Amtrust Financial Services, Inc.

Form 4

February 18, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

burden hours per

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

*See* Instruction 1(b).

Common

Stock

02/15/2014

(Print or Type Responses)

1. Name and A LONGO CH	2. Issuer Name and Ticker or Trading Symbol Amtrust Financial Services, Inc. [AFSI]					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)					
(Last)  C/O AMTR SERVICES LANE, 43R	3. Date of Earliest Transaction (Month/Day/Year) 02/15/2014					Director 10% Owner X Officer (give title Other (specify below) below)  EVP, Chief Information Officer					
		4. If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
NEW YOR	Filed(Month/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Own								ly Owned			
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)		
Common Stock	02/15/2014			M	5,269 (1)	A	\$ 0	87,378	D		
Common Stock	02/15/2014			F	2,628 (2)	D	\$ 33.33	84,750	D		
Common Stock	02/15/2014			M	5,552 (3)	A	\$ 0	90,302	D		

2,189

D

88,113

D

F

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Property Security (Instruction)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(5)</u>	02/15/2014		M	5,269	<u>(6)</u>	<u>(6)</u>	Common Stock	5,269 (7)	:
Restricted Stock Units	<u>(5)</u>	02/15/2014		M	5,552	<u>(8)</u>	<u>(8)</u>	Common Stock	5,552 (9)	:

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

LONGO CHRISTOPHER C/O AMTRUST FINANCIAL SERVICES, INC. 59 MAIDEN LANE, 43RD FLOOR NEW YORK, NY 10038

EVP, Chief Information Officer

### **Signatures**

/s/ Christopher

Longo 02/18/2014

\*\*Signature of Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** 

Reporting Owners 2

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Acquisition of common stock resulting from the vesting of one-fourth of the restricted stock units granted to Mr. Longo on February 15, 2011

- (2) Disposition of common stock resulting from the withholding of securities for the payment of tax liability relating to the vesting of one-fourth of the restricted stock units granted to Mr. Longo on February 15, 2011.
- (3) Acquisition of common stock resulting from the vesting of one-third of the restricted stock units granted to Mr. Longo on February 15, 2012.
- (4) Disposition of common stock resulting from the withholding of securities for the payment of tax liability relating to the vesting of one-fourth of the restricted stock units granted to Mr. Longo on February 15, 2012.
- (5) Each restricted stock unit represents a contingent right to receive one share of AmTrust Financial Services, Inc.'s common stock.
- On February 15, 2011, Mr. Longo recieved restricted stock units subject to a four-year vesting schedule, vesting 25% on the first, second, third and fourth anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested common stock on a one-for-one basis on the vesting date.
- (7) Pursuant to anti-dilution provisions in AmTrust Financial Services, Inc.'s 2010 Omnibus Incentive Plan, 9,579 outstanding restricted stock units granted to Mr. Longo on February 15, 2011 and held on September 4, 2013 became 10,537 restricted stock units.
- On February 15, 2012, Mr. Longo received restricted stock units subject to a four-year vesting schedule, vesting 25% on the first, second,
- (8) third and fourth anniversaries of the grant date. As restricted stock units vest, the vested units are automatically converted to vested common stock on a one-for-one basis on the vesting date.
- (9) Pursuant to anti-dilution provisions in AmTrust Financial Services, Inc.'s 2010 Omnibus Incentive Plan, 15,141 restricted stock units granted to Mr. Longo on February 15, 2012 and held on September 4, 2013 became 16,656 restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.