Southern National Bancorp of Virginia Inc

Form 5

National Bancorp of Virginia

February 14, 2017

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations OWNERSHIP OF SECURITIES response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer DERRICO GEORGIA S Symbol Southern National Bancorp of (Check all applicable) Virginia Inc [SONA] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner Other (specify X _ Officer (give title (Month/Day/Year) below) below) 12/31/2016 Chairman of the Board 2954 BURRLAND LANE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line) THE PLAINS, VAÂ 20198 Form Filed by One Reporting Person _X_ Form Filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Acquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned at end Indirect (I) Ownership of Issuer's (Instr. 4) (Instr. 4) (A) Fiscal Year or (Instr. 3 and 4) Amount (D) Price Southern National Bancorp of Â Â Â Â Â Â Â $354,702 \stackrel{(1)}{=}$ Virginia Common Stock Â Â Â Â Â Â Â Southern 750 (2) D

Common Stock									
Southern National Bancorp of Virginia Common Stock	Â	Â	Â	Â	Â	Â	105,375 (3)	I	By IRA
Southern National Bancorp of Virginia Common Stock	Â	Â	Â	Â	Â	Â	45,235 <u>(4)</u>	I	By IRA
Southern National Bancorp of Virginia Common Stock	Â	Â	Â	Â	Â	Â	13,681 (5)	I	By 401k Plan
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.			Persons wh contained i the form dis	SEC 2270 (9-02)					

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

4.

5.

6. Date Exercisable and

3. Transaction Date 3A. Deemed

1. Title of

2.

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	of Deri Secu Acqu (A) of Disp of (I	Number Expiration Date of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5))	Underlying Securitie (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amour or Number of Shar
Warrants (Right to Buy)	\$ 9.09	Â	Â	Â	Â	Â	10/21/2004	04/14/2017	Common Stock	16,50 (6)
Employee Stock Option (Right to	\$ 9.2	Â	Â	Â	Â	Â	01/23/2009	01/23/2018	Common Stock	20,00

7. Title and Amount

Buy)										
Employee Stock Option (Right to Buy)	\$ 5.5	Â	Â	Â	Â	Â	01/27/2010	01/27/2019	Common Stock	22,00 (8)
Employee Stock Option (Right to Buy)	\$ 8.02	Â	Â	Â	Â	Â	07/29/2010	07/29/2019	Common Stock	10,00
Employee Stock Option (Right to Buy)	\$ 7.04	Â	Â	Â	Â	Â	07/27/2011	07/27/2020	Common Stock	10,00
Employee Stock Option (Right to Buy)	\$ 7.2	Â	Â	Â	Â	Â	03/21/2012	03/21/2021	Common Stock	40,00
Employee Stock Option (Right to Buy)	\$ 7.92	Â	Â	Â	Â	Â	12/05/2013(12)	12/05/2022	Common Stock	40,00
Employee Stock Option (Right to Buy)	\$ 9.14	Â	Â	Â	Â	Â	06/21/2014(14)	06/21/2023	Common Stock	40,00
Employee Stock Option (Right to Buy)	\$ 10.47	Â	Â	Â	Â	Â	07/22/2015(16)	07/22/2024	Common Stock	48,00
Employee Stock Option (Right to Buy)	\$ 11.43	Â	Â	Â	Â	Â	06/19/2016(18)	06/19/2025	Common Stock	48,00
Employee Stock Option (Right to Buy)	\$ 11.99	Â	Â	Â	Â	Â	06/16/2017(20)	06/16/2026	Common Stock	48,00

Reporting Owners

Reporting Owner Name / Address	Relationships								
1 8	Director	10% Owner	Officer	Other					
DERRICO GEORGIA S 2954 BURRLAND LANE THE PLAINS, VA 20198	Â	Â	Chairman of the Board	Â					
PORTER R RODERICK 2954 BURRLAND LANE THE PLAINS Â VAÂ 20198	Â	Â	President	Â					

Signatures

Georgia S. 02/14/2017 Derrico

**Signature of Date

Reporting Person

R. Roderick Porter 02/14/2017

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned jointly with spouse. Georgia S. Derrico and R. Roderick Porter are married.
- (2) These shares are owned by Georgia S. Derrico.
- (3) These shares are held in an IRA owned by Georgia S. Derrico.
- (4) These shares are held in an IRA owned by R. Roderick Porter.
- (5) These shares are held in a 401k Plan as follows: 6814 by Georgia S. Derrico and 6867 by R. Roderick Porter.
- (6) These warrants are owned as follows: 8250 by Georgia S. Derrico and 8250 by R. Roderick Porter.
- (7) These options are owned as follows: 10000 by Georgia S. Derrico and 10000 by R. Roderick Porter.
- (8) These options are owned as follows: 11000 by Georgia S. Derrico and 11000 by R. Roderick Porter.
- (9) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- (10) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- (11) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (12) These options are exercisable as follows: 8000 on 12/5/2013, 8000 on 12/5/2014, 8000 on 12/5/2015, 8000 on 12/5/2016, 8000 on 12/5/2017.
- (13) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- These options are exercisable as follows: 8000 on 6/21/2014, 8000 on 6/21/2015, 8000 on 6/21/2016, 8000 on 6/21/2017, 8000 on 6/21/2018.
- (15) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- These options are exercisable as follows: 9600 on $\frac{7}{22}/2015$, 9600 on $\frac{7}{22}/2016$, 9600 on $\frac{7}{22}/2017$, 9600 on $\frac{7}{22}/2018$, 9600 on $\frac{7}{22}/2019$.
- (17) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.

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- (18) These options are exercisable as follows: 9600 on 6/19/2016, 9600 on 6/19/2017, 9600 on 6/19/2018, 9600 on 6/19/2019, 9600 on 6/19/2020.
- (19) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.
- (20) These options are exercisable as follows: 9600 on 6/16/2017, 9600 on 6/16/2018, 9600 on 6/16/2019, 9600 on 6/16/2020, 9600 6/16/2021.
- (21) These options are owned as follows: 24000 by Georgia S. Derrico and 24000 by R. Roderick Porter.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.