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TRI-CONTINENTAL CORP
Form NSAR-B
February 27, 2014

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000 B000000 12/31/2013
000 C000000 0000099614
000 D000000 N
000 E000000 NF
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000 I000000 6.1
000 J000000 A
001 A000000 TRI-CONTINENTAL CORPORATION
001 B000000 811-00266
001 C000000 6173859594
002 A000000 225 FRANKLIN STREET
002 B000000 BOSTON
002 C000000 MA
002 D010000 02110
003 000000 N
004 000000 N
005 000000 N
006 000000 N
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007 C010100 1
007 C020100 Tri-Continental Corporation
007 C030100 N
008 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
008 B000001 A
008 C000001 801-25943
008 D010001 BOSTON
008 D020001 MA
008 D030001 02110
010 A000001 COLUMBIA MANAGEMENT INVESTMENT ADVISERS, LLC
010 B000001 801-25943
010 C010001 BOSTON
010 C020001 MA
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012 A000001 COLUMBIA MANAGEMENT INVESTMENT SERVICES CORP.
012 B000001 84-05685
012 C010001 BOSTON
012 C020001 MA
012 C030001 02266
012 C040001 8081
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 MINNEAPOLIS
013 B020001 MN
013 B030001 55402
014 A000001 COLUMBIA MGMT INVESTMENT DISTRIBUTORS, INC.
014 B000001 8-25845
014 A000002 AMERICAN ENTERPRISE INVESTMENT SERVICES INC.
014 B000002 8-42582
014 A000003 AMERIPRISE FINANCIAL SERVICES, INC.
014 B000003 8-16791
014 A000004 RIVERSOURCE DISTRIBUTORS, INC.
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014 B000004 8-67196
015 A000001 JPMORGAN CHASE BANK, N.A.
015 B000001 C
015 C010001 NEW YORK

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015 C020001 NY
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015 C010003 SAN JOSE
015 D010003 COSTA RICA
015 E040003 X
015 A000004 BANCO ITAU URUGUAY S.A.
015 B000004 S
015 C010004 MONTEVIDEO
015 D010004 URUGUAY
015 E040004 X
015 A000005 BANCO NACIONAL DE MEXICO, S.A.
015 B000005 S
015 C010005 MEXICO, D.F.
015 D010005 MEXICO
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015 A000006 BANCO SANTANDER CHILE
015 B000006 S
015 C010006 SANTIAGO
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015 A000007 BANK HANDLOWY W. WARSZAWIE S.A.
015 B000007 S
015 C010007 WARSAW
015 D010007 POLAND
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015 A000008 BANK LEUMI LE-ISRAEL B.M.
015 B000008 S
015 C010008 TEL AVIV
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015 A000009 BANQUE INTERNATIONALE ARABE DE TUNISIE, S.A.
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015 A000010 BNP PARIBAS SECURITIES SERVICES S.C.A.
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015 C010010 BRUSSELS
015 D010010 BELGIUM
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015 D010012 ITALY
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015 C010013 HESPERANGE

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015 D010013 LUXEMBOURG
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015 A000016 CANADIAN IMPERIAL BANK OF COMMERCE
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015 D010016 CANADA
015 E040016 X
015 A000017 CITIBANK A.S.
015 B000017 S
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015 E040017 X
015 A000018 CITIBANK DEL PERU S.A.
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015 A000022 DBS BANK LTD.
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015 C010023 ESCHBORN
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015 E040023 X
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015 C010024 BUDAPEST
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015 A000025 DEUTSCHE BANK AG
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015 C010025 JAKARTA
015 D010025 INDONESIA

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015 A000026 DEUTSCHE BANK AG
015 B000026 S
015 C010026 LONDON
015 D010026 UNITED KINGDOM
015 E040026 X
015 A000027 FIRSTRAND BANK LIMITED
015 B000027 S
015 C010027 JOHANNESBURG
015 D010027 SOUTH AFRICA
015 E040027 X
015 A000028 HSBC BANK (CHINA) COMPANY LIMITED
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015 C010028 SHANGHAI
015 D010028 CHINA
015 E040028 X
015 A000029 HSBC BANK (VIETNAM) LTD.
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015 C010029 HO CHI MINH CITY
015 D010029 VIETNAM
015 E040029 X
015 A000030 HSBC BANK ARGENTINA S.A.
015 B000030 S
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015 D010030 ARGENTINA
015 E040030 X
015 A000031 HSBC BANK BERMUDA LIMITED
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015 A000032 HSBC BANK MALAYSIA BERHAD
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015 D010032 MALAYSIA
015 E040032 X
015 A000033 HSBC BANK MIDDLE EAST LIMITED
015 B000033 S
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015 A000037 HSBC BANK MIDDLE EAST LIMITED
015 B000037 S
015 C010037 RAMALLAH
015 D010037 PALESTINE TERRITORY
015 E040037 X

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015 A000038 HSBC BANK MIDDLE EAST LIMITED
015 B000038 S
015 C010038 DOHA
015 D010038 QATAR
015 E040038 X
015 A000039 HSBC BANK MIDDLE EAST LIMITED
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015 C010039 DUBAI
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015 E040039 X
015 A000040 HSBC BANK OMAN S.A.O.G.
015 B000040 S
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015 E040040 X
015 A000041 HSBC BANK PLC
015 B000041 S
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015 C010041 ATHENS
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015 A000046 ISLANDSBANKI HF.
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015 C010046 REYKJAVIK
015 D010046 ICELAND
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015 A000047 J.P. MORGAN AG
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015 C010047 FRANKFURT
015 D010047 GERMANY
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015 A000048 J.P. MORGAN BANK INTERNATIONAL
015 B000048 S
015 C010048 MOSCOW
015 D010048 RUSSIA
015 E040048 X
015 A000049 J.P. MORGAN S.A. DTVM
015 B000049 S
015 C010049 SAO PAULO
015 D010049 BRAZIL
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015 A000050 JPMORGAN CHASE BANK, N.A.

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015 B000050 S
015 C010050 SYDNEY
015 D010050 AUSTRALIA
015 E040050 X
015 A000051 JPMORGAN CHASE BANK, N.A.
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015 C010051 QUARRY BAY
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015 D010051 HONG KONG
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015 A000053 JPMORGAN CHASE BANK, N.A.
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015 E040053 X
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015 C010055 LONDON
015 D010055 UNITED KINGDOM
015 E040055 X
015 A000056 MIZUHO BANK, LTD.
015 B000056 S
015 C010056 TOKYO
015 D010056 JAPAN
015 E040056 X
015 A000057 NORDEA BANK AB
015 B000057 S
015 C010057 STOCKHOLM
015 D010057 SWEDEN
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015 B000058 S
015 C010058 TAASTRUP
015 D010058 DENMARK
015 E040058 X
015 A000059 NORDEA BANK FINLAND PLC
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015 C010059 HELSINKI
015 D010059 FINLAND
015 E040059 X
015 A000060 NORDEA BANK NORGE ASA
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015 C010060 OSLO
015 D010060 NORWAY
015 E040060 X
015 A000061 PRIVREDNA BANKA ZAGREB D.D.
015 B000061 S
015 C010061 ZAGREB
015 D010061 CROATIA
PAGE 8
015 E040061 X
015 A000062 REPUBLIC BANK LIMITED

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015 B000062 S
015 C010062 PORT OF SPAIN
015 D010062 TRINIDAD AND TOBAGO
015 E040062 X
015 A000063 ROYAL BANK OF CANADA
015 B000063 S
015 C010063 TORONTO
015 D010063 CANADA
015 E040063 X
015 A000064 SANTANDER INVESTMENT, S.A.
015 B000064 S
015 C010064 MADRID
015 D010064 SPAIN
015 E040064 X
015 A000065 SB HSBC BANK KAZAKHSTAN JSC
015 B000065 S
015 C010065 ALMATY
015 D010065 KAZAKHSTAN
015 E040065 X
015 A000066 SOCIETE GENERALE
015 B000066 S
015 C010066 PARIS
015 D010066 FRANCE
015 E040066 X
015 A000067 SOCIETE GENERALE MAROCAINE DE BANQUES
015 B000067 S
015 C010067 CASABLANCA
015 D010067 MOROCCO
015 E040067 X
015 A000068 STANBIC BANK TANZANIA LIMITED
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015 C010068 DAR ES SALAAM
015 D010068 TANZANIA
015 E040068 X
015 A000069 STANBIC BANK ZIMBABWE LIMITED
015 B000069 S
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015 D010069 ZIMBABWE
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015 A000070 STANBIC IBTC BANK PLC
015 B000070 S
015 C010070 LAGOS
015 D010070 NIGERIA
015 E040070 X
015 A000071 STANDARD BANK LIMITED, MALAWI
015 B000071 S
015 C010071 BLANTYRE
015 D010071 MALAWI
015 E040071 X
PAGE 9
015 A000072 STANDARD BANK NAMIBIA LIMITED
015 B000072 S
015 C010072 WINDHOEK
015 D010072 NAMIBIA
015 E040072 X
015 A000073 STANDARD CHARTERED BANK
015 B000073 S
015 C010073 DHAKA
015 D010073 BANGLADESH
015 E040073 X
015 A000074 STANDARD CHARTERED BANK (PAKISTAN) LIMITED
015 B000074 S

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015 C010074 KARACHI
015 D010074 PAKISTAN
015 E040074 X
015 A000075 STANDARD CHARTERED BANK (THAI) PUBLIC CO. LTD
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015 C010075 BANGKOK
015 D010075 THAILAND
015 E040075 X
015 A000076 STANDARD CHARTERED BANK BOTSWANA LIMITED
015 B000076 S
015 C010076 GABORONE
015 D010076 BOTSWANA
015 E040076 X
015 A000077 STANDARD CHARTERED BANK COTE D'IVOIRE SA
015 B000077 S
015 C010077 ABIDJAN
015 D010077 IVORY COAST
015 E040077 X
015 A000078 STANDARD CHARTERED BANK GHANA LIMITED
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015 A000079 STANDARD CHARTERED BANK KENYA LIMITED
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015 C010079 NAIROBI
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015 E040079 X
015 A000080 STANDARD CHARTERED BANK KOREA LIMITED
015 B000080 S
015 C010080 SEOUL
015 D010080 SOUTH KOREA
015 E040080 X
015 A000081 STANDARD CHARTERED BANK UGANDA LIMITED
015 B000081 S
015 C010081 KAMPALA
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015 A000082 STANDARD CHARTERED BANK ZAMBIA PLC
PAGE 10
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015 D010082 ZAMBIA
015 E040082 X
015 A000083 SWEDBANK AS
015 B000083 S
015 C010083 TALLINN
015 D010083 ESTONIA
015 E040083 X
015 A000084 SWEDBANK AS
015 B000084 S
015 C010084 RIGA
015 D010084 LATVIA
015 E040084 X
015 A000085 THE BANK OF TOKYO-MITSUBISHI UFJ, LIMITED
015 B000085 S
015 C010085 TOKYO
015 D010085 JAPAN
015 E040085 X
015 A000086 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000086 S
015 C010086 EBENE

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015 D010086 MAURITIUS
015 E040086 X
015 A000087 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000087 S
015 C010087 TAGUIG CITY
015 D010087 PHILIPPINES
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015 A000088 THE HONGKONG AND SHANGHAI BANKING CORP. LTD
015 B000088 S
015 C010088 COLOMBO
015 D010088 SRI LANKA
015 E040088 X
015 A000089 UBS AG
015 B000089 S
015 C010089 ZURICH
015 D010089 SWITZERLAND
015 E040089 X
015 A000090 UNICREDIT BANK AUSTRIA AG
015 B000090 S
015 C010090 VIENNA
015 D010090 AUSTRIA
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015 A000091 UNICREDIT BANK CZECH RPB. & SLOVAKIA A.S.
015 B000091 S
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PAGE 11
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015 D010092 SLOVAK REPUBLIC
015 E040092 X
015 A000093 UNICREDIT BANK SRBIJA A.D.
015 B000093 S
015 C010093 BELGRADE
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015 A000094 UNICREDIT BANKA SLOVENIJA D.D.
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015 C010094 LJUBLJANA
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020 B000001 13-5108880
020 C000001 134
020 A000002 CITIGROUP GLOBAL MARKETS INC.
020 B000002 11-2418191
020 C000002 78
020 A000003 BARCLAYS CAPITAL
020 B000003 13-3297824
020 C000003 68
020 A000004 MORGAN STANLEY
020 B000004 13-2655998
020 C000004 51
020 A000005 DEUTSCHE BANK SECURITIES INC.
020 B000005 13-2730828
020 C000005 51

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020 A000006 J.P. MORGAN SECURITIES, INC.
020 B000006 13-3224016
020 C000006 45
020 A000007 CREDIT SUISSE SECURITIES (USA) L.L.C.
020 B000007 13-5659485
020 C000007 26
020 A000008 WELLS FARGO INVESTMENTS, LLC
020 B000008 91-1374280
020 C000008 20
020 A000009 RBC CAPITAL MARKETS CORPORATION
020 B000009 13-5033225
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020 A000010 ROBERT W. BAIRD & CO. INC.
020 B000010 39-6037917
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022 B000001 13-2730828
022 C000001 59035
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022 B000002 13-5108880
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022 A000003 MORGAN STANLEY
022 B000003 13-2655998
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022 A000004 J.P. MORGAN SECURITIES, INC.
022 B000004 13-3224016
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022 A000005 CREDIT SUISSE SECURITIES (USA) L.L.C.
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022 A000007 CITIGROUP GLOBAL MARKETS INC.
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022 A000008 BANC OF AMERICA SECURITIES LLC
022 B000008 56-2058405
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022 A000009 NOMURA SECURITIES INTERNATIONAL, INC.
022 B000009 13-2642206
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022 A000010 JEFFERIES & COMPANY, INC.
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022 D000010 4223
023 C000000 351262
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025 A000001 Citigroup, Inc.
025 B000001 11-2418191

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025 C000001 E
025 D000001 8848
025 A000002 JPMorgan Chase & Co.
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025 A000003 The Goldman Sachs Group, Inc.
025 B000003 13-5108880
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| | | |
|-----|---------|------------------------------|
| 074 | L000000 | 6503 |
| 074 | M000000 | 111 |
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| 074 | P000000 | 69 |
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| 074 | Y000000 | 437 |
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| 077 | A000000 | Y |
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| 077 | C000000 | N |
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| 077 | E000000 | Y |
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| 077 | H000000 | N |
| 077 | I000000 | N |
| 077 | J000000 | N |
| 077 | K000000 | N |
| 077 | L000000 | N |
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| 077 | O000000 | N |
| 077 | P000000 | N |
| 077 | Q010000 | N |
| 077 | Q020000 | N |
| 077 | Q030000 | N |
| 078 | 000000 | N |
| 080 | A000000 | ICI Mutual Insurance Company |
| 080 | B000000 | n/a |
| 080 | C000000 | 5000 |
| 081 | A000000 | Y |
| 081 | B000000 | 1 |
| 082 | A000000 | N |
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| 083 | A000000 | N |
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| 085 | B000000 | N |
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| 086 | A020000 | 1724 |
| 086 | B010000 | 1710 |
| 086 | B020000 | 31277 |
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| 086 | C020000 | 0 |

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086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 TRI-CONTINENTAL - COMMON STOCK
087 A020000 895436103
087 A030000 TY
087 B010000 TRI-CONTINENTAL - PREFERRED STOCK
087 B020000 895436202
PAGE 19
087 C010000 TRI-CONTINENTAL - WARRANT
087 C020000 895436111
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE SEE BELOW
TITLE SEE BELOW

This report is signed on behalf of the registrant (or depositor or trustee).

City of: Boston State of: Massachusetts Date: February 19, 2014

Name of Registrant, Depositor, or Trustee: Tri-Continental Corporation

/s/ Michael G. Clarke

Michael G. Clarke
Treasurer

/s/ Joseph F. DiMaria

Joseph F. DiMaria
Chief Accounting Officer
Witness

TRI-CONTINENTAL CORPORATION