Edgar Filing: HORNBECK TODD M - Form 4

HORNBECK Form 4	TODD M										
February 21, 2	4 UNITED S	TATES		ITIES AI hington,]			IGE C	OMMISSION		APPROVAL 3235-0287	
Check this if no longe subject to Section 16 Form 4 or Form 5 obligation	Filed purse										
may contin See Instruct 1(b).	nue. Section 17(a)		Public Uti of the Inv	•	•			1935 or Sectio 0	'n		
(Print or Type R	esponses)										
1. Name and Ac HORNBECK	ldress of Reporting Po K TODD M	erson <u>*</u>	Symbol HORNB	Name and ' ECK OFI 'ES INC /	FSHORE		7	5. Relationship of Issuer (Cheo	f Reporting Po ck all applicat		
(Month/Da				e of Earliest Transaction n/Day/Year) /2018				X Director 10% Owner X Officer (give title Other (specify below) below)			
SUITE 300		mD,	02/16/20	10				Pre	sident & CEO)	
(Street) 4. If Amena Filed(Month				dment, Date Original h/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
COVINGTO	N, LA 70433							Person	wore than One	Keporung	
(City)	(State) (Z	Zip)	Table	I - Non-De	erivative S	ecurit	ies Acq	uired, Disposed o	f, or Benefici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securit on(A) or Dis (Instr. 3, 4)	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
COMMON	02/16/2018			Code V M	47,339	A	<u>(1)</u>	976,769	D		
STOCK							\$				
COMMON STOCK	02/16/2018			D	47,339	D	⊕ 3.57 (<u>1)</u>	929,430	D		
COMMON STOCK	02/16/2018			F	13,918 (2)	D	\$ 3.73	915,512	D		
COMMON STOCK								42,511 <u>(3)</u>	Ι	By Limited Partnership	
								1,650	Ι	By Wife	

COMMON STOCK			
COMMON STOCK	70 , 000 <u>(4)</u>	I	By Family Trusts
COMMON STOCK	70	I	By UTMA custodian for child
COMMON STOCK	220	Ι	By IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	orDeriv Secu Acqu or Di (D)	ecurities (Month/Day/Year) Acquired (A) r Disposed of D) instr. 3, 4,		ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
PHANTOM STOCK	<u>(1)</u>	02/16/2018		М		47,339	(1)	(1)	COMMON STOCK	47,339

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HORNBECK TODD M 103 NORTHPARK BOULEVARD, SUITE 300 COVINGTON, LA 70433	Х		President & CEO				
Signatures							
/s/ Beth A. LaBrosse as POA for Todd M. Hornbeck	02/	/21/2018					
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the one-third vesting and cash settlement of a time-vest phantom restricted stock unit award with a Grant Date of February 16, 2016. Each phantom unit is the economic equivalent of one share of the Company's Common Stock. The phantom shares are payable in

- (1) 2010. Each phantom that is the economic equivalent of one share of the company's common stock. The phantom shares are payable in cash or stock at the election of the Company. Cash awards are based on a 10 day trailing average of the market price of the Company's stock on the vesting date. No shares were actually issued, converted, or sold in connection with this transaction.
- (2) Disposition of these shares represents payment of tax liability by delivering or withholding securities incident to the vesting of a restricted stock award issued in accordance with Rule 16b-3.
- (3) Represents shares beneficially owned by Reporting Person through a Family Limited Partnership
- (4) Represents shares beneficially owned by Reporting Person through various family trusts.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.