

Edgar Filing: Ascent Solar Technologies, Inc. - Form 8-K

Ascent Solar Technologies, Inc.
Form 8-K
June 07, 2012

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934
Date of Report (Date of Earliest Event Reported): June 7, 2012 (June 6, 2012)

ASCENT SOLAR TECHNOLOGIES, INC.
(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction
of incorporation)

001-32919
(Commission
File Number)

20-3672603
(I.R.S. Employer
Identification No.)

12300 Grant Street
Thornton, Colorado
(Address of principal executive offices)

80241
(Zip Code)

Registrant's telephone number, including area code: (720) 872-5000

Not Applicable

Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 5.07 Submission of Matters to a Vote of Security Holders.

Ascent Solar Technologies, Inc. (the "Company") held its Annual Meeting of Stockholders ("Annual Meeting") on June 6, 2012. The matters voted upon at the Annual Meeting and the results of such voting are set forth below.

Proposal 1 - Election of one Class 1 director to serve until the 2015 annual meeting of stockholders and election of two Class 3 directors to serve until the 2014 annual meeting of stockholders; each until his successor has been elected and qualified

| Nominee | Votes For | Withheld | Broker Non-Votes |
|----------------|------------|----------|------------------|
| Dr. Amit Kumar | 18,270,729 | 342,765 | 11,830,065 |
| Mr. Victor Lee | 18,304,109 | 309,385 | 11,830,065 |
| Mr. Xu Biao | 18,293,309 | 320,185 | 11,830,065 |

The director nominees were duly elected.

Proposal 2 - Amendment and Restatement of 2008 Restricted Stock Plan

| Votes For | Votes Against | Abstain | Broker Non-Votes |
|------------|---------------|---------|------------------|
| 18,105,473 | 383,963 | 124,058 | 11,830,065 |

Proposal 2 was approved.

Proposal 3 - Ratification of Appointment of Independent Registered Accounting Firm - Hein & Associates LLP

| Votes For | Votes Against | Abstain | Broker Non-Votes |
|------------|---------------|---------|------------------|
| 29,639,430 | 546,322 | 257,807 | — |

Proposal 3 was approved.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ASCENT SOLAR TECHNOLOGIES, INC.

June 7, 2012

By: /s/ Gary Gatchell
Name: Gary Gatchell
Title: Chief Financial Officer and Secretary