (2)	D	\$ 23.03	634,670	D	
Common Stock	03/05/2017		M	7,862	A (3)	\$ 0	642,532	D	
Common Stock	03/05/2017		F	2,813 (4)	D	\$ 23.03	639,719	D	
	03/05/2017		M	5,570		\$0	645,289	D	

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Common Stock				A (5)			
Common Stock	03/05/2017	F	1,993 (6)	D	\$ 23.03	643,296	D
Common Stock	03/05/2017	M	6,802	A (7)	\$ 0	650,098	D
Common Stock	03/05/2017	F	2,434 (8)	D	\$ 23.03	647,664	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(9)</u>	03/05/2017		M	8,708	(10)	(10)	Common Stock	8,708	9
Restricted Stock Units	<u>(9)</u>	03/05/2017		M	7,862	(11)	(11)	Common Stock	7,862	9
Restricted Stock Units	<u>(9)</u>	03/05/2017		M	5,570	(12)	(12)	Common Stock	5,570	9
Restricted Stock Units	<u>(9)</u>	03/05/2017		M	6,802	(13)	(13)	Common Stock	6,802	9

# **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

2 Reporting Owners

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Director 10% Owner Officer Other

LONGO CHRISTOPHER C/O AMTRUST FINANCIAL SERVICES, INC. 59 MAIDEN LANE, 43RD FLOOR NEW YORK, NY 10038

EVP, COO & CIO

### **Signatures**

/s/ Christopher

Longo 03/06/2017

\*\*Signature of Date

Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Acquisition of common stock resulting from the vesting of one-fourth of the restricted stock units granted to Mr. Longo on March 5, 2013.
- (2) Disposition of common stock resulting from the withholding of securities for the payment of tax liability relating to the vesting of one-fourth of the restricted stock units granted to Mr. Longo on March 5, 2013.
- (3) Acquisition of common stock resulting from the vesting of one-fourth of the restricted stock units granted to Mr. Longo on March 5, 2014.
- (4) Disposition of common stock resulting from the withholding of securities for the payment of tax liability relating to the vesting of one-fourth of the restricted stock units granted to Mr. Longo on March 5, 2014.
- (5) Acquisition of common stock resulting from the vesting of one-fourth of the restricted stock units granted to Mr. Longo on March 5, 2015.
- (6) Disposition of common stock resulting from the withholding of securities for the payment of tax liability relating to the vesting of one-fourth of the restricted stock units granted to Mr. Longo on March 5, 2015.
- (7) Acquisition of common stock resulting from the vesting of one-fourth of the restricted stock units granted to Mr. Longo on March 5, 2016
- (8) Disposition of common stock resulting from the withholding of securities for the payment of tax liability relating to the vesting of one-fourth of the restricted stock units granted to Mr. Longo on March 5, 2016.
- (9) Each restricted stock unit represents a contingent right to receive one share of AmTrust Financial Services, Inc.'s common stock.
- On March 5, 2013, Mr. Longo received restricted stock units subject to a four-year vesting schedule, vesting one-fourth on each of the first, second, third and fourth anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested common stock on a one-for-one basis on the vesting date.
- On March 5, 2014, Mr. Longo received restricted stock units subject to a four-year vesting schedule, vesting one-fourth on each of the (11) first, second, third and fourth anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested common stock on a one-for-one basis on the vesting date.
- On March 5, 2015, Mr. Longo received restricted stock units subject to a four-year vesting schedule, vesting one-fourth on each of the (12) first, second, third and fourth anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested common stock on a one-for-one basis on the vesting date.
- On March 5, 2016, Mr. Longo received restricted stock units subject to a four-year vesting schedule, vesting one-fourth on each of the (13) first, second, third and fourth anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested common stock on a one-for-one basis on the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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