

Chand Sujeet
Form 3
February 15, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Chand Sujeet

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/07/2007

3. Issuer Name and Ticker or Trading Symbol

ROCKWELL AUTOMATION INC [ROK]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

1201 SOUTH SECOND STREET

(Street)

(Check all applicable)

____ Director ____ 10% Owner

____ Officer ____ Other

(give title below) (specify below)

Sr VP & Chief Tech. Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

MILWAUKEE, WI 53204

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

3,997.01

I

By Savings Plan ⁽⁴⁾

Common Stock

9,100

D ⁽⁵⁾

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	10/06/2004	10/06/2013	Common Stock	22,000	\$ 27.75	D	Â
Employee Stock Option (Right to Buy)	10/07/2003	10/07/2012	Common Stock	7,500	\$ 15.5	D	Â
Employee Stock Option (Right to Buy)	11/08/2005 ⁽¹⁾	11/08/2014	Common Stock	21,000	\$ 43.9	D	Â
Employee Stock Option (Right to Buy)	11/07/2006 ⁽²⁾	11/07/2015	Common Stock	10,900	\$ 56.36	D	Â
Employee Stock Option (Right to Buy)	12/06/2007 ⁽³⁾	12/06/2016	Common Stock	10,100	\$ 63.59	D	Â
Common Stock Share Equivalents	Â ⁽⁷⁾	Â ⁽⁸⁾	Common Stock	17.22	\$ 0 ⁽⁶⁾	I	Nonqual. Savings Plan ⁽⁹⁾

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Chand Sujeet 1201 SOUTH SECOND STREET MILWAUKEE, WI 53204			Sr VP & Chief Tech. Officer	

Signatures

Karen A. Balistreri, Attorney-In Fact for Sujeet Chand

02/15/2007

****Signature of Reporting Person**

Date _____

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The option vests in three substantially equal annual installments beginning on the date exercisable.
- (2) The option vests in three substantially equal annual installments beginning on the date exercisable.
- (3) The option vests in three substantially equal annual installments beginning on the date exercisable.
- (4) Shares represented by Company stock fund units acquired under the Company Savings Plan.
- (5) 1,700 shares are held by Company to implement restrictions on transfer unless and until certain conditions are met.
- (6) Each unit is the economic equivalent of one share of Company common stock.
- (7) The share equivalents are payable in cash upon retirement or after termination of employment.
- (8) The share equivalents are payable in cash upon retirement or after termination of employment.
- (9) Share equivalents represented by Company stock fund units acquired under the Company Nonqualified Savings Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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