#### STEVENS WILLIAM H

Form 5

February 02, 2018

Bancorp of Virginia

**OMB APPROVAL** FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer STEVENS WILLIAM H Symbol Southern National Bancorp of (Check all applicable) Virginia Inc [Sona] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner Other (specify X \_ Officer (give title (Month/Day/Year) below) below) 12/31/2017 Executive Vice President 4607 A MCARTHUR BLVD. NW (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) WASHINGTON, DCÂ 20007 \_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) (A) Fiscal Year or (Instr. 3 and 4) Amount (D) Price Southern National Bancorp of Â Â Â Â Â Â Â 8.071 D Virginia Common Stock Â Â Â Â Â Â Southern 1,492 Ι By IRA **National** 

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Common Stock Southern National By 401k Bancorp of Â Â Â Â Â 5,201 I Virginia Plan Common Stock Reminder: Report on a separate line for each class of Persons who respond to the collection of information SEC 2270 contained in this form are not required to respond unless securities beneficially owned directly or indirectly. (9-02)the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 9.2	Â	Â	Â	Â	Â	01/23/2009	01/23/2018	Common Stock	1,500
Employee Stock Option (Right to Buy)	\$ 5.5	Â	Â	Â	Â	Â	01/27/2010	01/27/2019	Common Stock	1,500
Employee Stock Option (Right to Buy)	\$ 7.04	Â	Â	Â	Â	Â	07/27/2011	07/27/2020	Common Stock	1,500
Employee Stock Option (Right to	\$ 7.92	Â	Â	Â	Â	Â	12/05/2013	12/05/2022	Common Stock	2,500

Buy)										
Employee Stock Option (Right to Buy)	\$ 9.14	Â	Â	Â	Â	Â	06/21/2014	06/21/2023	Common Stock	2,500 (1)
Employee Stock Option (Right to Buy)	\$ 10.47	Â	Â	Â	Â	Â	07/22/2015	07/22/2024	Common Stock	3,000 (2)
Employee Stock Option (Right to Buy)	\$ 11.43	Â	Â	Â	Â	Â	06/19/2016	06/19/2025	Common Stock	4,000 (3)
Employee Stock Option (Right to Buy)	\$ 11.99	Â	Â	Â	Â	Â	06/17/2017	06/17/2026	Common Stock	4,500 (4)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
STEVENS WILLIAM H 4607 A MCARTHUR BLVD. NW WASHINGTON, DC 20007	Â	Â	Executive Vice President	Â			

# **Signatures**

William H.
Stevens

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options are exercisable as follows: 500 on 6/21/2014, 500 on 6/21/2015, 500 on 6/21/2016, 500 on 6/21/2017, 500 on 6/21/2018
- (2) These options are exercisable as follows: 600 on 7/22/2015, 600 on 7/22/2016, 600 on 7/22/2017, 600 on 7/22/2018, 600 on 7/22/2019
- (3) These options are exercisable as follows: 800 on 6/19/2016, 800 on 6/19/2017, 800 on 6/19/2018, 600 on 6/19/2019, 800 on 6/19/2020
- (4) These options are exercisable as follows: 900 on 6/16/2017, 900 on 6/16/2018, 900 on 6/16/2019, 900 on 6/16/2020, 900 on 6/16/2021

  Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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