

JOHNSON CONTROLS INC  
Form 4/A  
February 26, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Harvey Charles A

(Last) (First) (Middle)

5757 N. GREEN BAY AVENUE, P.O. BOX 591

(Street)

MILWAUKEE, WI 53201-0591

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction (Month/Day/Year)

01/03/2007

4. If Amendment, Date Original Filed (Month/Day/Year)

01/05/2007

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Vice President

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock <sup>(1)</sup>	01/03/2007		A	V	28.538 A \$ 87.6017	D	
Common Stock					1,874.506 <sup>(4)</sup>	I	By 401(k) Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number of Shares
Phantom Stock Units/Annual Incentive Plan	(5)					(7)	(7)	Common Stock	868.7
Phantom Stock Units - Restricted Stock Plan	(8)					(8)	(8)	Common Stock	74.6
Phantom Stock Units - Excess Benefit Plan (10)	(5)	01/03/2007(10)		A	0	(10)	(10)	Common Stock	0 (10)
Stock Option	\$ 40.2975					11/20/2004	11/20/2012	Common Stock	14,0
Stock Option	\$ 52.55					11/19/2005	11/19/2013	Common Stock	13,5
Stock Option	\$ 61.69					11/17/2006(11)	11/17/2014	Common Stock	11,9
Stock Option	\$ 67.685					11/16/2007(11)	11/16/2015	Common Stock	24,0
Stock Option	\$ 71.895					10/02/2008(11)	10/02/2016	Common Stock	20,0

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director    10% Owner    Officer    Other  
Vice President

Harvey Charles A  
5757 N. GREEN BAY AVENUE  
P.O. BOX 591  
MILWAUKEE, WI 53201-0591

## Signatures

Arlene D. Gumm, Attorney-in-Fact for Charles A.  
Harvey

02/26/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Amended report filed for an error in Table II reporting phantom stock units in the Excess Benefit Plan. No changes to Table I.
- (2) Includes 5,663 shares acquired through the reinvestment of dividends on January 3, 2007, at a price of \$87.6017 per share.
- (3) Includes 5,000 shares of a restricted stock grant. Fifty percent vests in two years on January 3, 2008 and the balance after four years on January 3, 2010.  

The number of underlying securities is based on the stock fund balance on January 4, 2007. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a January 4, 2007, stock fund price of \$85.89 per share.
- (4) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
- (5) Includes 3,326 phantom stock units acquired through reinvestment of dividends on January 3, 2007, at a price of \$85.88 per phantom unit.
- (6) The phantom stock units were accrued under the Johnson Controls Annual Incentive Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.  

Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
- (7) Includes 19,424 phantom stock units acquired through reinvestment of dividends on January 3, 2007, at a price of \$85.88 per phantom unit.
- (8) Due to an error by the plan provider, the Form 4 reported that the reporting person acquired 25,799 phantom stock units in the Excess Benefit Plan. The funds were actually deposited in a non-stock account.
- (9) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.
- (10) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.
- (11)

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