Edgar Filing: DEAN FOODS CO - Form 4

DEAN FOOL Form 4 February 21, FORM Check thi if no long subject to Section 1 Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	2017 I 4 UNITED S is box ger 6. r Filed purs inue. action	ENT O suant to S	Was F CHAN Section 10 Public Ut	Shington, GES IN I SECUR	D.C. 20 BENEFI ITIES Securit ling Com	549 CIA ies E	L OW xchang	COMMISSION NERSHIP OF ge Act of 1934, f 1935 or Sectio 40	OMB Number: Expires: Estimated a burden hou response	rs per	
 (Print or Type Responses) 1. Name and Address of Reporting Person <u>*</u> VOPNI SCOTT K (Last) (First) (Middle) 			 Issuer Name and Ticker or Trading Symbol DEAN FOODS CO [DF] Date of Earliest Transaction 				ıg	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
2711 NORTH HASKELL AVENUE, SUITE 3400 (Street)			(Month/Day/Year) 02/16/2017 4. If Amendment, Date Original					Director 10% Owner X Officer (give title Other (specify below) below) SVP, Finance & CAO 6. Individual or Joint/Group Filing(Check			
Filed(1 DALLAS, TX 75204				iled(Month/Day/Year)				Applicable Line) _X_Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State) ((Zip)	Table	e I - Non-D	erivative S	Securi	ities Acc	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		3. Transactic Code (Instr. 8) Code V	on(A) or Di (D) (Instr. 3,	ispose	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	02/16/2017			М	4,669 (1)	А	\$0	22,710	D		
Common Stock	02/16/2017			F	1,497 (1)	D	\$ 18.8	21,213	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: DEAN FOODS CO - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactiv Code (Instr. 8)	5. Number of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	e Expiration D	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units (DU005823) (2) (3)	\$ 0	02/16/2017		М	4,669 (1)	(2)	(2)	Common Stock	4,669 (1)	

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
VOPNI SCOTT K 2711 NORTH HASKELL AVENUE SUITE 3400 DALLAS, TX 75204			SVP, Finance & CAO				
Signatures							
Kay F. Stockler Attorney-in-Fact	02/21/20	17					

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). **
- The Reporting Person received a total of 4,669 shares of Common Stock of the Issuer pursuant to the vesting provisions in the award of (1) restricted stock units (RSUs). A total of 1,497 shares were surrendered to satisfy tax obligations of the Reporting Person, resulting in the issuance of a total of 3,172 net shares of Common Stock.
- (2) These RSUs were awarded on 2/16/2015. The award vests annually, on a pro rata basis, over a 3-year period.
- (3) RSUs convert into common stock on a one-for-one basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.