

CATHAY GENERAL BANCORP

Form 5/A

May 21, 2008

**FORM 5****UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**Check this box if  
no longer subject  
to Section 16.Form 4 or Form  
5 obligations  
may continue.See Instruction  
1(b).Form 3 Holdings  
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL  
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0362Expires: January 31,  
2005Estimated average  
burden hours per  
response... 1.01. Name and Address of Reporting Person \*  
**WONG IRWIN**

(Last) (First) (Middle)

**777 NORTH BROADWAY**

(Street)

**LOS ANGELES, CA 90012**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading  
Symbol  
**CATHAY GENERAL BANCORP  
[CATY]**3. Statement of Issuer's Fiscal Year Ended  
(Month/Day/Year)  
**12/31/2007**4. If Amendment, Date Original  
Filed(Month/Day/Year)  
**02/08/2008**5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)

EVP - Branch Administration

6. Individual or Joint/Group Reporting

(check applicable line)

☒ Form Filed by One Reporting Person  
☐ Form Filed by More than One Reporting  
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned at<br>end of<br>Issuer's<br>Fiscal Year<br>(Instr. 3 and<br>4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 01/23/2007                              | Â                                                           | J <sup>(1)</sup>                        | Amount (A)<br>or (D)<br>Price<br>12.777 A \$<br>32.3829                 | 10,266.69<br>(2)                                                                                                   | D                                                                       | Â                                                                 |
| Common<br>Stock                       | 04/24/2007                              | Â                                                           | J <sup>(1)</sup>                        | 15.114 A \$<br>32.0283                                                  | 10,266.69                                                                                                          | D                                                                       | Â                                                                 |
| Common<br>Stock                       | 07/24/2007                              | Â                                                           | J <sup>(1)</sup>                        | 15.36 A \$<br>31.6186                                                   | 10,266.69                                                                                                          | D                                                                       | Â                                                                 |
| Common<br>Stock                       | 10/23/2007                              | Â                                                           | J <sup>(1)</sup>                        | 16.083 A \$<br>30.2974                                                  | 10,266.69                                                                                                          | D                                                                       | Â                                                                 |

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|              |            |   |                  |     |   |            |                       |   |         |
|--------------|------------|---|------------------|-----|---|------------|-----------------------|---|---------|
| Common Stock | 10/12/2007 | Â | W4               | 370 | A | \$ 0       | 10,266.69             | D | Â       |
| Common Stock | 12/31/2007 | Â | J <sup>(3)</sup> | 218 | A | \$ 31.5818 | 15,941 <sup>(2)</sup> | I | By ESOP |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    |                                | (A) (D)                                                                                 | Date Exercisable Expiration Date                         | Title Amount of Number of Shares                              |
| Stock Option                               | \$ 10.625                                              | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | 01/20/2005 <sup>(4)</sup> 01/20/2010                     | Common Stock 3,576                                            |
| Stock Option                               | \$ 15.0475                                             | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | 01/18/2005 <sup>(4)</sup> 01/18/2011                     | Common Stock 6,448                                            |
| Stock Option                               | \$ 16.275                                              | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | 02/21/2005 <sup>(4)</sup> 02/21/2012                     | Common Stock 11,088                                           |
| Stock Option                               | \$ 19.925                                              | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | 01/16/2005 <sup>(5)</sup> 01/16/2013                     | Common Stock 30,064                                           |
| Stock Option                               | \$ 24.8                                                | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | 11/20/2004 <sup>(6)</sup> 11/20/2013                     | Common Stock 143,040                                          |
| Stock Option                               | \$ 37                                                  | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | 02/17/2006 <sup>(7)</sup> 02/17/2015                     | Common Stock 32,580                                           |
| Stock Option                               | \$ 36.24                                               | Â                                    | Â                                                  | Â                              | Â Â                                                                                     | 01/25/2007 <sup>(8)</sup> 01/25/2016                     | Common Stock 31,000                                           |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                               |       |
|----------------------------------|---------------|-----------|-------------------------------|-------|
|                                  | Director      | 10% Owner | Officer                       | Other |
| WONG IRWIN<br>777 NORTH BROADWAY | Â             | Â         | Â EVP - Branch Administration | Â     |

LOS ANGELES, CA 90012

## Signatures

Irwin Wong

05/21/2008

\_\_\_\_\_  
Signature of  
Reporting Person

\_\_\_\_\_  
Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dividend Reinvestment.
- (2) ESOP distribution of 5,240 shares to his individual IRA account on 4/3/07.
- (3) ESOP Earning Allocation.
- (4) The option is fully exercisable.
- (5) The option vests in 4 equal annual installments beginning 1/16/05.
- (6) The option vests in 5 equal annual installments beginning 11/20/04.
- (7) The option vests in 5 equal annual installments beginning 2/17/06.
- (8) The option vests in 5 equal annual installments beginning 1/25/07.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.