

Reiner Deborah M
Form 3
November 03, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Reiner Deborah M
(Last) (First) (Middle)

ONE PARK PLAZA

(Street)

NASHVILLE, TN 37203

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
11/01/2017

3. Issuer Name and Ticker or Trading Symbol
HCA Healthcare, Inc. [HCA]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
SVP - Mktg. & Communications

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

2,020

D

A

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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			Shares		(I) (Instr. 5)	
Stock Appreciation Right	Â (1)	02/08/2022	Common Stock	2,500	\$ 22.95	D Â
Stock Appreciation Right	Â (2)	02/08/2022	Common Stock	2,500	\$ 22.95	D Â
Stock Appreciation Right	Â (3)	02/05/2024	Common Stock	4,500	\$ 47.97	D Â
Stock Appreciation Right	Â (4)	02/05/2024	Common Stock	3,150	\$ 47.97	D Â
Stock Appreciation Right	Â (5)	02/04/2025	Common Stock	6,000	\$ 68.96	D Â
Stock Appreciation Right	Â (6)	01/29/2026	Common Stock	5,980	\$ 69.58	D Â
Stock Appreciation Right	Â (7)	02/01/2027	Common Stock	6,420	\$ 81.96	D Â
Restricted Stock Units	02/05/2018 02/05/2024		Common Stock	375	\$ (8)	D Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Reiner Deborah M ONE PARK PLAZA NASHVILLE, TN 37203	Â	Â	Â SVP - Mktg. & Communications	Â

Signatures

/s/ Kevin A. Ball,
Attorney-in-Fact

11/03/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The stock appreciation rights vested in four equal annual installments beginning on February 8, 2013.

(2) The stock appreciation rights vested at the end of fiscal years 2012, 2013, 2014 and 2015 based upon the achievement of certain annual EBITDA performance targets.

(3) The stock appreciation rights vest in four equal annual installments beginning on February 5, 2015.

(4) On February 5, 2014, the reporting person was granted 4,500 stock appreciation rights. The stock appreciation rights are eligible to vest in equal increments of up to 25% at the end of fiscal years 2014, 2015, 2016 and 2017 based upon the extent to which certain EBITDA performance targets have been met for the applicable fiscal year. Based upon the Company's achievement of EBITDA performance criteria for 2014, 2015 and 2016, 3,150 of such stock appreciation rights have vested.

(5) The stock appreciation rights vest in four equal annual installments beginning on February 4, 2016.

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- (6) The stock appreciation rights vest in four equal annual installments beginning on January 29, 2017.
- (7) The stock appreciation rights vest in four equal annual installments beginning on February 1, 2018.
- (8) Each restricted stock unit represents a contingent right to receive one share of HCA Healthcare, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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