

DeAngelis Robert A
Form 4
February 20, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
DeAngelis Robert A

(Last) (First) (Middle)

C/O KEYCORP, 127 PUBLIC
SQUARE

(Street)

CLEVELAND, OH 44114

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
KEYCORP /NEW/ [KEY]

3. Date of Earliest Transaction
(Month/Day/Year)
02/15/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)

Dir. of Qual. & Prod. Mgmt.

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	02/15/2019		M	31,166 A	\$ 5.55 196,478	D	
Common Shares	02/15/2019		S	31,166 D	\$ 17.37 165,312	D	
Common Shares	02/17/2019		M	9,199 A	17 174,511	D	
Common Shares	02/17/2019		F	4,173 D	\$ 17.51 170,338	D	
Common Shares	02/18/2019		A	67,345 A	22 237,683	D	

Edgar Filing: DeAngelis Robert A - Form 4

Common Shares 02/18/2019 F 26,651 D \$ 17.51 211,032 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Option to Buy	\$ 5.55	02/15/2019		M	31,166	(3) 07/27/2019	Common Shares 31,166
Restricted Stock Units	(1)	02/17/2019		M	3,122	(4) (4)	Common Shares 3,122 (5)
Restricted Stock Units	(1)	02/17/2019		M	4,115	(6) (6)	Common Shares 4,115
Restricted Stock Units	(1)	02/17/2019		M	1,962	(8) (8)	Common Shares 1,962
Restricted Stock Units	(1)	02/18/2019		A	22,844	(10) (10)	Common Shares 22,844

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

DeAngelis Robert A
C/O KEYCORP
127 PUBLIC SQUARE
CLEVELAND, OH 44114

Dir. of Qual. & Prod. Mgmt.

Signatures

Carrie A. Benedict POA for Robert A.
DeAngelis

02/20/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive one KeyCorp common share at vesting.
- (2) These shares were received for no consideration upon the satisfaction of certain performance criteria underlying the performance share units granted on February 16, 2015.
- (3) This option vested in three equal annual installments ending on July 27, 2012.
- (4) The restricted stock units, granted on February 16, 2015, vested in four equal annual installments ending on February 17, 2019.
- (5) Includes approximately 94 dividend-equivalent restricted stock units accrued between March and December 2018.
- (6) The restricted stock units, granted on February 15, 2016, vest in four equal annual installments beginning on February 17, 2017.
- (7) Includes approximately 248 dividend-equivalent restricted stock units accrued between March and December 2018.
- (8) The restricted stock units, granted on February 19, 2018, vest in four equal annual installments beginning on February 17, 2019.
- (9) Includes approximately 236 dividend-equivalent restricted stock units accrued between March and December 2018.
- (10) The restricted stock units, granted on February 18, 2019, vest in four equal annual installments beginning on February 17, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.