Edgar Filing: WERTZ CARL C - Form 4

WERTZ CA Form 4 May 28, 2019 FORM Check thi if no long subject to Section 1 Form 4 of Form 5 obligation may cont <i>See</i> Instru 1(b).	0 4 UNITE is box ger 6. r Filed p inue. Section 1	EMENT O pursuant to a 17(a) of the	Was F CHAN Section 1 Public U	shington, IGES IN SECUR 6(a) of th	D.C. 20 BENEF SITIES e Securi ding Cor)549 TCLA ties H npan	AL OWN Exchange y Act of	OMMISSION NERSHIP OF e Act of 1934, 1935 or Sectior 0	OMB Number: Expires: Estimated a burden hour response	•		
(Print or Type F	Responses)											
WERTZ CARL C Symbol				er Name and Ticker or Trading ES INC /DEL/ [DIOD]				5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First)	(Middle)		3. Date of Earliest Transaction				(Check all applicable)				
(Month/E 15660 DALLAS 05/27/2 PARKWAY, SUITE 850				/Day/Year) 2010				Director 10% Owner Officer (give title Other (specify below) below) VP Finance/Investor Relations				
				endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
DALLAS, TX 75248								portung				
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative	Secu	rities Acq	uired, Disposed of,	, or Beneficial	y Owned		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				Beneficially Form: Dire Owned (D) or Following Indirect (I) Reported (Instr. 4) Transaction(s) (Instr. 3 and 4)		7. Nature of Indirect t Beneficial Ownership (Instr. 4)		
Diodes Inc.				Code V	Amount	(D)	Price	````				
Common Stock	05/27/2010			S <u>(1)</u>	400	D	\$ 19.711	12,070	D			
Diodes Inc. Common Stock	05/27/2010			S <u>(2)</u>	300	D	\$ 19.71	11,770	D			
Diodes Inc. Common Stock	05/27/2010			S <u>(3)</u>	425	D	\$ 19.7	11,345	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities	5		(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
					,,						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title	Number		
						Excicisable	Duit		of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
r o	Director	10% Owner	Officer	Other			
WERTZ CARL C 15660 DALLAS PARKWAY SUITE 850 DALLAS, TX 75248			VP Finance/Investor Relations				
Signatures							

Richard D. White as Power of Attorney for Carl Wertz

**Signature of Reporting Person

Date

05/28/2010

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares from vested Restricted Stock Units automatically sold on behalf of employee in conjunction with the employee's prior election to participate in the company's Deferred Compensation Plan.
- (2) Shares from vested Restricted Stock Units automatically sold on behalf of employee in conjunction with the employee's prior election to participate in the company's Deferred Compensation Plan.
- (3) Shares from vested Restricted Stock Units automatically sold on behalf of employee in conjunction with the employee's prior election to participate in the company's Deferred Compensation Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.