Edgar Filing: GOPALSWAMY SUDHIR - Form 4

	AMY SUDHIR										
Form 4 February 21,	2018										
	1 /								OMB AF	PROVAL	
					ΓΙΕS AND EXCHANGE COMMISSIO ington, D.C. 20549				OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	6. r Filed pu ns Section 17	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1940							January 31 Expires: 2005 Estimated average burden hours per response 0.5		
(Print or Type I	Responses)										
GOPALSWAMY SUDHIR Symbol CYPR			Symbol CYPRE	er Name and Ticker or Trading ESS SEMICONDUCTOR /DE/ [CY]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) 198 CHAM	(First) PION COURT	(Middle)		f Earliest Ti Day/Year)	-			Director X Officer (give below) EVP, Micr		Owner r (specify nnect	
				nendment, Date Original Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
SAN JOSE,	CA 95134							Form filed by M Person	ore than One Rep	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-I	Derivative	Secu	rities Acqu	iired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)) Execution any	n Date, if	3. Transactic Code (Instr. 8) Code V	(Instr. 3,	ispose 4 and (A) or	d of (D) 5) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/16/2018			S <u>(1)</u>	3,000	D	\$ 16.246 (2)	91,509	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. l De Sec (In
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(3)</u>	02/16/2018		А	52,105	(4)	(4)	Common Stock	52,105	

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Reporting Owners

Reporting Owner Name / Address	s Relationships						
1	Director	10% Owner	Officer	Other			
GOPALSWAMY SUDHIR 198 CHAMPION COURT SAN JOSE, CA 95134			EVP, Microcontroller/Connect				
Signatures							
/s/ Pamela L. Tondreau, attorney-in-fact		02/21/2	2018				
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$16.17 to \$16.34 per share, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of

- (2) to \$10.54 per share, inclusive. The Reporting Ferson undertakes to provide to the issuer, any security notice of the issuer, of the start of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (2) to this Form 4.
- (3) Restricted stock units convert into common stock on a one-for-one basis upon vesting.
- (4) On February 16, 2018, the Reporting Person was granted 52,105 restricted stock units, vesting in three equal annual installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.