

PORTER R RODERICK
Form 4
August 23, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
DERRICO GEORGIA S

2. Issuer Name and Ticker or Trading Symbol
Southern National Bancorp of Virginia Inc [SONA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
2954 BURRLAND LANE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
08/18/2017

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
Exec. Chairman of the Board

THE PLAINS, VA 20198

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
____ Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership: Indirect Beneficial Ownership (Instr. 4) | | |
|--|--------------------------------------|--|--------------------------------|---|---|--|--|------------------------|---|
| | | | Code | V | Amount | (A) or (D) | Price | | |
| Southern National Bancorp of Virginia Common Stock | 08/18/2017 | | P | | 2,000 | A | \$ 15.7204 | 376,302 ⁽¹⁾ | D |
| Southern National Bancorp of Virginia Common Stock | 08/21/2017 | | P | | 2,000 | A | \$ 15.7847 | 378,302 ⁽¹⁾ | D |

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| | | | |
|---|------------------------|---|-----------------|
| Southern National Bancorp of Virginia Common Stock | 750 ⁽²⁾ | D | |
| Southern National Bancorp of Virginia Common Stock | 105,375 ⁽³⁾ | I | By IRA |
| Southern National Bancorp of Virginia Common Stock | 45,235 ⁽⁴⁾ | I | By IRA |
| Southern National Bancorp of Virginia Common Stock | 13,681 ⁽⁵⁾ | I | By 401k Plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|---|---|---|---|---|---|--|---|-------|-------------------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| | \$ 9.2 | | | | | 01/23/2009 | 01/23/2018 | | |

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| | | | | | |
|--------------------------------------|----------|----------------------------|------------|--------------|-----------------------|
| Employee Stock Option (Right to Buy) | | | | Common Stock | 20,000 <u>(6)</u> |
| Employee Stock Option (Right to Buy) | \$ 5.5 | 01/27/2010 | 01/27/2019 | Common Stock | 22,000 <u>(7)</u> |
| Employee Stock Option (Right to Buy) | \$ 8.02 | 07/29/2010 | 07/29/2019 | Common Stock | 10,000 <u>(8)</u> |
| Employee Stock Option (Right to Buy) | \$ 7.04 | 07/27/2011 | 07/27/2020 | Common Stock | 10,000 <u>(9)</u> |
| Employee Stock Option (Right to Buy) | \$ 7.2 | 03/21/2012 | 03/21/2021 | Common Stock | 40,000 <u>(10)</u> |
| Employee Stock Option (Right to Buy) | \$ 7.92 | 12/05/2013 ⁽¹¹⁾ | 12/05/2022 | Common Stock | 40,000 <u>(12)</u> |
| Employee Stock Option (Right to Buy) | \$ 9.14 | 06/21/2014 ⁽¹³⁾ | 06/21/2023 | Common Stock | 40,000 <u>(14)</u> |
| Employee Stock Option (Right to Buy) | \$ 10.47 | 07/22/2015 ⁽¹⁵⁾ | 07/22/2024 | Common Stock | 48,000 <u>(16)</u> |
| Employee Stock Option (Right to Buy) | \$ 11.43 | 06/19/2016 ⁽¹⁷⁾ | 06/19/2025 | Common Stock | 48,000 <u>(18)</u> |
| | \$ 11.99 | 06/16/2017 ⁽¹⁹⁾ | 06/16/2026 | | |

Employee
Stock
Option
(Right to
Buy)

Common 48,000
Stock (20)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| DERRICO GEORGIA S 2954 BURRLAND LANE THE PLAINS, VA 20198 | | | Exec. Chairman of the Board | |
| PORTER R RODERICK 2954 BURRLAND LANE THE PLAINS, VA 20198 | | | Asst. Chairman of the Board | |

Signatures

Georgia S.
Derrico 08/23/2017

**Signature of
Reporting Person

Date

R. Roderick
Porter 08/23/2017

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned jointly. Georgia S. Derrico and R. Roderick Porter are married.
- (2) These shares are owned by Georgia S. Derrico.
- (3) These shares are held in an IRA owned by Georgia S. Derrico.
- (4) These shares are held in an IRA owned by R. Roderick Porter.
- (5) These shares are held in a 401k Plan as follows: 6814 by Georgia S. Derrico and 6867 by R. Roderick Porter.
- (6) These options are owned as follows: 10000 by Georgia S. Derrico and 10000 by R. Roderick Porter.
- (7) These options are owned as follows: 11000 by Georgia S. Derrico and 11000 by R. Roderick Porter.
- (8) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- (9) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- (10) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (11) These options are exercisable as follows: 8000 on 12/5/2013, 8000 on 12/5/2014, 8000 on 12/5/2015, 8000 on 12/5/2016, 8000 on 12/5/2017.
- (12) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (13)

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These options are exercisable as follows: 8000 on 6/21/2014, 8000 on 6/21/2015, 8000 on 6/21/2016, 8000 on 6/21/2017, 8000 on 6/21/2018.

(14) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.

(15) These options are exercisable as follows: 9600 on 7/22/2015, 9600 on 7/22/2016, 9600 on 7/22/2017, 9600 on 7/22/2018, 9600 on 7/22/2019.

(16) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.

(17) These options are exercisable as follows: 9600 on 6/19/2016, 9600 on 6/19/2017, 9600 on 6/9/2018, 9600 on 6/9/2019, 9600 on 6/9/2020.

(18) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.

(19) These options are exercisable as follows: 9600 on 6/16/2017, 9600 on 6/17/2018, 9600 on 6/17/2019, 9600 on 6/17/2020, 9600 on 6/21/2021.

(20) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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