Stollmeyer Richard Lee Form 4 December 15, 2017

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box
if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: 3235-0287

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Expires: January 31, 2005
Estimated average

10% Owner

SECURITIES

burden hours per response... 0.5

Form 5 obligations may continue. *See* Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person <u>\*</u> Stollmeyer Richard Lee

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Middle)

(Zip)

MINDBODY, Inc. [MB]

(Check all applicable)

, ,

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_ Director \_\_\_\_

4051 BROAD STREET, SUITE 220

(First)

12/14/2017

\_\_X\_\_ Officer (give title \_\_\_\_ Other (specify below)

below) below) CEO

(Street)

(State)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SAN LUIS OBISPO, CA 93401

		Tubic	1 Tion between electrics required, bisposed of, or beneficiary owned						
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties		5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	nAcquired	I(A) o	r	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	Disposed	of (D	)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)			Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
							Reported		
					(A)		Transaction(s)		
					or		(Instr. 3 and 4)		
			Code V	Amount	(D)	Price	(		
Class A									
Common	12/14/2017		$C^{(1)}$	2,834	A	\$ 0	104,811 (2)	D	
Stock	12/1 1/2017		<b></b>	2,00 .	••	ΨΟ	10.,011		
SIUCK									
Class A									
	12/14/2017		G <sup>(3)</sup> V	2 924	D	\$ 0	101,977 (2)	D	
Common	12/14/2017		<u>U(e)</u> V	2,034	D	φU	101,977 (2)	D	
Stock									

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

#### Edgar Filing: Stollmeyer Richard Lee - Form 4

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	ransaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock (convertible into Class A Common Stock)	<u>(4)</u>	12/14/2017		С		2,834	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	2,834
Class B Common Stock (convertible into Class A Common Stock)	<u>(4)</u>						<u>(4)</u>	<u>(4)</u>	Class A Common Stock	23,750
Class B Common Stock (convertible into Class A Common Stock)	<u>(4)</u>						<u>(4)</u>	<u>(4)</u>	Class A Common Stock	1,250
Class B Common Stock (convertible into Class A Common Stock)	<u>(4)</u>						<u>(4)</u>	<u>(4)</u>	Class A Common Stock	1,250

### **Reporting Owners**

eporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Reporting Owners 2

Stollmeyer Richard Lee 4051 BROAD STREET SUITE 220 SAN LUIS OBISPO, CA 93

X CEO

SAN LUIS OBISPO, CA 93401

### **Signatures**

/s/ Kimberly G. Lytikainen, Attorney-in-Fact

12/15/2017

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Class A Common Stock was issued upon the conversion of one share of Class B Common Stock at the election of Reporting Person.
- (2) Includes 95,240 restricted stock units ("RSUs"). Each RSU represents a contingent right to receive one share of Issuer's Class A Common Stock upon settlement.
- (3) The reporting person transferred the shares as gifts to charitable organizations.
- (4) Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.
- (5) The shares are held of record by the Reporting Person's spouse.
- (6) The shares are held of record by the Reporting Person's child.
- (7) The shares are held of record by the Reporting Person's spouse as custodian for the benefit of her minor child.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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