Southern National Bancorp of Virginia Inc

Form 4

August 05, 2014

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2. Issuer Name and Ticker or Trading

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

Estimated average

burden hours per

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

DERRICO (	GEORGIA S				ıl Bancorı	of		Issuer (Che	ck all applicable	9
			Virginia	Inc [SO]	NA]			(Cile	ck uii uppiicuoie	·)
(Last) (First) (Middle) 2954 BURRLAND LANE			3. Date of Earliest Transaction (Month/Day/Year) 08/01/2014				Director 10% Owner _X Officer (give title Other (specify below) Chairman of the Board			
THE PLAIN	(Street) NS, VA 20198			ndment, Da th/Day/Year	te Original			6. Individual or J Applicable Line) Form filed by _X_ Form filed by Person	One Reporting Per	rson
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)  Southern National Bancorp of Virginia Common Stock	2. Transaction D (Month/Day/Yea	ar) Executi any	emed on Date, if /Day/Year)	Code (Instr. 8)	4. Securition(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Southern National Bancorp of Virginia Common Stock								750 <u>(2)</u>	D	

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Southern National Bancorp of Virginia Common Stock	08/01/2014	P	17,000	A	\$ 9.09	105,376 (3)	I	By IRA
Southern National Bancorp of Virginia Common Stock	08/01/2014	P	6,700	A	\$ 9.09	45,235 <u>(4)</u>	I	By IRA
Southern National Bancorp of Virginia Common Stock						9,390 (5)	I	By 401k Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Warrants (Right to Buy)	\$ 9.09					10/21/2004(6)	10/21/2014	Common Stock	16,5
Employee Stock Option (Right to Buy)	\$ 9.09	08/01/2014		P	23,700	12/31/2005	04/04/2015	Common Stock	31,3
	\$ 9.09					12/31/2005	11/17/2015		

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Employee Stock Option (Right to Buy)				Common Stock	5,5 (8
Employee Stock Option (Right to Buy)	\$ 9.2	01/23/2009(9)	01/23/2018	Common Stock	20,0
Employee Stock Option (Right to Buy)	\$ 5.5	01/27/2010(11)	01/27/2019	Common Stock	22,0
Employee Stock Option (Right to Buy)	\$ 8.02	07/29/2010(13)	07/29/2019	Common Stock	10,0
Employee Stock Option (Right to Buy)	\$ 7.04	07/27/2011(15)	07/27/2020	Common Stock	10,0
Employee Stock Option (Right to Buy)	\$ 7.2	03/21/2012(17)	03/21/2021	Common Stock	40,0
Employee Stock Option (Right to Buy)	\$ 7.92	12/05/2013(19)	12/05/2022	Common Stock	40,0
Employee Stock Option (Right to Buy)	\$ 9.14	06/21/2014(21)	06/21/2023	Common Stock	40,0

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			

Reporting Owners 3

DERRICO GEORGIA S

2954 BURRLAND LANE Chairman of the Board

THE PLAINS, VA 20198

PORTER R RODERICK
2954 BURRLAND LANE X
THE PLAINS, VA 20198

# **Signatures**

Georgia S.
Derrico
08/05/2014

\*\*Signature of Date

Reporting Person

R. Roderick Porter 08/05/2014

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned jointly. Georgia S. Derrico and R. Roderick Porter are married.
- (2) These shares are owned by Georgia S. Derrico.
- (3) These shares are held in an IRA by Georgia S. Derrico.
- (4) These shares are held in an IRA by R. Roderick Porter.
- (5) These shares are held in a 401k plan as follows: 4682 by Georgia S. Derrico and 4708 by R.Roderick Porter.
- (6) These warrants are owned as follows: 8250 by Georgia S. Derrico and 8250 by R. Roderick Porter.
- (7) These options are owned as follows: 10500 by Georgia S. Derrico and 20800 by R. Roderick Porter.
- (8) These options are owned as follows: 2750 by Georgia S. Derrico and 2750 by R. Roderick Porter.
- (9) These options are exercisable as follows: 4000 on 1/23/2009, 4000 on 1/23/2010, 4000 on 1/23/2011, 4000 on 1/23/2012, 4000 on 1/23/2013
- (10) These options are owned as follows: 10000 by Georgia S. Derrico and 10000 by R. Roderick Porter.
- (11) These options are exercisable as follows: 4400 on 1/27/2010, 4400 on 1/27/2011, 4400 on 1/27/2012, 4400 on 1/27/2013, 4400 on 1/27/2014
- (12) These options are owned as follows: 11000 by Georgia S. Derrico and 11000 by R. Roderick Porter.
- These options are exercisable as follows: 2000 on 7/29/2010, 2000 on 7/29/2011, 2000 on 7/29/2012, 2000 on 7/29/2013, 2000 on 7/29/2014
- (14) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter
- (15) These options are exercisable as follows: 2000 on 7/27/2011, 2000 on 7/27/2012, 2000 on 7/27/2013, 2000 on 7/27/2013, 2000 on 7/27/2014, 2000 on 7/27/2015
- (16) These options are owned as follows: 5000 by Georgia S. Derrico and 5000 by R. Roderick Porter.
- These options are exercisable as follows: 8000 on 3/21/2012, 8000 on 3/21/2013, 8000 on 3/21/2014, 8000 on 3/21/2015, 8000 on 3/21/2016
- (18) These options are owned as follows: 20000 by Georgia S. Derrico, 20000 by R. Roderick Porter.
- (19) These options are exercisable as follows: 8000 on 12/5/2013, 8000 on 12/5/2014, 8000 on 12/5/2015, 8000 on 12/5/2016, 8000 on 12/5/2017

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- (20) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.
- (21) These options are exercisable as follows: 8000 on 6/21/2014, 8000 on 6/21/2015, 8000 on 6/21/2016, 8000 on 6/21/2017, 8000 on 6/21/2018
- (22) These options are owned as follows: 20000 by Georgia S. Derrico and 20000 by R. Roderick Porter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.