## Edgar Filing: ROCKWELL COLLINS INC - Form 4

ROCKWEL Form 4 November 1	L COLLINS INC				
Check th if no lon, subject to Section 2 Form 4 of Form 5 obligation may con <i>See</i> Instr 1(b).	<b>1 4</b> UNITED uis box ger o 16. or Filed pur Section 17(	<b>MENT OF CH</b> rsuant to Secti (a) of the Publ	CURITIES AND EXCHANG Washington, D.C. 20549 HANGES IN BENEFICIAL ( SECURITIES on 16(a) of the Securities Exch ic Utility Holding Company A he Investment Company Act of	OWNERSHIP OF nange Act of 1934, ct of 1935 or Section	OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5
	Responses) Address of Reporting BAUER RONAL	DW	Issuer Name <b>and</b> Ticker or Trading	5. Relationship of I Issuer	Reporting Person(s) to
KIRCHEIN	BAUEK KONAL	RO	CKWELL COLLINS INC [CO	OL1	all applicable)
(Last) M/S 124-22 NE	(First) (	(Mo	Date of Earliest Transaction onth/Day/Year) 09/2006	Director X_ Officer (give t below) Sr. VP, H	title 10% Owner below) Juman Resources
	(Street)	File	È Amendment, Date Original d(Month/Day/Year)	Applicable Line) _X_ Form filed by O	nt/Group Filing(Check ne Reporting Person ore than One Reporting
	APIDS, IA 52498	-0001		Person	se than one reporting
(City)	(State)	(Zip)	Table I - Non-Derivative Securities	s Acquired, Disposed of,	or Beneficially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date, any	3. 4. Securities , if TransactionAcquired (A) or Code Disposed of (D) ear) (Instr. 8) (Instr. 3, 4 and 5) (A) or Code V Amount (D) Prior	Securities For Beneficially (D Owned (I) Following (Ir Reported Transaction(s) (Instr. 3 and 4)	Ownership7. Nature oform: DirectIndirecto) or IndirectBeneficialo) or SingleOwnershipnstr. 4)(Instr. 4)
Reminder: Rep	port on a separate line	e for each class of	information co required to res	ly or indirectly. respond to the collect ontained in this form a spond unless the form rently valid OMB cont	re not (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. I
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Der
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Sec

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					(In	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy) (1)	\$ 57.92	11/09/2006		Α		9,600		(2)	11/09/2016	Common Stock	9,600	

## **Reporting Owners**

\*\*Signature of Reporting Person

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
KIRCHENBAUER RONALD W M/S 124-223 400 COLLINS ROAD NE CEDAR RAPIDS, IA 52498-0001			Sr. VP, Human Resources				
Signatures							
/s/ Gary R. Chadick, Attorney-in-Fact	1	1/13/2006					

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Employee stock option granted pursuant to the Rockwell Collins, Inc. 2006 Long-Term Incentives Plan.
- (2) The options vest in three substantially equal annual installments beginning 11/09/2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.