

LAGOS WILLIAM H
Form 5
February 02, 2018

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
LAGOS WILLIAM H

2. Issuer Name and Ticker or Trading Symbol
Southern National Bancorp of Virginia Inc [Sona]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2017

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
Senior Vice President

12605 THUNDER CHASE DR.

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

RESTON, VA 20191

(City) (State) (Zip)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Southern National Bancorp of Virginia Common Stock	^	^	^	^ ^ ^	33,157	D	^
Southern National Bancorp of Virginia	^	^	^	^ ^ ^	2,837	I	By 401k Plan

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Common
Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 9.2	Â	Â	Â	Â	Â	01/23/2009	01/23/2018	Common Stock	3,500
Employee Stock Option (Right to Buy)	\$ 5.5	Â	Â	Â	Â	Â	01/27/2010	01/27/2019	Common Stock	2,000
Employee Stock Option (Right to Buy)	\$ 8.02	Â	Â	Â	Â	Â	07/29/2010	07/29/2019	Common Stock	2,000
Employee Stock Option (Right to Buy)	\$ 7.04	Â	Â	Â	Â	Â	07/27/2011	07/27/2020	Common Stock	2,500
Employee Stock Option (Right to Buy)	\$ 7.2	Â	Â	Â	Â	Â	03/21/2012	03/21/2021	Common Stock	4,000

Employee Stock Option (Right to Buy)	\$ 7.92	Â	Â	Â	Â	Â	12/05/2013	12/05/2022	Common Stock	4,000
Employee Stock Option (Right to Buy)	\$ 9.14	Â	Â	Â	Â	Â	06/21/2014	06/21/2023	Common Stock	4,000 ⁽¹⁾
Employee Stock Option (Right to Buy)	\$ 10.47	Â	Â	Â	Â	Â	07/22/2015	07/22/2024	Common Stock	5,000 ⁽²⁾
Employee Stock Option (Right to Buy)	\$ 11.43	Â	Â	Â	Â	Â	06/19/2016	06/19/2025	Common Stock	5,500 ⁽³⁾
Employee Stock Option (Right to Buy)	\$ 11.99	Â	Â	Â	Â	Â	06/16/2017	06/16/2026	Common Stock	6,000 ⁽⁴⁾

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LAGOS WILLIAM H 12605 THUNDER CHASE DR. RESTON, VA 20191	Â	Â	Â Senior Vice President	Â

Signatures

William H. Lagos 02/02/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options are exercisable as follows: 800 on 6/21/2014, 800 on 6/21/2015, 800 on 6/21/2016, 800 on 6/21/2017, 800 on 6/21/2018.
- (2) These options are exercisable as follows: 1000 on 7/22/2015, 1000 on 7/22/2016, 1000 on 7/22/2017, 1000 on 7/22/2018, 1000 on 7/22/2019

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- (3) These options are exercisable as follows: 1100 on 6/19/2016, 1100 on 6/19/2017, 1100 on 6/19/2018, 1100 on 6/19/2019, 1100 on 6/19/2020
- (4) These options are exercisable as follows: 1200 on 6/16/2017, 1200 on 6/16/2018, 1200 on 6/16/2019, 1200 on 6/16/2020, 1200 on 6/16/2021.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.