Edgar Filing: Amtrust Financial Services, Inc. - Form 4

Amtrust Financial Services, Inc. Form 4 December 12, 2013

Karfunkel Leah Symbol Issuer Amtrust Financial Services, Inc. [AFSI] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Issuer C/O AMTRUST FINANCIAL SERVICES, INC., 59 MAIDEN LANE, 43RD FLOOR Director (Month/Day/Year) Issuer (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing Applicable Line) _X_Form filed by One Reporting Per Form filed by One Reporting Per Form filed by One Reporting Per	3235-0287 January 31, 2005 verage			
CHILD STATES SECONTIES AND EXCITATOR CONTINUSTION OMB Washington, D.C. 20549 Number: STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Stratement of Section 16(a) of the Securities Exchange Act of 1934, Obligations Section 17(a) of the Public Utility Holding Company Act of 1940 Ith stratement of the Public Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1940 Ith stratement of the Public Se	January 31, 2005 verage s per			
if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: Estimated as burden hour response Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Section 17(a) of the Public Utility Holding Company Act of 1940 Section 1940 1. Name and Address of Reporting Person 1 (b). 2. Issuer Name and Ticker or Trading Symbol Amtrust Financial Services, Inc. [AFSI] 5. Relationship of Reporting Persoc Issuer Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director below)	2005 verage s per			
Form 5 obligations may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 10(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 I. Name and Address of Reporting Person [*] 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Persoc Issuer I. Name and Address of Reporting Person [*] 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Persoc Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director below) -X_10% -Worker in the Dome below) C/O AMTRUST FINANCIAL 12/12/2013 -X_10% -Worker in the Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing Applicable Line) -X_Form filed by One Reporting Per- Tore filed by One Re				
1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Persor Issuer Karfunkel Leah Symbol Amtrust Financial Services, Inc. [AFSI] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)				
Karfunkel Leah Symbol Issuer Amtrust Financial Services, Inc. [AFSI] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Issuer C/O AMTRUST FINANCIAL SERVICES, INC., 59 MAIDEN LANE, 43RD FLOOR Director (Month/Day/Year) Issuer (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing Applicable Line) _X_Form filed by One Reporting Per Form filed by One Reporting Per Form filed by One Reporting Per				
[AFSI] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)	5. Relationship of Reporting Person(s) to Issuer			
(Month/Day/Year) Officer (give titleOther below) C/O AMTRUST FINANCIAL SERVICES, INC., 59 MAIDEN LANE, 43RD FLOOR 12/12/2013 (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing Applicable Line) Corm filed by One Reporting Persure Server filed by Mere theor One Report Persure Server filed by Mere theor One Persure Server filed by Mere theor				
SERVICES, INC., 59 MAIDEN LANE, 43RD FLOOR (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) 6. Individual or Joint/Group Filing Applicable Line) _X_Form filed by One Reporting Per- Energy filed by Mare theo Ore Bar	Owner (specify			
Filed(Month/Day/Year) Applicable Line) _X_Form filed by One Reporting Pers				
NEW YORK NY 10020 Form filed by More than One Ket	son			
Person	orting			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially	y Owned			
1.Title of Security 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 6. Security (Month/Day/Year) Execution Date, if TransactionDisposed of (D) Securities Ownership (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: (Month/Day/Year) (Month/Day/Year) (Instr. 8) Owned Direct (D) Following or Indirect Reported (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
(A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price	D			
Common 12/12/2013 P 209,772 A $\begin{array}{c} \$ \\ 31.4125 & 9,529,637 \\ (1) \\ (1) \end{array}$	By Grantor Retained Annuity Trust (3)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative2.Derivative SecurityConversion or Exercise(Instr. 3)Price of Derivative Security	Conversion(Month/Day/Year)Execution Date,or ExerciseanyPrice of(Month/Day/Year)Derivative	Execution Date, if	4. 5. TransactionNumber Code of (Instr. 8) Derivati Securition Acquire (A) or Dispose of (D) (Instr. 3 4, and 5		S		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
Reporting O	wners		Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owner Name / Address		Relationships						
		Director	10% Owner	Officer	Other			
Karfunkel Leah C/O AMTRUST FII 59 MAIDEN LANE NEW YORK, NY 1	·		Х					
Signatures								
/s/ Leah	12/12/2013							

<u>**</u>Signature of Date Reporting Person

Karfunkel

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price included in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$30.85 to \$32.00 per share, inclusive. The reporting person undertakes to provide to AmTrust Financial Services, Inc. or any of its security holders, or the staff of the Securities and Exchange Commissions, upon request, full information regarding the number of shares

purchased at each separate price within the ranges set forth in this footnote.

On August 6, 2013, AmTrust Financial Services, Inc. declared a 10% stock dividend payable on September 4, 2013 to all holders of
(2) record of common stock as of August 20, 2013. As a result, the reporting person received 847,260 shares of AmTrust Financial Services, Inc. common stock on September 4, 2013.

(3) The reporting person is the sole trustee and a beneficiary of the Michael Karfunkel 2005 GRAT.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.