## Edgar Filing: Olson Terrence W. - Form 4

Olson Terrence W. Form 4 June 13, 2018							
FORM 4 UNITED				OMB APPROVAL			
UNITED		URITIES AND EXCHAN Vashington, D.C. 20549	NGE COMMISSION	OMB 3235-0287 Number:			
Section 16. Form 4 or Form 5 Filed pu	rsuant to Sectior (a) of the Public	<b>SECURITIES</b> 16(a) of the Securities Ex	EFICIAL OWNERSHIP OF S Estimated average burden hours per response Company Act of 1935 or Section				
(Print or Type Responses)							
1. Name and Address of Reporting Olson Terrence W.	Symbo	uer Name <b>and</b> Ticker or Tradin <sup>31</sup> Credit, Inc. [TCRD]	Issuer	Reporting Person(s) to			
(Last) (First) (		e of Earliest Transaction	(Checl	k all applicable)			
100 FEDERAL STREET, 3 FLOOR		n/Day/Year) /2018	Director X Officer (give below)	title Other (specify below) OO & CFO			
(Street) BOSTON, MA 02110		mendment, Date Original ⁄Ionth/Day/Year)	Applicable Line) Form filed by O	int/Group Filing(Check ne Reporting Person Aore than One Reporting			
(City) (State)	(Zip) Ta	able I - Non-Derivative Securi	ties Acquired, Disposed of	, or Beneficially Owned			
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transactionor Disposed of (D Code (Instr. 3, 4 and 5)	) Securities Beneficially Owned Following Reported	6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4) (I) (Instr. 4)			
Common 06/12/2018 Stock		p 13,000	\$ 3.3907 940,950 <u>(1)</u>	Indirect ownership through acquisitions by THL Credit Advisors LLC (2)			
Common 06/11/2018 Stock		· · · · · · · · · · · · · · · · · · ·	\$ 927,950 <u>(1)</u> 3.2664	I Indirect ownership through acquisitions			

									by TH Credi Advis LLC	t sors	
Reminder: Report on a separate line for each class of securities benefic				Persor inform require display	cially owned directly or indirectly. Persons who respond to the collection information contained in this form are n required to respond unless the form displays a currently valid OMB control number.			not (9-02)			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerc Expiration D (Month/Day/	ate	Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Repo	rting O	wners									

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Olson Terrence W. 100 FEDERAL STREET 31ST FLOOR BOSTON, MA 02110			COO & CFO		
Fellows James R 227 W MONROE ST., SUITE 3200 CHICAGO, IL 60606				CIO of Advisor	
Flynn Christopher J. 100 FEDERAL STREET 31ST FLOOR BOSTON, MA 02110	Х		CEO		

## Signatures

/s/Terrence W. Olson /s/Sabrina Rusnak-Carlson, as Attorney-in-Fact for Christopher J. Flynn and and James R. Fellows				
**Signature of Reporting Person	Date			

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Pursuant to instruction 4(b)(iv), the entire amount of the interest held by THL Credit Advisors LLC has been reported.
- (2) The transactions reported herein were conducted under a Rule 10b5-1 Plan.

## **Remarks:**

For each transaction reported above, the reported amounts represent the entire amount of the interest held by THL Credit Advi Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.