

Lo Andrew Tak Shing  
Form 4  
March 04, 2019

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Lo Andrew Tak Shing

(Last) (First) (Middle)

1555 PEACHTREE STREET, SUITE 1800

(Street)

ATLANTA, GA 30309

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Invesco Ltd. [IVZ]

3. Date of Earliest Transaction (Month/Day/Year)  
02/28/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title below) \_\_\_ Other (specify below)

Senior Managing Director

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Shares	02/28/2019		M	10,448 A \$ 0	418,640	D	
Common Shares	02/28/2019		A	6,829 A \$ 0	425,469	D	
Common Shares	02/28/2019		M	15,526 A \$ 0	440,995	D	
Common Shares	02/28/2019		A	20,295 A \$ 0	461,290	D	
Common Shares	02/28/2019		M	11,898 A \$ 0	473,188	D	

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Common Shares 02/28/2019 M 10,098 A \$ 0 483,286 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						(2)	(2)		
						(A)	(D)		
Restricted Stock Units <sup>(1)</sup>	\$ 0	02/28/2019		M	10,448	(2)	(2)	Common Shares	10,448
Restricted Stock Units <sup>(1)</sup>	\$ 0	02/28/2019		M	15,526	(2)	(2)	Common Shares	15,526
Restricted Stock Units <sup>(1)</sup>	\$ 0	02/28/2019		M	11,898	(2)	(2)	Common Shares	11,898
Restricted Stock Units <sup>(1)</sup>	\$ 0	02/28/2019		M	10,098	(2)	(2)	Common Shares	10,098
Restricted Stock Units <sup>(1)</sup>	\$ 0	02/28/2019		A	70,521	(2)	(2)	Common Shares	70,521

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Lo Andrew Tak Shing  
1555 PEACHTREE STREET  
SUITE 1800  
ATLANTA, GA 30309

Director 10% Owner Officer Other

Senior Managing Director

## Signatures

/s/ Robert H. Rigsby, as Attorney  
in Fact

03/04/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each Restricted Stock Unit represents a contingent right to receive one Common Share of Invesco Ltd.

(2) The Restricted Stock Units vest in four equal annual installments and expire upon the employee's termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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