LI WEN LIANG

Form 4

September 20, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* LI WEN LIANG

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Highpower International, Inc. [HPJ]

(Check all applicable)

3. Date of Earliest Transaction (Month/Day/Year)

09/18/2017

\_X\_\_ Director X 10% Owner \_ Other (specify Officer (give title

BLDG. A1, LUOSHAN IND. ZONE, SHANXIA, PINGHU, LONGGANG, SHENZHEN

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

GUANGL	ONG, F	4 518111
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(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	(1115111 1)	
Common Stock	09/18/2017		S(1)	100	D	\$ 5	2,089,645	D	
Common Stock	09/19/2017		S <u>(1)</u>	117,224 (2)	D	\$ 5.0396 (3)	1,972,421	D	
Common Stock	09/20/2017		S(1)	83,152 (2)	D	\$ 5.1059 (4)	1,889,269	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. onNumber of Derivativ Securities Acquired		ate	Amou Under Secur	le and ant of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo
	·				(A) or Disposed of (D) (Instr. 3, 4, and 5)						Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

#### Relationships

Reporting Owner Name / Address  $\begin{array}{ccc} \text{Director} & 10\% & \text{Officer} & \text{Other} \\ \end{array}$ 

LI WEN LIANG BLDG. A1, LUOSHAN IND. ZONE, SHANXIA PINGHU, LONGGANG, SHENZHEN GUANGDONG, F4 518111

X X

## **Signatures**

/s/ Wenliang Li 09/20/2017

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported herein were made pursuant to a 10b5-1 trading plan entered into by the Reporting Person and Morgan Stanley, as broker.
- (2) The aggregate number of shares sold by Reporting Person on the same day at different prices.
- Represents the weighted average sales price. The shares were sold at prices ranging from \$5.00 to \$5.15 per share. Full information (3) regarding the number of shares sold at each price will be provided to the Securities and Exchange Commission staff (the "Staff"), Issuer or any security holder, upon request.
- (4) Represents the weighted average sales price. The shares were sold at prices ranging from \$5.00 to \$5.35 per share. Full information regarding the number of shares sold at each price will be provided to the Staff, Issuer or any security holder, upon request.

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