

Bauer Kevin S  
 Form 3  
 February 05, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol   |  |
| Â Bauer Kevin S                           |         | (Month/Day/Year)                     | Super Micro Computer, Inc. [SMCI]  |  |
| (Last)                                    | (First) | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer   | 5. If Amendment, Date Original Filed(Month/Day/Year) |
|   |         | 01/30/2018                           |  |  |
| 980 ROCK AVE.                             |         |                                      | (Check all applicable)   |  |
| (Street)                                  |         |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below)    (specify below)<br>Chief Financial Officer |  |
| SAN JOSE,Â CAÂ 95131                      |         |                                      | 6. Individual or Joint/Group Filing(Check Applicable Line)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person          |  |
| (City)                                    | (State) | (Zip)                                |  |  |

**Table I - Non-Derivative Securities Beneficially Owned**

|                                    |  |   |  |
|------------------------------------|--|---|--|
| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

|   |   |  |  |  |  |
|---|---|--|--|--|--|
| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|   | Date Exercisable    Expiration Date                         | Title    Amount or Number of Shares  |  |  |  |

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|                             |              |              |              |        |               |   |   |
|-----------------------------|--------------|--------------|--------------|--------|---------------|---|---|
| Stock Option (right to buy) | Â <u>(1)</u> | 01/25/2027   | Common Stock | 8,030  | \$ 28.45      | D | Â |
| Stock Option (right to buy) | Â <u>(1)</u> | 01/25/2027   | Common Stock | 21,970 | \$ 28.45      | D | Â |
| Stock Option (right to buy) | Â <u>(2)</u> | 01/25/2027   | Common Stock | 8,000  | \$ 28.45      | D | Â |
| Stock Option (right to buy) | Â <u>(2)</u> | 01/25/2027   | Common Stock | 42,000 | \$ 28.45      | D | Â |
| Restricted Stock Units      | Â <u>(3)</u> | Â <u>(3)</u> | Common Stock | 15,000 | \$ <u>(4)</u> | D | Â |

## Reporting Owners

| Reporting Owner Name / Address                       | Relationships |           |                           |       |
|--|---------------|-----------|---------------------------|-------|
|  | Director      | 10% Owner | Officer                   | Other |
| Bauer Kevin S<br>980 ROCK AVE.<br>SAN JOSE, CA 95131 | Â             | Â         | Â Chief Financial Officer | Â     |

## Signatures

Kevin S. Bauer, Reporting Person 02/05/2018

  Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The vesting commencement date is January 11, 2017. The option is exercisable at the rate of 1/4th of the shares on the first anniversary of the vesting commencement date and 1/16th at the end of each successive calendar quarter thereafter.
- (2) The vesting commencement date is January 11, 2017. The option is exercisable at the rate of 1/5th of the shares on the first anniversary of the vesting commencement date and 1/20th at the end of each successive calendar quarter thereafter.
- (3) Subject to the Reporting Person's continued service to the Issuer, the restricted stock units vest at the rate of 25% of the total number of units on February 16, 2018 and and 1/16th at the end of each successive calendar quarter thereafter. Vested units are settled in shares of SMCI common stock.
- (4) Each restricted stock unit represents a contingent right to receive one share of SMCI common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.