

CARLSON THOMAS J
Form 4
November 21, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CARLSON THOMAS J

2. Issuer Name and Ticker or Trading Symbol
GREAT SOUTHERN BANCORP, INC. [GSBC]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
218 S. GLENSTONE AVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
11/15/2017

____ Director
____ Officer (give title below)
____ 10% Owner
____ Other (specify below)

SPRINGFIELD, MO 65802
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				(A) or (D)	Price					
				Code	V	Amount				
Common stock						100	D			
Common stock	10/17/2017		J ⁽¹⁾	V	55	A	\$ 57.0752	13,275	I	Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Edgar Filing: CARLSON THOMAS J - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. F	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to purchase	\$ 52.2	11/15/2017		A	500	11/15/2019	11/15/2027	Common Stock	500
Option to purchase	\$ 52.2	11/15/2017		A	500	11/15/2020	11/15/2027	Common Stock	500
Option to purchase	\$ 52.2	11/15/2017		A	500	11/15/2021	11/15/2027	Common Stock	500
Option to purchase	\$ 52.2	11/15/2017		A	500	11/15/2022	11/15/2027	Common Stock	500
Option to purchase	\$ 32.59					(2)	10/15/2024	Common stock	5,000
Option to purchase	\$ 41.3					(3)	10/24/2026	Common Stock	2,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

CARLSON THOMAS J
218 S. GLENSTONE AVE
SPRINGFIELD, MO 65802

Signatures

Matt Snyder, Attorney-in-fact for Thomas J. Carlson
11/21/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DRIP acquisition exempt from Section 16 reporting being reported voluntarily

Edgar Filing: CARLSON THOMAS J - Form 4

(2) 1,250 shares vest on 10/15/2016, 10/15/2017, 10/15/2018 and 10/15/2019

(3) 500 shares vest on 10/24/2018, 10/24/2019, 10/24/2020 and 10/24/2021

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.