

AMAZON COM INC
Form 4
November 05, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BEZOS JEFFREY P

2. Issuer Name and Ticker or Trading Symbol
AMAZON COM INC [AMZN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
P.O. BOX 81226

3. Date of Earliest Transaction (Month/Day/Year)
11/01/2013

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman, CEO and President

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

SEATTLE, WA 98108-1226

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Common Stock, par value \$.01 per share	11/01/2013		S ⁽¹⁾	57,202 D \$ 356.576 ⁽²⁾	85,912,818	D	
Common Stock, par value \$.01 per share	11/01/2013		S ⁽¹⁾	25,093 D \$ 357.525 ⁽³⁾	85,887,725	D	
Common Stock, par	11/01/2013		S ⁽¹⁾	51,975 D \$ 358.5674	85,835,750	D	

Edgar Filing: AMAZON COM INC - Form 4

value					<u>(4)</u>		
\$.01 per share							
Common Stock, par value	11/01/2013	<u>S(1)</u>	54,942	D	\$ 359.5128	85,780,808	D
\$.01 per share					<u>(5)</u>		
Common Stock, par value	11/01/2013	<u>S(1)</u>	70,863	D	\$ 360.619	85,709,945	D
\$.01 per share					<u>(6)</u>		
Common Stock, par value	11/01/2013	<u>S(1)</u>	39,419	D	\$ 361.8928	85,670,526	D
\$.01 per share					<u>(7)</u>		
Common Stock, par value	11/01/2013	<u>S(1)</u>	16,009	D	\$ 362.5523	85,654,517	D
\$.01 per share					<u>(8)</u>		
Common Stock, par value	11/01/2013	<u>S(1)</u>	14,596	D	\$ 363.6798	85,639,921	D
\$.01 per share					<u>(9)</u>		
Common Stock, par value	11/01/2013	<u>S(1)</u>	19,293	D	\$ 364.7777	85,620,628	D
\$.01 per share					<u>(10)</u>		
Common Stock, par value	11/01/2013	<u>S(1)</u>	873	D	\$ 365.3602	85,619,755	D
\$.01 per share					<u>(11)</u>		
Common Stock, par value	11/04/2013	<u>S(1)</u>	18,738	D	\$ 355.1303	85,601,017	D
\$.01 per share					<u>(12)</u>		
Common Stock, par value	11/04/2013	<u>S(1)</u>	119,264	D	\$ 356.0392	85,481,753	D
value					<u>(13)</u>		

Edgar Filing: AMAZON COM INC - Form 4

Common Stock, par value	11/04/2013	<u>S⁽¹⁾</u>	113,964	D	\$ 356.9988 <u>(14)</u>	85,367,789	D
\$.01 per share							
Common Stock, par value	11/04/2013	<u>S⁽¹⁾</u>	88,980	D	\$ 357.8918 <u>(15)</u>	85,278,809	D
\$.01 per share							
Common Stock, par value	11/04/2013	<u>S⁽¹⁾</u>	2,385	D	\$ 358.9131 <u>(16)</u>	85,276,424	D
\$.01 per share							
Common Stock, par value	11/04/2013	<u>S⁽¹⁾</u>	6,934	D	\$ 360.5026 <u>(17)</u>	85,269,490	D
\$.01 per share							
Common Stock, par value	11/05/2013	<u>S⁽¹⁾</u>	29,367	D	\$ 355.2183 <u>(18)</u>	85,240,123	D
\$.01 per share							
Common Stock, par value	11/05/2013	<u>S⁽¹⁾</u>	49,892	D	\$ 356.3796 <u>(19)</u>	85,190,231	D
\$.01 per share							
Common Stock, par value	11/05/2013	<u>S⁽¹⁾</u>	102,665	D	\$ 357.287 <u>(20)</u>	85,087,566	D
\$.01 per share							
Common Stock, par value	11/05/2013	<u>S⁽¹⁾</u>	56,316	D	\$ 358.4258 <u>(21)</u>	85,031,250	D
\$.01 per share							
Common Stock, par value	11/05/2013	<u>S⁽¹⁾</u>	49,050	D	\$ 359.4704 <u>(22)</u>	84,982,200	D
\$.01 per share							

share

Common
Stock, par
value 11/05/2013
\$.01 per
share

\$
S⁽¹⁾ 12,180 D 360.0021 84,970,020 D
(23)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 5)
--	--	--------------------------------------	--	--------------------------------	---	--	---	--	--

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BEZOS JEFFREY P P.O. BOX 81226 SEATTLE, WA 98108-1226	X	X	Chairman, CEO and President	

Signatures

/s/ PAUL DAUBER, attorney-in-fact for Jeffrey P. Bezos, Chairman of the Board, Chief Executive Officer and President 11/05/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Edgar Filing: AMAZON COM INC - Form 4

- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person.
- (2) Represents the weighted average sale price. The highest price at which shares were sold was \$357.10 and the lowest price at which shares were sold was \$356.11.
- (3) Represents the weighted average sale price. The highest price at which shares were sold was \$358.10 and the lowest price at which shares were sold was \$357.11.
- (4) Represents the weighted average sale price. The highest price at which shares were sold was \$359.10 and the lowest price at which shares were sold was \$358.11.
- (5) Represents the weighted average sale price. The highest price at which shares were sold was \$360.10 and the lowest price at which shares were sold was \$359.11.
- (6) Represents the weighted average sale price. The highest price at which shares were sold was \$361.24 and the lowest price at which shares were sold was \$360.25.
- (7) Represents the weighted average sale price. The highest price at which shares were sold was \$362.24 and the lowest price at which shares were sold was \$361.25.
- (8) Represents the weighted average sale price. The highest price at which shares were sold was \$363.24 and the lowest price at which shares were sold was \$362.25.
- (9) Represents the weighted average sale price. The highest price at which shares were sold was \$364.22 and the lowest price at which shares were sold was \$363.30.
- (10) Represents the weighted average sale price. The highest price at which shares were sold was \$365.22 and the lowest price at which shares were sold was \$364.30.
- (11) Represents the weighted average sale price. The highest price at which shares were sold was \$365.72 and the lowest price at which shares were sold was \$365.31.
- (12) Represents the weighted average sale price. The highest price at which shares were sold was \$355.48 and the lowest price at which shares were sold was \$354.49.
- (13) Represents the weighted average sale price. The highest price at which shares were sold was \$356.49 and the lowest price at which shares were sold was \$355.50.
- (14) Represents the weighted average sale price. The highest price at which shares were sold was \$357.49 and the lowest price at which shares were sold was \$356.50.
- (15) Represents the weighted average sale price. The highest price at which shares were sold was \$358.49 and the lowest price at which shares were sold was \$357.50.
- (16) Represents the weighted average sale price. The highest price at which shares were sold was \$359.19 and the lowest price at which shares were sold was \$358.50.
- (17) Represents the weighted average sale price. The highest price at which shares were sold was \$360.90 and the lowest price at which shares were sold was \$360.46.
- (18) Represents the weighted average sale price. The highest price at which shares were sold was \$355.81 and the lowest price at which shares were sold was \$354.84.
- (19) Represents the weighted average sale price. The highest price at which shares were sold was \$356.83 and the lowest price at which shares were sold was \$355.84.
- (20) Represents the weighted average sale price. The highest price at which shares were sold was \$357.83 and the lowest price at which shares were sold was \$356.84.
- (21) Represents the weighted average sale price. The highest price at which shares were sold was \$358.82 and the lowest price at which shares were sold was \$357.84.
- (22) Represents the weighted average sale price. The highest price at which shares were sold was \$359.85 and the lowest price at which shares were sold was \$358.86.
- (23) Represents the weighted average sale price. The highest price at which shares were sold was \$360.13 and the lowest price at which shares were sold was \$359.86.

Remarks:

REMARKS:

Explanation of Responses:

Edgar Filing: AMAZON COM INC - Form 4

The reporting person undertakes to provide, upon request by the staff of the SEC, the issuer, or a security holder of the issuer,

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.