

STUEVER A LAWRENCE  
Form 4  
November 10, 2004

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
STUEVER A LAWRENCE

2. Issuer Name and Ticker or Trading Symbol  
ROCKWELL AUTOMATION INC  
[ROK]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
  
777 EAST WISCONSIN AVENUE, SUITE 1400  
  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/08/2004

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
V. Pres. and General Auditor

MILWAUKEE, WI 53202

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D) Price  |   |  |                                   |
| Common Stock                    |                                      |  |                                |   | 3,100   | D  |                                   |
| Common Stock                    |                                      |  |                                |   | 12,922.1496   | I <u>(1)</u>   | Savings Plan                      |
| Common Stock                    |                                      |  |                                |   | 54.476  | I <u>(2)</u>   | By Spouse                         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form**

SEC 1474 (9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |              |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount or Number of Shares |
| Employee Stock Option (right to buy)       | \$ 17.3914   |                                      |  |                                |   | 12/06/1997   | 12/06/2006  | Common Stock | 3,19                       |
| Employee Stock Option (right to buy)       | \$ 15.527  |                                      |  |                                |   | 12/03/1998   | 12/03/1997  | Common Stock | 4,80                       |
| Employee Stock Option (right to buy)       | \$ 10.5866   |                                      |  |                                |   | 10/05/1999   | 10/05/2008  | Common Stock | 9,37                       |
| Employee Stock Option (right to buy)       | \$ 20.349  |                                      |  |                                |   | 10/04/2000   | 10/04/2009  | Common Stock | 9,00                       |
| Employee Stock Option (right to buy)       | \$ 11.6038   |                                      |  |                                |   | 10/02/2001   | 10/02/2010  | Common Stock | 8,20                       |
| Employee Stock Option (right to buy)       | \$ 13.4  |                                      |  |                                |   | 10/01/2002   | 10/01/2011  | Common Stock | 11,2                       |
|  | \$ 15.5  |                                      |  |                                |   | 10/07/2003 <sup>(3)</sup>                                | 10/07/2012  |              | 12,0                       |

Employee  
Stock  
Option  
(right to  
buy)

Common  
Stock

Employee  
Stock  
Option \$ 27.75  
(right to  
buy)

10/06/2004<sup>(3)</sup> 10/06/2013

Common  
Stock 17,0

Employee  
Stock  
Option \$ 43.9 11/08/2004  
(right to  
buy)

A 15,000

11/08/2005<sup>(3)</sup> 11/08/2014

Common  
Stock 15,0

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                              |       |
|--|---------------|-----------|------------------------------|-------|
|  | Director      | 10% Owner | Officer                      | Other |
| STUEVER A LAWRENCE<br>777 EAST WISCONSIN AVENUE<br>SUITE 1400<br>MILWAUKEE, WI 53202 |               |           | V. Pres. and General Auditor |       |

## Signatures

K. A. Balistreri, Attorney-in-Fact for A. Lawrence  
Stuever

11/10/2004

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares represented by Company stock fund units under the Company's Savings Plan which were acquired on a periodic basis pursuant to the Plan, based on information furnished by the Plan Administrator as of 11/01/2004.  
Shares are held by the Reporting Person's spouse as custodian for son under Uniform Gift to Minors Act. The Reporting Person disclaims
- (2) beneficial ownership of these securities and the filing of this report is not an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.
- (3) The option vests in three substantially equal annual installments beginning on the date exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.