Edgar Filing: GEORGE ROGER E - Form 4

GEORGE R	ROGER E										
Form 4											
May 29, 2018									OMB AF	OMB APPROVAL	
FORM 4 UNITED STATES S				RITIES A				OMMISSION	OMB Number:	3235-0287	
Check th if no lon subject to Section Form 4 Form 5 obligation may con <i>See</i> Insta 1(b).	nger 16. or Dns tinue. Section 176	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940							January 31, 2005 Estimated average burden hours per response 0.5		
(Print or Type	Responses)										
				er Name an N TECHN N]			C	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Mor				Date of Earliest Transaction onth/Day/Year) /24/2018				Director 10% Owner X Officer (give title Other (specify below) below) SVP, Legal Affairs & GC			
								6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person			
SAN JOSE	, CA 95131							Form filed by Mo Person	ore than One Rej	porting	
(City)	(State)	(Zip)	Tab	ole I - Non-	Derivativ	e Secu	urities Acqu	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemo Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8) Code V	omr Dispo (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/24/2018			Р	3,537	D	\$ 313.232	6 4,596	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 3, 4, and 5) Amount or Date Expiration Title Number Exercisable Date of Code V (A) (D) Shares **Reporting Owners** Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Other

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4.

Code

(Instr. 8)

Execution Date, if

(Month/Day/Year)

5.

of

Derivative

Securities

Acquired

Disposed

SVP, Legal Affairs & GC

(A) or

of (D)

TransactionNumber

7. Title and

Amount of

Underlying

(Instr. 3 and 4)

Securities

6. Date Exercisable and

Expiration Date

(Month/Day/Year)

8. Price of

Derivative

Security

(Instr. 5)

9. Nt

Deriv

Secu

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Own

Follo

Repo

Trans

(Insti

GEORGE ROGER E C/O ALIGN TECHNOLOGY INC 2560 ORCHARD PARKWAY SAN JOSE, CA 95131

Signatures

1. Title of

Security

(Instr. 3)

Derivative

2.

Conversion

or Exercise

Derivative

Price of

Security

Roger E. George 05/29/2018

<u>**</u>Signature of Reporting Person

Date

3. Transaction Date 3A. Deemed

any

(Month/Day/Year)

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.