Edgar Filing: Amtrust Financial Services, Inc. - Form 4

Amtrust Financial Services, Inc. Form 4 December 23, 2013

| December 23 | 3, 2013 | | | | | | | | | | |
|---|---|---|---|--|---------------------------------------|------------------------|--------------|--|--|----------|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION | | | | | | | | OMB APPROVAL | | | |
| |) STATES | | ATTIES A | | | NGE C | OMMISSION | OMB Number: | 3235-0287 | | |
| Check thi if no long subject to Section 10 Form 4 or | er STATE 6. | STATEMENT OF CHANGES IN BENEFICIAL OWNERS | | | | | | | Expires: Estimated a burden hour response | • | |
| may conti | Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | |
| (Print or Type R | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Pipoly Ronald E Jr | | | 2. Issuer Name and Ticker or Trading Symbol Amtrust Financial Services, Inc. [AFSI] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | (Check all applicable) | | | | | | | | | | |
| (Last) (First) (Middle) 3. Date of (Month/Date) | | | | Earliest Transaction ay/Year) | | | | Director 10% Owner X_ Officer (give title Other (specify below) below) | | | |
| | UST FINANCI . INC., 59 MAI D FLOOR | | 12/23/20 | 013 | | | | | ef Financial Of | ficer | |
| (Street) 4. If Amendment, Date Ori Filed(Month/Day/Year) | | | | Applicable Line) | | | | Joint/Group Filing(Check One Reporting Person | | | |
| NEW YORF | K, NY 10038 | | | | | | | Form filed by M Person | ore than One Re | porting | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year) (Month/Day/Year) | | | n Date, if | 3. Transactio Code (Instr. 8) | 4. Securi on(A) or D (Instr. 3, | ispose 4 and (A) | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common | | | | Code V | | , í | Price \$ | (Instr. 3 and 4) | | | |
| Stock | 12/23/2013 | | | F | 378 <u>(1)</u> | D | ф 31.71 | 245,624 | D | | |
| Reminder: Repo | ort on a separate li | ne for each cl | ass of secu | rities benefi | cially own | ned di | rectly or in | ndirectly. | 1 | 0.1474 | |

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Secur (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|--|--|---|---|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

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Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|--|------------|---------------|-----------|------------------------------|-------|--|--|--|
| | | Director | 10% Owner | Officer | Other | | | |
| Pipoly Ronald E Jr C/O AMTRUST FINANCIAL SERVICES, INC. 59 MAIDEN LANE, 43RD FLOOR NEW YORK, NY 10038 | | | | EVP, Chief Financial Officer | | | | |
| Signatures | | | | | | | | |
| /s/ Ronald E. Pipoly, Jr. | 12/23/2013 | | | | | | | |

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares withheld to satisfy income tax withholding liabilities upon vesting of restricted stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.