Hurst Frank L Form 4 April 10, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person \* Hurst Frank L

(Middle)

(Zip)

Inc. [RRTS]

(First) 1431 OPUS PLACE, SUITE 530

(Street)

(State)

04/08/2019

**DOWNERS GROVE, IL 60515** 

2. Issuer Name and Ticker or Trading Symbol

Roadrunner Transportation Systems,

3. Date of Earliest Transaction

(Month/Day/Year) 04/08/2019

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Person

Issuer

below)

Director

Applicable Line)

X\_ Officer (give title

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

Code (Instr. 8)

Code V

A

(D) (Instr. 3, 4 and 5)

Amount

76,000

(1)

Transaction(A) or Disposed of

(A)

(D)

A

Price

\$0

4. Securities Acquired

Beneficially Owned Following Reported

5. Amount of

Securities

Indirect (I) (Instr. 4) Transaction(s)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

President - Roadrunner Freight

6. Individual or Joint/Group Filing(Check

(D) or

D

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

10% Owner

6. Ownership 7. Nature of

Beneficial

Ownership

(Instr. 4)

(9-02)

Form: Direct Indirect

Other (specify

Estimated average

burden hours per

(Instr. 3 and 4)  $79,950^{(2)}$ 

Stock

Common

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Hurst Frank L - Form 4

1.	Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9. Nu
D	erivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	te Amou		Derivative	Deriv
S	ecurity	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(I	nstr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivativ		;		Secur	ities	(Instr. 5)	Bene
		Derivative				Securities			(Instr.	3 and 4)		Owne
		Security			Acquired							Follo
		•				(A) or						Repo
						Disposed						Trans
		of (D)							(Instr			
						(Instr. 3,						`
						4, and 5)						
						, ,						
										Amount		
							Date Exercisable	Expiration e Date	Title	or		
										Number		
							Lacicisable			of		
					Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hurst Frank L 1431 OPUS PLACE, SUITE 530 DOWNERS GROVE, IL 60515

President - Roadrunner Freight

# **Signatures**

/s/ Curtis W. Stoelting, as Attorney-in-Fact 04/10/2019

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reflects the grant of restricted stock units. Each restricted stock unit represents the contingent right to receive one share of the Issuer's
- (1) common stock. One-fourth of the restricted stock units will vest, and the underlying shares of common stock will be delivered, on each of April 8, 2020, April 8, 2021, April 8, 2022, and April 8, 2023.
- (2) Reflects the 1-for-25 reverse stock split effected on April 4, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2