LONGVIEW FUND LP Form 4/A

May 30, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * LONGVIEW FUND LP |          |           | 2. Issuer Name and Ticker or Trading     | 5. Relationship of Reporting Person(s) to Issuer                                    |  |  |
|--|----------|-----------|--|---|--|--|
| LONG VIEW TOND EI  |          |           | Symbol Optex Systems Holdings Inc [OPXS] | (Check all applicable)  |  |  |
| (Last)   | (First)  | (Middle)  | 3. Date of Earliest Transaction          | •••   |  |  |
|  |          |           | (Month/Day/Year)                         | DirectorX 10% Owner   |  |  |
| 1325 HOWARD AVENUE, SUITE 217                              |          | JE, SUITE | 12/07/2017                               | Officer (give title Other (specify below)   |  |  |
|  | (Street) |           | 4. If Amendment, Date Original           | 6. Individual or Joint/Group Filing(Check   |  |  |
|  |          |           | Filed(Month/Day/Year)                    | Applicable Line)  |  |  |
| BURLINGAME,, CA 94010                                      |          |           | 08/17/2017                               | _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |

| (City)                               | (State)                              | (Zip) Tab   | le I - Non-     | Derivative S                          | Securi                         | ties Acquir     | red, Disposed of,  | or Beneficiall   | y Owned   |
|--------------------------------------|--------------------------------------|---|-----------------|---------------------------------------|--------------------------------|-----------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 8) | 4. Securities or Dispose (Instr. 3, 4 | d of (I<br>and 5)<br>(A)<br>or | ))<br>          | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 12/07/2017                           |   | Code V<br>S     | Amount 17,200                         | (D)                            | Price \$ 1.0552 | 2,652,157  | D  |   |
| Common<br>Stock                      | 12/08/2017                           |   | S               | 53,000                                | D                              | \$<br>1.1138    | 2,599,157  | D  |   |
| Common<br>Stock                      | 12/08/2017                           |   | S               | 10,000                                | D                              | \$ 1.15         | 2,589,157  | D  |   |
| Common<br>Stock                      | 12/19/2017                           |   | S               | 24,000                                | D                              | \$ 1.052        | 2,565,157  | D  |   |
| Common<br>Stock                      | 12/22/2017                           |   | S               | 35,000                                | D                              | \$ 1.055        | 2,530,157  | D  |   |

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| Common<br>Stock | 01/02/2018 | S | 4,500   | D | \$ 1.09      | 2,525,657 | D |
|-----------------|------------|---|---------|---|--------------|-----------|---|
| Common<br>Stock | 01/03/2018 | S | 8,484   | D | \$<br>1.0547 | 2,517,173 | D |
| Common<br>Stock | 01/09/2018 | S | 25,907  | D | \$ 1.078     | 2,491,266 | D |
| Common<br>Stock | 01/10/2018 | S | 33,884  | D | \$<br>1.0756 | 2,457,382 | D |
| Common<br>Stock | 01/23/2018 | S | 500,000 | D | \$ 0.5       | 1,957,382 | D |
| Common<br>Stock | 03/13/2018 | S | 32,300  | D | \$<br>1.0218 | 1,925,081 | D |
| Common<br>Stock | 03/16/2018 | S | 37,108  | D | \$<br>1.0235 | 1,887,974 | D |
| Common<br>Stock | 03/19/2018 | S | 3,500   | D | \$<br>1.0214 | 1,884,474 | D |
| Common<br>Stock | 03/20/2018 | S | 4,100   | D | \$ 1.02      | 1,880,374 | D |
| Common<br>Stock | 03/21/2018 | S | 6,165   | D | \$<br>1.0219 | 1,874,209 | D |
| Common<br>Stock | 03/22/2018 | S | 40,000  | D | \$ 1.02      | 1,834,209 | D |
| Common<br>Stock | 03/23/2018 | S | 500     | D | \$ 1.05      | 1,833,709 | D |
| Common<br>Stock | 03/27/2018 | S | 19,400  | D | \$<br>1.0263 | 1,814,309 | D |
| Common<br>Stock | 03/29/2018 | S | 8,640   | D | \$<br>1.0119 | 1,805,669 | D |
| Common<br>Stock | 04/05/2018 | S | 1,460   | D | \$ 1.01      | 1,804,209 | D |
| Common<br>Stock | 04/30/2018 | S | 32,000  | D | \$ 1.02      | 1,772,209 | D |
| Common<br>Stock | 05/01/2018 | S | 20,900  | D | \$ 1.02      | 1,751,309 | D |
| Common<br>Stock | 05/03/2018 | S | 10,000  | D | \$ 1         | 1,741,309 | D |
| Common<br>Stock | 05/04/2018 | S | 58,132  | D | \$<br>1.0005 | 1,683,177 | D |
| Common<br>Stock | 05/14/2018 | S | 45,700  | D | \$ 1         | 1,637,477 | D |
|                 | 05/23/2018 | S | 200,000 | D | \$ 1         | 1,437,477 | D |

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Common Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Titl | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|---------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D  | ate         | Amou    | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Under   | lying    | Security    | Secur  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ  | e             |             | Securi  | ities    | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities | 3             |             | (Instr. | 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |               |             |         |          |             | Follo  |
|             |             |                     |                    |            | (A) or     |               |             |         |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |         |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |         |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |         |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |         |          |             |        |
|             |             |                     |                    |            |            |               |             |         | Amount   |             |        |
|             |             |                     |                    |            |            |               |             |         | or       |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  | Title   | Number   |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        | Title   | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             |         | Shares   |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| <b>F</b>                       | Director      | 10% Owner | Officer | Other |  |  |  |
| LONGVIEW FUND LP               |               |           |         |       |  |  |  |
| 1325 HOWARD AVENUE, SUITE 217  |               | X         |         |       |  |  |  |
| BURLINGAME,, CA 94010          |               |           |         |       |  |  |  |

### **Signatures**

/s/ Merrick Okamoto, President of Viking Asset Management LLC, as Investment Manager for The Longview Fund L.P.

05/30/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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