

PORTER R RODERICK  
Form 5  
February 02, 2018

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
**DERRICO GEORGIA S**

2. Issuer Name and Ticker or Trading Symbol  
**Southern National Bancorp of Virginia Inc [Sona]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
**12/31/2017**

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Chairman of the Board**

**2954 BURRLAND LANE**

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

**THE PLAINS, VA 20198**

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Southern National Bancorp of Virginia Common Stock	Â	Â	Â	Â	Â	Â	402,124 <sup>(1)</sup>	D	Â
Southern National Bancorp of Virginia	Â	Â	Â	Â	Â	Â	750 <sup>(2)</sup>	D	Â

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Common  
Stock

Southern  
National  
Bancorp of  
Virginia  
Common  
Stock

Â                      Â                      Â                      Â                      Â                      Â                      105,375 <sup>(3)</sup>    I                      By IRA

Southern  
National  
Bancorp of  
Virginia  
Common  
Stock

Â                      Â                      Â                      Â                      Â                      Â                      45,235 <sup>(4)</sup>    I                      By IRA

Southern  
National  
Bancorp of  
Virginia  
Common  
Stock

Â                      Â                      Â                      Â                      Â                      Â                      15,137 <sup>(5)</sup>    I                      By 401k  
Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
					(A) (D)	Date Exercisable    Expiration Date	Title    Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 5.5	Â	Â	Â	Â    Â	01/27/2010    01/27/2019	Common Stock    22,000 <sup>(6)</sup>
Employee Stock	\$ 8.02	Â	Â	Â	Â    Â	07/29/2010    07/29/2019	Common Stock    10,000 <sup>(7)</sup>

Option (Right to Buy)												
Employee Stock Option (Right to Buy)	\$ 7.04	Â	Â	Â	Â	Â	07/27/2011	07/27/2020	Common Stock	10,000 (8)		
Employee Stock Option (Right to Buy)	\$ 7.2	Â	Â	Â	Â	Â	03/21/2012	03/21/2021	Common Stock	40,000 (9)		
Employee Stock Option (Right to Buy)	\$ 7.92	Â	Â	Â	Â	Â	12/05/2013	12/05/2022	Common Stock	40,000 (10)		
Employee Stock Option (Right to Buy)	\$ 9.14	Â	Â	Â	Â	Â	06/21/2014 <sup>(11)</sup>	06/21/2023	Common Stock	40,000 (12)		
Employee Stock Option (Right to Buy)	\$ 10.47	Â	Â	Â	Â	Â	07/22/2015 <sup>(13)</sup>	07/22/2024	Common Stock	48,000 (14)		
Employee Stock Option (Right to Buy)	\$ 11.43	Â	Â	Â	Â	Â	06/19/2016 <sup>(15)</sup>	06/19/2025	Common Stock	48,000 (16)		
Employee Stock Option (Right to Buy)	\$ 11.99	Â	Â	Â	Â	Â	06/16/2017 <sup>(17)</sup>	06/16/2026	Common Stock	48,000 (18)		

## Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
DERRICO GEORGIA S 2954 BURRLAND LANE	Â	Â	Â	Chairman of the Board	Â

THE PLAINS, VA 20198

PORTER R RODERICK  
 2954 BURRLAND LANE     Â     Â     Â Vice Chairman of the Board     Â  
 THE PLAINS, VA 20198

## Signatures

Georgia S.                     02/02/2018  
 Derrico

\_\_Signature of                     Date  
 Reporting Person

R. Roderick                     02/02/2018  
 Porter

\_\_Signature of                     Date  
 Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned jointly. Georgia S. Derrico and R. Roderick Porter are married.
- (2) These shares are owned by Georgia S. Derrico.
- (3) These shares are held in an IRA owned by Georgia S. Derrico.
- (4) These shares are held in an IRA owned by R. Roderick Porter.
- (5) These shares are held in a 401k plan as follows: 7542 by Georgia S. Derrico and 7595 by R. Roderick Porter.
- (6) These options are owned as follows: 11000 by Georgia S. Derrico and 11000 by R. Roderick Porter.
- (7) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- (8) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- (9) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (10) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (11) These options are exercisable as follows: 8000 on 6/21/2014, 8000 on 6/21/2015, 8000 on 6/21/2016, 8000 on 6/21/2017, 8000 on 6/21/2018.
- (12) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (13) These options are exercisable as follows: 9600 on 7/22/2015, 9600 on 7/22/2016, 9600 on 7/22/2017, 9600 on 7/22/2018, 9600 on 7/22/2019.
- (14) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.
- (15) These options are exercisable as follows: 9600 on 6/19/2016, 9600 on 6/19/2017, 9600 on 6/19/2018, 9600 on 6/19/2019, 9600 on 6/19/2020.
- (16) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.
- (17) These options are exercisable as follows: 9600 on 6/16/2017, 9600 on 6/16/2018, 9600 on 6/16/2019, 9600 on 6/16/2020, 9600 on 6/16/2021.
- (18) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.