

TSENGAS STEVEN
Form 4
May 09, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TSENGAS STEVEN

(Last) (First) (Middle)
1300 EAST STREET
(Street)

FAIRPORT HARBOR, OH 44077

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
OURPETS CO [OPCO]

3. Date of Earliest Transaction (Month/Day/Year)
05/07/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock				(A) or (D)	772,712	I	By Spouse
Common Stock				(A) or (D)	100,000	I	Custodian UGM
Common Stock				(A) or (D)	3,062,885	D	
Common Stock				(A) or (D)	30,065	I	By Partnership
Common Stock				(A) or (D)	44,104	I	By Partnership

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Common Stock	2,987,885	D ⁽²⁾	
Common Stock	3,287,885	D ⁽³⁾	
Common Stock	3,192,430	D ⁽³⁾	
Common Stock	3,549,798	D ⁽⁴⁾	
Common Stock	3,373,655	D ⁽⁴⁾	
Common Stock	3,573,655	D ⁽⁵⁾	
Common Stock	3,473,655	D	
Common Stock	3,479,155	D	
Common Stock	3,483,655	D	
Common Stock	45,612	I	By Partnership
Common Stock	3,433,655	D	
Common Stock	3,814,246 ⁽⁶⁾	D	
Common Stock	72,001 ⁽⁷⁾	I	By Partnership
Common Stock	124,001	I	By Partnership
Common Stock	3,764,246 ⁽²⁾	D	
Commonn Stock	3,734,246 ⁽⁹⁾	D	
Common Stock	125,125 ⁽¹⁰⁾	I	By Partnership
Common Stock	134,404 ⁽¹⁰⁾	I	By Partnership
Common Stock	134,695 ⁽¹⁰⁾	I	By Partnership
Common Stock	135,215 ⁽¹⁰⁾	I	By Partnership
	137,815 ⁽¹⁰⁾	I	

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Common Stock									By Partnership
Common Stock	05/07/2018		P	10,626	A	\$ 0.8	148,441 ⁽¹⁰⁾	I	By Partnership
Common Stock	05/07/2018		P	10,000	A	\$ 0.85	3,744,246	D	
Common Stock	05/07/2018		P	7,013	A	\$ 0.85	3,751,259	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. De	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrant	\$ 0.6794					08/02/2006	08/02/2013	Common	0
Preferred Stock	\$ 0.7					01/28/2010	⁽¹⁾	Common	52,000 ⁽⁸⁾
Warrant	\$ 0.7999					06/28/2010	06/28/2015	Common	0
Warrant	\$ 0.8448					07/16/2010	07/16/2015	Common	0
Warrant	\$ 0.9678					10/18/2010	10/18/2015	Common	0
Warrant	\$ 0.4926					11/08/2012	11/08/2017	Common	26,389
Warrant	\$ 0.4138					12/07/2012	12/07/2017	Common	380,591
Option	\$ 0.495					06/20/2008	06/20/2013	Common	0
Warrant	\$ 0.4898					06/20/2008	06/20/2013	Common	0
Warrant	\$ 0.4898					06/20/2008	06/20/2013	Common	0

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Warrant	\$ 0.5419	10/10/2013	10/10/2018	Common	127,323
Option	\$ 0.35	04/28/2006	04/28/2014	Common	0 ⁽³⁾
Warrant	\$ 0.4436	09/17/2009	09/17/2014	Common	0
Option	\$ 0.29	10/01/2006	10/01/2014	Common	0 ⁽⁵⁾

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TSENGAS STEVEN 1300 EAST STREET FAIRPORT HARBOR, OH 44077	X	X	CEO	

Signatures

/s/Steven
Tsengas

05/09/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Conversion of Preferred Stock into Common Stock has no expiration date.
Mr. Tsengas does not have a pecuniary interest in and is not a beneficial owner of the shares held by the Tsengas Foundation, an Ohio non-profit corporation, which is a tax-exempt, charitable foundation. The shares held by the foundation have been excluded from the amount of securities.
- (2) non-profit corporation, which is a tax-exempt, charitable foundation. The shares held by the foundation have been excluded from the amount of securities.
- (3) Shares were acquired through cashless exercise of options originally granted April 28, 2004
- (4) Shares were acquired through cashless exercise of warrants originally granted September 17, 2009
- (5) Shares were acquired through issuance of options originally granted October 1, 2004
- (6) Shares were acquired through purchase of warrants granted on December 7, 2012.
- (7) Shares were acquired through purchase of warrants granted on November 8, 2012.
- (8) Shares were converted to 10 shares Common stock for every 1 share Preferred Stock which was originally purchased on January 28, 2010.
- (9) Shares were gifted to grandchildren.
- (10) Shares were purchased through SENK Properties which is 52% owned by Steven Tsengas.

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