Edgar Filing: INDEPENDENCE HOLDING CO - Form 4

INDEPENDENCE HOLDING CO

Form 4

(Common Stock)

December 08, 2014

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2 Symbol Stellar of HILOS 2000 (Check all applicable) 1. Name and Address of Reporting Person 2 Symbol S	FORM	Δ								OIVID AP	PROVAL	
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person 2 Symbol (Month/Day/Year) 1. Name and Address of Reporting Person 3 Symbol (Month/Day/Year) 1. Name and Address of Reporting Person 4 Symbol (Month/Day/Year) 1. Name and Address of Reporting Person 5 (Middle) 1. Title of 2. Transaction Date Security (Month/Day/Year) 1. Title of Code (Instr. 3) 1. Transaction Date Security (Month/Day/Year) 1. Title of Code (Instr. 3, 4 and 5) 1. Transaction Date Security (Month/Day/Year) 1. Transaction Date Securities Acquired (Instr. 3 and 4) 1. Transaction (Instr. 4) 1. Transaction (Instr. 3) 2. Lissuer Name and Ticker or Trading Insurance (Instr. 3) 2. Lissuer Name and Ticker or Trading Insurance (Instr. 3, 4 and 5) 3. Relationship of Reporting Person(s) to Insurance (Instr. 3, 4 and 5) 4. If Amendment, Date Original Filed (Month/Day/Year) 5. Relationship of Reporting Person(s) to Insura		UNITE	ED STATE					E COM	IMISSION		3235-0287	
Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting Person Symbol INDEPENDENCE HOLDING CO [IHC] (Last) (First) (Middle) (Last) (First) (Middle) (Street) (A, If Amendment, Date Original Filed(Month/Day/Year) Filed(Month/Day/Year) (City) (State) (Street) (City) (State) (Street) (City) (State) (Street) (City) (State) (City) (State) (City) (Month/Day/Year) (Code (Instr. 3, 4 and 5) (And (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 3) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 3) (Instr. 3) (Instr. 3) (Instr. 3) (Instr. 3) (Instr. 4) (Instr. 3) (Instr. 4) (Instr. 4) (Instr. 4) (Instr. 3) (Instr. 4) (In	if no longer subject to Section 16.		STATEMENT OF CHANGES IN BENEFICIAL OWNE						RSHIP OF	Estimated av		
1. Name and Address of Reporting Person 2 THUNG ROY T K Symbol INDEPENDENCE HOLDING CO [IHC] (Last) (First) (Middle) (Street) (Str	Form 5 obligations may continued See Instructions	ue. Section 1	17(a) of the	Public Utili	ity Hold	ing Compa	ny Ao	ct of 193		тезропзе	0.5	
THUNG ROY T K Symbol INDEPENDENCE HOLDING CO (Check all applicable)	(Print or Type Res	sponses)										
Month/Day/Year 12/05/2014				Symbol INDEPEN	Symbol INDEPENDENCE HOLDING CO				Issuer			
STAMFORD, CT 06902 City State City State City Table I - Non-Deviative Securities Acquired Disposed of, or Person Disposed of, or Disposed of, or Person Disposed of, or Di	(1			(Month/Day	(Month/Day/Year)				_X_ Officer (give title Other (specify below)			
1.Title of Security (Month/Day/Year) (Instr. 3) 2. Transaction Date (Month/Day/Year) (Instr. 3) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 8) Stock Appreciation Right (Common Stock) Stock Appreciation	STAMFORD	, ,				e Original		App _X_ 	licable Line) Form filed by Or Form filed by Mo	e Reporting Pers	son	
Security (Instr. 3) (Instr. 4) (Instr. 3) (Instr. 4) (Instr. 3) (Instr. 3) (Instr. 4) (Instr. 3) (Instr. 3) (Instr. 4) (Instr. 3) (Instr. 4) (Instr. 3) (Instr. 3) (Instr. 4) (Instr. 3) (Instr. 4) (Instr. 4) (Instr. 3) (Instr. 4) (Instr. 4	(City)	(State)	(Zip)	Table I	- Non-De	erivative Secu	urities	Acquire	d, Disposed of,	or Beneficially	Owned	
Stock Appreciation Right 12/05/2014 (Common Stock) Stock Appreciation 110,000 M 110,000 A \$ 9.09 (1) 432,250 D (1) 110,000 Stock Appreciation	Security		Year) Exec	ution Date, if	Transact Code	ionor Dispose (Instr. 3, 4	d of (E and 5)))	Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership	
Stock) Stock Appreciation	Appreciation Right	12/05/2014	1			110,000		\$ 9.09				
	Stock) Stock	12/05/2014	1		D	110,000	D	\$	322,250	D		

(1)

13.81

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				7. Title and Ar Underlying Se (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title I
Stock Appreciation Right	\$ 9.09 (1)	12/05/2014		M	110,000	01/05/2013(2)	01/04/2015	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships						
1 6	Director	10% Owner	Officer	Other			
THUNG ROY T K							
96 CUMMINGS POINT RD	X		CEO & President				
STAMFORD CT 06902							

Signatures

Roy T. K.
Thung

**Signature of Reporting Person

12/08/2014

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Adjusted for the 10% stock dividend paid on February 17, 2012.
- (2) The Stock Appreciation Rights vest at the rate of 1/3 on each of the three annual anniversaries of the grant date of January 5, 2010. The date reported is the date that all of the SARs are vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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