

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

GUGGENHEIM ENHANCED EQUITY STRATEGY FUND

Form NSAR-B

December 22, 2016

PAGE 1  
000 B000000 10/31/2016  
000 C000000 0001267890  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 Guggenheim Enhanced Equity Strategy Fund  
001 B000000 811-21455  
001 C000000 3128270100  
002 A000000 227 WEST MONROE STREET  
002 B000000 CHICAGO  
002 C000000 IL  
002 D010000 60606  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
008 A000001 GUGGENHEIM FUNDS INVESTMENT ADVISORS, LLC  
008 B000001 A  
008 C000001 801-000  
008 D010001 CHICAGO  
008 D020001 IL  
008 D030001 60606  
008 A000002 GUGGENHEIM PARTNERS INVESTMENT MANAGEMENT, LLC  
008 B000002 S  
008 C000002 801-000000  
008 D010002 SANTA MONICA  
008 D020002 CA  
008 D030002 90401  
010 A000001 MUFG INVESTOR SERVICES (US), LLC  
010 B000001 801-000000  
010 C010001 ROCKVILLE  
010 C020001 MD  
010 C030001 20850  
011 A000001 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.  
011 B000001 8-000  
011 C010001 NEW YORK  
011 C020001 NY  
011 C030001 10080  
012 A000001 COMPUTERSHARE TRUST COMPANY, N.A.  
012 B000001 84-0000000  
012 C010001 COLLEGE STATION  
012 C020001 TX  
012 C030001 77842  
012 C040001 3170  
013 A000001 ERNST & YOUNG LLP  
PAGE 2  
013 B010001 McLean  
013 B020001 VA  
013 B030001 22102  
014 A000001 Channel Capital Group LLC  
014 B000001 8-53692  
014 A000002 Security Distributors, Inc.

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

014 B000002 8-10781  
014 A000003 Guggenheim Investor Services, LLC  
014 B000003 8-44742  
014 A000004 Guggenheim Securities, LLC  
014 B000004 8-49107  
014 A000005 Sammons Securities Company, LLC  
014 B000005 8-53482  
014 A000006 Guggenheim Funds Distributors, LLC  
014 B000006 8-48842  
014 A000007 Sammons Financial Network, LLC  
014 B000007 8-68905  
014 A000008 Guggenheim Energy Advisors, LLC  
014 B000008 8-68983  
014 A000009 Guggenheim Liquid Markets, LLC  
014 B000009 8-69770  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10286  
015 E010001 X  
015 A000002 ROYAL BANK OF CANADA  
015 B000002 S  
015 C010002 ONTARIO  
015 D010002 CANADA  
015 E040002 X  
018 000000 Y  
019 A000000 N  
019 B000000 0  
020 A000001 Deutsche Bank Securities, Inc.  
020 B000001 UNKNOWN  
020 C000001 35  
020 A000002 UBS Securities LLC  
020 B000002 UNKNOWN  
020 C000002 24  
020 A000003 Bank of America  
020 B000003 UNKNOWN  
020 C000003 13  
020 A000004 Morgan Stanley  
020 B000004 12-2655998  
020 C000004 6  
020 A000005 BNP Paribas Prime Brokerage, Inc.  
020 B000005 UNKNOWN  
020 C000005 5  
020 A000006 Goldman Sachs  
PAGE 3  
020 B000006 13-5108880  
020 C000006 4  
020 A000007 Baycrest Securities Company, Inc.  
020 B000007 UNKNOWN  
020 C000007 1  
021 000000 88  
022 A000001 BNP Paribas Pledge Account  
022 B000001 UNKNOWN  
022 C000001 22000  
022 D000001 21000  
023 C000000 22000  
023 D000000 21000  
024 000000 N  
026 A000000 N  
026 B000000 Y  
026 C000000 N

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

026 D000000 Y  
026 E000000 N  
026 F000000 N  
026 G010000 N  
026 G020000 N  
026 H000000 N  
027 000000 N  
045 000000 Y  
046 000000 N  
047 000000 Y  
048 000000 0.850  
048 A010000 0  
048 A020000 0.000  
048 B010000 0  
048 B020000 0.000  
048 C010000 0  
048 C020000 0.000  
048 D010000 0  
048 D020000 0.000  
048 E010000 0  
048 E020000 0.000  
048 F010000 0  
048 F020000 0.000  
048 G010000 0  
048 G020000 0.000  
048 H010000 0  
048 H020000 0.000  
048 I010000 0  
048 I020000 0.000  
048 J010000 0  
048 J020000 0.000  
048 K010000 0  
048 K020000 0.000  
049 000000 N  
050 000000 N  
PAGE 4  
051 000000 N  
052 000000 N  
053 A000000 Y  
053 B000000 Y  
053 C000000 N  
054 A000000 Y  
054 B000000 Y  
054 C000000 N  
054 D000000 N  
054 E000000 N  
054 F000000 N  
054 G000000 N  
054 H000000 Y  
054 I000000 N  
054 J000000 Y  
054 K000000 N  
054 L000000 N  
054 M000000 Y  
054 N000000 N  
054 O000000 N  
055 A000000 N  
055 B000000 N  
056 000000 N  
057 000000 N  
058 A000000 N  
059 000000 Y

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

060 A000000 N  
060 B000000 N  
061 000000 0  
062 A000000 N  
062 B000000 0.0  
062 C000000 0.0  
062 D000000 0.0  
062 E000000 0.0  
062 F000000 0.0  
062 G000000 0.0  
062 H000000 0.0  
062 I000000 0.0  
062 J000000 0.0  
062 K000000 0.0  
062 L000000 0.0  
062 M000000 0.0  
062 N000000 0.0  
062 O000000 0.0  
062 P000000 0.0  
062 Q000000 0.0  
062 R000000 0.0  
066 A000000 Y  
066 B000000 N  
066 C000000 N  
066 D000000 N  
PAGE 5  
066 E000000 N  
066 F000000 Y  
066 G000000 N  
067 000000 N  
068 A000000 N  
068 B000000 N  
069 000000 N  
070 A010000 Y  
070 A020000 N  
070 B010000 Y  
070 B020000 N  
070 C010000 Y  
070 C020000 N  
070 D010000 Y  
070 D020000 Y  
070 E010000 Y  
070 E020000 N  
070 F010000 Y  
070 F020000 N  
070 G010000 Y  
070 G020000 N  
070 H010000 Y  
070 H020000 N  
070 I010000 Y  
070 I020000 N  
070 J010000 Y  
070 J020000 N  
070 K010000 Y  
070 K020000 Y  
070 L010000 Y  
070 L020000 N  
070 M010000 Y  
070 M020000 N  
070 N010000 Y  
070 N020000 N  
070 O010000 Y

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

070	O020000	Y	
070	P010000	Y	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		16588
071	B000000		27243
071	C000000		129759
071	D000000	13	
072	A000000	12	
072	B000000		2
072	C000000		2458
072	D000000		0
	PAGE	6	
072	E000000		0
072	F000000		1097
072	G000000		35
072	H000000		0
072	I000000		18
072	J000000		12
072	K000000		0
072	L000000		35
072	M000000		65
072	N000000		24
072	O000000		0
072	P000000		432
072	Q000000		50
072	R000000		34
072	S000000		89
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		10
072	X000000		1901
072	Y000000		65
072	Z000000		624
072AA	000000		977
072BB	000000		0
072CC0	10000		3031
072CC0	20000		0
072DD0	10000		1748
072DD0	20000		0
072EE	000000		0
073	A010000		0.3500
073	A020000		0.0000
073	B000000		0.0000
073	C000000		1.5900
074	A000000		0
074	B000000		0
074	C000000		1721
074	D000000		0
074	E000000		0
074	F000000		0
074	G000000		0
074	H000000		0
074	I000000		129203
074	J000000		0
074	K000000		0
074	L000000		0
074	M000000		1

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

074	N000000	130925
074	O000000	0
074	P000000	98
074	Q000000	0
074	R010000	43000
PAGE 7		
074	R020000	0
074	R030000	959
074	R040000	128
074	S000000	0
074	T000000	86740
074	U010000	4994
074	U020000	0
074	V010000	17.37
074	V020000	0.00
074	W000000	0.0000
074	X000000	7867
074	Y000000	129204
075	A000000	0
075	B000000	86533
076	000000	15.88
077	A000000	Y
077	B000000	Y
077	C000000	N
077	D000000	N
077	E000000	N
077	F000000	N
077	G000000	N
077	H000000	N
077	I000000	N
077	J000000	N
077	K000000	N
077	L000000	N
077	M000000	N
077	N000000	N
077	O000000	N
077	P000000	N
077	Q010000	Y
077	Q020000	Y
077	Q030000	N
078	000000	N
080	A000000	ICI Mutual Insurance Company
080	C000000	16725
081	A000000	Y
081	B000000	15
082	A000000	N
082	B000000	0
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	0
086	A020000	0
086	B010000	0
086	B020000	0
PAGE 8		
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0

Edgar Filing: GUGGENHEIM ENHANCED EQUITY STRATEGY FUND - Form NSAR-B

086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 40167K100  
087 A030000 GGE  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE Rochelle Brown  
TITLE Supervisor